

Relaxation of optimal design problems

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TOURS - December 2008

works in collaboration with F. Maestre (Sevilla), P. Pedregal
(Ciudad Real) and F. Periago (Cartagena)

Problem I: Optimal design and stabilization of the wave equation

[Fahroo-Ito, 97], [Freitas, 98], [Hebard-Henrot, 03, 05], [Henrot-Maillot, 05], [AM, Pedregal, Periago, JDE 06], [AM, AMCS 09]

Let $\Omega \subset \mathbb{R}^N$, $N = 1, 2$, $a \in L^\infty(\Omega, \mathbb{R}^+)$, $L \in (0, 1)$, $T > 0$, $(u^0, u^1) \in H_0^1(\Omega) \times L^2(\Omega)$ ¹,

$$(P_\omega^1) : \inf_{\mathcal{X}_\omega} I(\mathcal{X}_\omega) = \int_0^T \int_\Omega (|u_t|^2 + |\nabla u|^2) dx dt \quad (1)$$

subject to

$$\begin{cases} u_{tt} - \Delta u + a(\mathbf{x}) \mathcal{X}_\omega u_t = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \{0\} \times \Omega, \\ \mathcal{X}_\omega \in L^\infty(\Omega; \{0, 1\}), \\ \|\mathcal{X}_\omega\|_{L^1(\Omega)} \leq L \|\mathcal{X}_\Omega\|_{L^1(\Omega)} \end{cases} \quad (2)$$

¹AM, P. Pedregal, F. Periago, *Optimal design of the damping set for the stabilization of the wave equation*, JDE (2006)

Optimal (α, β) spatio-temporal distribution for the wave equation

[Maestre-AM-Pedregal, IFB 08]²

- Let $\Omega \subset \mathbb{R}$, $0 < \alpha < \beta < \infty$, $L \in (0, 1)$, $T > 0$, $(u^0, u^1) \in H_0^1(\Omega) \times L^2(\Omega)$.

$$(P_\omega^2) : \inf_{\mathcal{X}_\omega} I(\mathcal{X}_\omega) = \int_0^T \int_\Omega (|u_t|^2 + a(t, \mathbf{x}, \mathcal{X}_\omega) |\nabla u|^2) dx dt \quad (3)$$

with for instance

$$a(t, \mathbf{x}, \mathcal{X}_\omega) = 1 \quad (\text{quadratic}) \quad \text{or} \quad a(t, \mathbf{x}, \mathcal{X}_\omega) = \alpha \mathcal{X}_\omega + \beta(1 - \mathcal{X}_\omega) \quad (\text{compliance}) \quad (4)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}([\alpha \mathcal{X}_\omega + \beta(1 - \mathcal{X}_\omega)] \nabla u) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \Omega, \\ \mathcal{X}_\omega \in L^\infty((0, T) \times \Omega; \{0, 1\}), \\ \|\mathcal{X}_\omega\|_{L^1(\Omega)} \leq L \|\mathcal{X}_\Omega\|_{L^1(\Omega)} & (0, T) \end{cases} \quad (5)$$

- ω depends on x AND on t : *Dynamical material* [Lurie 99, 00, 02].

²F. Maestre, AM, P. Pedregal, *Optimal design under the one-dimensional wave equation*, Interfaces and Free Boundaries (2008)

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Optimal (α, β) distribution for the damped wave equation

[Maestre, AM, Pedregal, SIAM Appl. Math. 07]³

- Simultaneous optimization w.r.t. to $\omega_1 \subset (0, T) \times \Omega$ et $\omega_2 \subset \Omega$

$$(P_{\omega}^3) : \inf_{\mathcal{X}_{\omega_1}, \mathcal{X}_{\omega_2}} J(\mathcal{X}_{\omega_1}, \mathcal{X}_{\omega_2}) = \int_0^T \int_{\Omega} (|u_t|^2 + a(t, \mathbf{x}, \mathcal{X}_{\omega_1}) |\nabla u|^2) dx dt \quad (6)$$

subject to

$$\left\{ \begin{array}{ll} u_{tt} - \operatorname{div}([\alpha \mathcal{X}_{\omega_1} + \beta(1 - \mathcal{X}_{\omega_1})] \nabla u) + a(\mathbf{x}, \mathcal{X}_{\omega_2}) u_t = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \{0\} \times \Omega, \\ \mathcal{X}_{\omega_1} \in L^{\infty}(\Omega \times (0, T); \{0, 1\}), & \\ \mathcal{X}_{\omega_2} \in L^{\infty}(\Omega; \{0, 1\}), & \\ \|\mathcal{X}_{\omega_1}(t, \cdot)\|_{L^1(\Omega)} \leq L_{des} \|\mathcal{X}_{\Omega}\|_{L^1(\Omega)}, & (0, T) \\ \|\mathcal{X}_{\omega_2}\|_{L^1(\Omega)} \leq L_{dam} \|\mathcal{X}_{\Omega}\|_{L^1(\Omega)}, & \end{array} \right. \quad (7)$$

$$L_{dam}, L_{des} \in (0, 1).$$

³F. Maestre, AM, P. Pedregal *A spatio-temporal design problem for a damped wave equation*, SIAM Appl. Math (2007)

Formal resolution of (P_ω^1) using the level-set method

[Allaire-Jouve-Toader 03], [Wang-Wang-Zuo 03], [Burger-Osher 05], ...

$$(u^0(\mathbf{x}), u^1(\mathbf{x})) = (\sin(\pi x_1) \sin(\pi x_2), 0), \quad \Omega = (0, 1)^2, \quad T = 1, \quad L = 1/10, \quad \mathbf{a}(\mathbf{x}) = \mathbf{a}\mathcal{X}_\omega(\mathbf{x}). \quad (8)$$

$$E(\omega, \mathbf{a}, T) - E(\omega, 0, T) = -\frac{\mathbf{a}\alpha}{4}(2\alpha T - \sin(2\alpha T)) \int_\omega (u_0(\mathbf{x}))^2 dx + o(\mathbf{a}), \quad \forall T \geq 0. \quad (9)$$

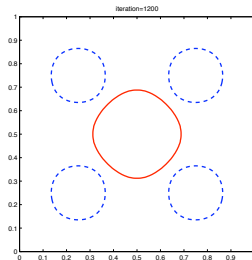
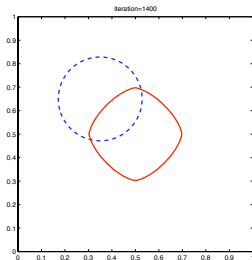


Figure: $\mathbf{a} = 10$. - Invariance of $\{\mathbf{x} \in \Omega, \psi(\mathbf{x}) = 0\}$ w.r.t. initialization $\{\mathbf{x} \in \Omega, \psi(\mathbf{x}) = 0\}$.

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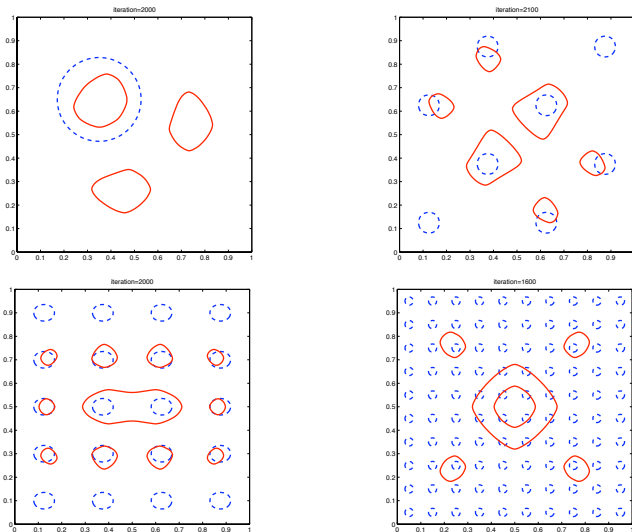


Figure: $a = 25$. - Loss of invariance of $\{\mathbf{x} \in \Omega, \psi(\mathbf{x}) = 0\}$.

- Such optimal design problems are usually **not well-posed** (Murat counter's example in the elliptic case)
- Infima are not reached in $L^\infty(\Omega \times (0, T), \{0, 1\})$
- Minimizing sequences exhibit finer and finer scale.

- How to compute a relaxed well-posed reformulation, says (RP_ω) , of these problems ?
- How to extract from a minimizer of the relaxed problem (RP_ω) a minimizing sequence of (P_ω) ?
 - Approach I: Homogenization (G-convergence, Γ -limit, ...) [Tartar, Murat, ...]
 - Approach II: Vectorial reformulation + Young Measure [Dacorogna, Michaille, Pedregal⁴, ...]

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Relaxation for the problem (P_ω^1)

$$(RP_\omega^1) : \inf_{s \in L^\infty(\Omega)} \int_0^T \int_\Omega (u_t^2 + |\nabla u|^2) dx dt \quad (10)$$

subject to

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Theorem (AM - Pedregal - Periago (06))

Problem (RP_ω^1) is a full relaxation of (P_ω^1) in the sense that

- *there are optimal solutions for (RP_ω^1) ;*
- *the infimum of (P_ω^1) equals the minimum of (RP_ω^1) ;*
- *if s is optimal for (RP_ω^1) , then optimal sequences of damping subsets ω_j for (P_ω^1) are exactly those for which the Young measure associated with the sequence of their characteristic functions \mathcal{X}_{ω_j} is precisely*

$$s(x)\delta_1 + (1 - s(x))\delta_0. \quad (12)$$

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Proof of Theorem 1 for $N = 1$ - Step 1: Variational reformulation of (P_ω^1)

- Assuming ω **time independent**, we have (we note $Div = (\partial_t, \partial_x)$)

$$u_{tt} - \Delta u + a(x)\mathcal{X}_\omega u_t = 0 \iff Div(u_t + a(x)\mathcal{X}_\omega u, -u_x) = 0 \quad (13)$$

$\implies \exists v \in H^1((0, T) \times \Omega)$ such that $u_t + a(x)\mathcal{X}_\omega u = v_x$ and $-u_x = -v_t$

$$A\nabla u + B\nabla v = -a\mathcal{X}_\omega \bar{u} \quad (14)$$

where $\nabla u = \begin{pmatrix} u_t \\ u_x \end{pmatrix}$, $\nabla v = \begin{pmatrix} v_t \\ v_x \end{pmatrix}$, $\bar{u} = \begin{pmatrix} u \\ 0 \end{pmatrix}$, $A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, $B = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$.

$$\omega = \{x \in \Omega, A\nabla u + B\nabla v = -a(x)\bar{u}\} \quad \text{and} \quad \Omega \setminus \omega = \{x \in \Omega, A\nabla u + B\nabla v = 0\} \quad (15)$$

- Let the vector field $U(t, x) = (u(t, x), v(t, x)) \in (H^1((0, T) \times (0, 1)))^2$ and the two sets of matrices

$$\begin{cases} \Lambda_0 = \{M \in \mathcal{M}^{2 \times 2} : AM^{(1)} + BM^{(2)} = 0\} \\ \Lambda_{1,\lambda} = \{M \in \mathcal{M}^{2 \times 2} : AM^{(1)} + BM^{(2)} = \lambda e_1\} \end{cases} \quad (16)$$

where $M^{(i)}$, $i = 1, 2$ stands for the i -th row of the matrix M , $\lambda \in \mathbb{R}$ and $e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$.

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$$\begin{cases} \Lambda_0 = \{M \in \mathcal{M}^{2 \times 2} : AM^{(1)} + BM^{(2)} = 0\} \\ \Lambda_{1,\lambda} = \{M \in \mathcal{M}^{2 \times 2} : AM^{(1)} + BM^{(2)} = \lambda e_1\} \end{cases} \quad (16)$$

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Proof of Theorem 1 for $N = 1$ - Step 1: Variational reformulation of (P_ω^1)

- Assuming ω **time independent**, we have (we note $Div = (\partial_t, \partial_x)$)

$$u_{tt} - \Delta u + a(x)\mathcal{X}_\omega u_t = 0 \iff Div(u_t + a(x)\mathcal{X}_\omega u, -u_x) = 0 \quad (13)$$

$\implies \exists v \in H^1((0, T) \times \Omega)$ such that $u_t + a(x)\mathcal{X}_\omega u = v_x$ and $-u_x = -v_t$

-

$$A\nabla u + B\nabla v = -a\mathcal{X}_\omega \bar{u} \quad (14)$$

where $\nabla u = \begin{pmatrix} u_t \\ u_x \end{pmatrix}$, $\nabla v = \begin{pmatrix} v_t \\ v_x \end{pmatrix}$, $\bar{u} = \begin{pmatrix} u \\ 0 \end{pmatrix}$, $A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, $B = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$.

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Proof of Theorem 1 for $N = 1$ - Step 1: Variational reformulation of P_ω^1

- Then considering the two following functions $W, V : \mathcal{M}^{2 \times 2} \rightarrow \mathbb{R} \cup \{+\infty\}$

$$W(x, U, M) = \begin{cases} |M^{(1)}|^2, & M \in \Lambda_0 \cup \Lambda_{1, -a(x)U^{(1)}} \\ +\infty, & \text{else} \end{cases} \quad V(x, U, M) = \begin{cases} 1, & M \in \Lambda_{1, -a(x)U^{(1)}} \\ 0, & M \in \Lambda_0 \setminus \Lambda_{1, -a(x)U^{(1)}} \\ +\infty, & \text{else} \end{cases} \quad (18)$$

- the optimization problem (P_ω^1) is equivalent to the following vector variational problem

$$(VP_\omega^1) \quad m \equiv \inf_U \int_0^T \int_0^1 W(x, U(t, x), \nabla U(t, x)) \, dx \, dt \quad (19)$$

subject to

$$\begin{cases} U = (u, v) \in (H^1((0, T) \times (0, 1)))^2 \\ U^{(1)}(t, 0) = U^{(1)}(t, 1) = 0, & t \in (0, T) \\ U^{(1)}(0, x) = u^0(x), \quad U_t^{(1)}(0, x) = u^1(x), & x \in \Omega \\ \int_0^1 V(x, U(t, x), \nabla U(t, x)) \, dx \leq L |\Omega|, & t \in (0, T). \end{cases} \quad (20)$$

- This procedure transforms the scalar optimization problem (P_ω^1) , with differentiable, integrable and pointwise constraints, into a **non-convex**, vector variational problem (VP_ω^1) with only pointwise and integral constraints.

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- A Young measure is a family of probability measures $\nu = \{\nu_x\}_{x \in \Omega}$ associated with a sequence of functions $f_j : \Omega \subset \mathbb{R}^N \rightarrow A$, such that $\text{supp}(\nu_x) \subset A$, depending measurably on $x \in \Omega$, i.e. for any continuous $\phi : A \rightarrow \mathbb{R}$, the function

$$x \mapsto \bar{\phi}(x) = \int_A \phi(\lambda) d\nu_x(\lambda) \quad \text{is measurable} \quad (21)$$

- Example : let $f(x) = 2\mathcal{X}_{[0,1/2[} - 1$ for $x \in [0, 1]$ 1-periodic and $f_j(x) = f(jx)$, $j \in \mathbb{N}$. For any $\phi : \mathbb{R} \rightarrow \mathbb{R}$ continuous

$$\lim_j \int_0^1 \phi(f_j(x)) dx = \frac{1}{2}(\phi(1) + \phi(-1)), \quad \nu = \frac{1}{2}(\delta_1 + \delta_{-1}) \quad (22)$$

- For any sequel $\{\phi(f_j)\}$ ($\phi : A \rightarrow \mathbb{R}$) weakly convergent in $L^\infty(\Omega) - *$, the weak-limit is expressed in terms of ν :

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Theorem (Fundamental theorem of the Young measures)

Let $\Omega \subset \mathbb{R}^N$ be a measurable set and let $z_j : \Omega \rightarrow \mathbb{R}^m$ be measurable functions such that $\sup_j \int_{\Omega} g(|z_j|) dx < \infty$, where $g : [0, \infty) \rightarrow [0, \infty)$ is a continuous, nondecreasing function such that $\lim_{t \rightarrow \infty} g(t) = \infty$. There exist a subsequence, not relabeled, and a family of probability measures $\nu = \{\nu_x\}_{x \in \Omega}$ depending measurably on x with the property that whenever the sequence $\{\psi(x, z_j(x))\}$ is weakly convergent in $L^1(\Omega)$ for any Carathéodory function $\psi(x, \lambda) : \Omega \times \mathbb{R}^m \rightarrow \mathbb{R}^*$, the weak limit is the function

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Assume that $\{W(\nabla U_j)\}$ is weakly convergent in $L^1((0, T) \times \Omega)$ where U_j is a minimizing sequence for the cost, we have

$$\lim_{j \rightarrow \infty} \int_0^T \int_{\Omega} W(x, t, \nabla U_j(x, t)) dx dt = \int_0^T \int_{\Omega} \int_{\mathcal{M}^{2 \times 2}} W(x, t, A) d\nu_{x,t}(A) dx dt \quad (25)$$

where $\nu = \{\nu_{x,t}\}$ is the Young measure associated with $\{\nabla U_j\}$. [Kinderlehrer-Pedregal, 92].

Moreover, if $\int_{\mathcal{M}^{2 \times 2}} W(x, t, A) d\nu_{x,t}(A) \geq W\left(x, t, \int_{\mathcal{M}^{2 \times 2}} A d\nu_{x,t}(A)\right)$ then

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This characterization allows to express the quasi-convex hull of any ϕ in term of ν :

$$Q\phi(Y) = \inf_{\nu} \left\{ \int_{\mathbb{R}^{n \times m}} \phi(A) d\nu(A); \nu \text{ is an homogeneous gradient Young measure}; \int_{\mathbb{R}^{n \times m}} A d\nu(A) = Y \right\}. \quad (27)$$

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Theorem (Kinderlehrer-Pedregal, 92)

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- $\nabla u(x) = \int_{\mathbb{R}^{n \times m}} A d\nu_x(A)$ for some $u \in W^{1,p}(\Omega)$;
- $\int_{\mathbb{R}^{n \times m}} \phi(A) d\nu_x(A) \geq \phi(\nabla u(x))$ a.e. $x \in \Omega$ and any quasi-convex function ϕ with a polynomial growth of order p ;
- $\int_{\Omega} \int_{\mathbb{R}^{n \times m}} |A|^p d\nu_x(A) dx < \infty$.



This characterization allows to express the quasi-convex hull of any ϕ in term of ν :

$$Q\phi(Y) = \inf_{\nu} \left\{ \int_{\mathbb{R}^{n \times m}} \phi(A) d\nu(A); \nu \text{ is an homogeneous gradient Young measure}; \int_{\mathbb{R}^{n \times m}} A d\nu(A) = Y \right\}. \quad (27)$$

- From Dacorogna ⁵, a relaxed formulation of (VP_ω^1) is where

$$\bar{m} = \min_{U, s} \left\{ \int_0^T \int_{\Omega} CQW(t, x, \nabla U(t, x), s(x)) dx dt \right\} \quad (= m) \quad (28)$$

where the minimum is taken over the fields $U \in (H^1((0, T) \times (0, 1)))^2$ which satisfy the initial and boundary conditions and the function s verifies the constraints

$$0 \leq s(x) \leq 1 \quad \forall x \in \Omega, \quad \text{and} \quad \int_{\Omega} s(x) dx \leq L |\Omega|. \quad (29)$$

- The expression $CQW(t, x, \nabla U(t, x), s(x))$ stands for the **constrained quasi-convexification** of the density W and for a fixed $(F, s) \in \mathcal{M}^{2 \times 2} \times \mathbb{R}$ is defined as

$$CQW(t, x, F, s) = \inf_{\nu} \left\{ \int_{\mathcal{M}^{2 \times 2}} W(t, x, M) d\nu(M) : \nu \in \mathcal{A}(F, s) \right\}, \quad (30)$$

where

$$\begin{aligned} \mathcal{A}(F, s) &= \left\{ \nu : \nu \text{ is a homogeneous } H^1 \text{ -- Young measure,} \right. \\ &\quad \left. F = \int_{\mathcal{M}^{2 \times 2}} M d\nu(M) \quad \text{and} \quad \int_{\mathcal{M}^{2 \times 2}} V(M) d\nu(M) = s \right\}. \end{aligned}$$

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The class $\mathcal{A}(F, s)$ of Gradient Young Measure is NOT explicit. The strategy is as follows : [Kohn-Strang 86], [Fonseca-Muller 00], [Pedregal 05] :

- Minimize over $\nu \in \mathcal{A}^*$, the class of **polyconvex measures** such that

$$\mathcal{A}(F, s) \subset \mathcal{A}^*(F, s), \forall F, s \quad (31)$$

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- Study if the optimal measure $\nu_{opt} \in \mathcal{A}^*$ satisfies a **rank one condition**, in which case, ν_{opt} belongs to the class of laminates \mathcal{A}_* such that

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Step 2: Minimization over \mathcal{A}^* - Computation of CPW



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From the volume constraint ($s = \int_{\mathcal{M}^{2 \times 2}} V(U, M) d\nu(M)$), the measure has the form

$$\nu = s\nu_1 + (1 - s)\nu_0, \quad \text{with } \text{supp}(\nu_j) \subset \Lambda_j, j = 0, 1, \quad (37)$$

and hence for each pair (F, s) , the constrained polyconvexification $CPW(F, s)$ is computed by solving

$$CPW(F, s) = \min_{\nu} \left\{ s \int_{\Lambda_1} |M^{(1)}|^2 d\nu_1(M) + (1 - s) \int_{\Lambda_0} |M^{(1)}|^2 d\nu_0(M) \right\} \quad (38)$$

subject to

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Step 2: Minimization over \mathcal{A}^* - Computation of CPW

- Let us introduce the following variables

$$S_i = \int_{\mathbb{R}} (M_{1i})^2 d\nu^{(1i)}, \quad i = 1, 2, \quad (40)$$

where $\nu^{(1i)}$ stands for the projection of ν onto the $(1i)$ -th component, and

$$F^j = \int_{\Lambda_j} M d\nu_j(M), \quad j = 0, 1. \quad (41)$$

Since $F^j \in \Lambda_j$, we have

$$F_{11}^0 = F_{22}^0, F_{12}^0 = F_{21}^0 \quad \text{and} \quad F_{11}^1 = F_{22}^1 + \lambda, F_{12}^1 = F_{21}^1 \quad (42)$$

On the other hand, from the third condition in (39) it follows that

$$\begin{cases} F_{11} = sF_{11}^1 + (1-s)F_{11}^0, & F_{12} = sF_{12}^1 + (1-s)F_{12}^0 \\ F_{21} = sF_{21}^1 + (1-s)F_{21}^0, & F_{22} = sF_{22}^1 + (1-s)F_{22}^0 \end{cases} \quad (43)$$

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which has a solution if and only if the compatibility condition

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Step 2: Minimization over \mathcal{A}^* - Computation of CPW

- Moreover, the constraint on the commutation with \det yields to

$$\begin{aligned}\det F &= s \int_{\Lambda_1} \det M d\nu_1(M) + (1-s) \int_{\Lambda_0} \det M d\nu_0(M) \\ &= S_1 - S_2 - s\lambda F_{11}^1\end{aligned}$$

since

$$\det M = \begin{cases} (M_{11})^2 - (M_{12})^2 & \text{if } M \in \Lambda_0 \\ (M_{11})^2 - \lambda M_{11} - (M_{12})^2 & \text{if } M \in \Lambda_1 \end{cases} \quad (47)$$

Finally, from Jensen's inequality we obtain the conditions

$$S_i \geq |F_{1i}|^2, \quad i = 1, 2. \quad (48)$$

To sum up, we have to solve the mathematical programming problem

$$\text{Minimize in } (S_j, F_{11}^1) : \quad (S_1 + S_2) \quad (49)$$

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$$\mathcal{A}^*(F, s) = \left\{ \nu : \nu \text{ is a homogeneous Young measure, } \nu \text{ commutes with the determinant,} \right. \\ \left. F = \int_{\mathcal{M}^{2 \times 2}} M d\nu(M), s = \int_{\mathcal{M}^{2 \times 2}} V(U, M) d\nu(M) \right\}. \quad (54)$$

• We have

$$CPW(F, s) = \begin{cases} |F^{(1)}|^2 & \text{if } F_{21} = F_{12}, F_{11} = F_{22} + s\lambda \\ +\infty & \text{else.} \end{cases} \quad (55)$$

• The optimal, unique, measure ν is

$$\nu = (1 - s)\delta_{G^0} + s\delta_{G^1}, \quad (56)$$

where

$$G^0 = \begin{pmatrix} F_{11} & F_{12} \\ F_{12} & F_{11} \end{pmatrix} \quad \text{and} \quad G^1 = \begin{pmatrix} F_{11} & F_{12} \\ F_{12} & F_{11} + \lambda \end{pmatrix}. \quad (57)$$

Step 2: Minimization over \mathcal{A}^* - CPW

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Step 3 : Rank-one condition on ν_{opt} ? - $\nu_{opt} \in \mathcal{A}_*$?

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we get that

$$G^1 - G^0 = b \otimes n, \quad \text{with } b = (0, \lambda) \quad \text{and} \quad n = (0, 1) \quad (59)$$

-

$$\text{Rank}(G^1 - G^0) = 1 \quad (60)$$

$\implies \nu_{opt}$ satisfies a rank one condition.

- The optimal measure ν_{opt} belongs to \mathcal{A}_* , and ν_{opt} is a **first order laminate** with normal n
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Step 4 : Re-interpretation in terms of the initial variable u

- From $\lambda = -a(x)U^{(1)}(t, x)$ and

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$$CQW(\nabla U, s) = \begin{cases} u_t^2(t, x) + u_x^2(t, x) & \text{if } u_x = v_t, u_t = v_x - a(x)s(x)u(t, x) \\ +\infty & \text{else.} \end{cases} \quad (63)$$

equivalently

$$CQW(\nabla U, s) = \begin{cases} u_t^2(t, x) + u_x^2(t, x) & \text{if } u_{tt} - u_{xx} + a(x)s(x)u_t = 0 \\ +\infty & \text{else.} \end{cases} \quad (64)$$

- $\min_{U, s} \int_0^T \int_{\Omega} CQW(\nabla U, s) dx dt$ then leads to (RP_{ω}^1)

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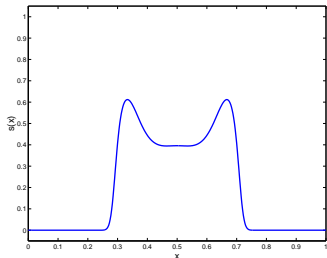
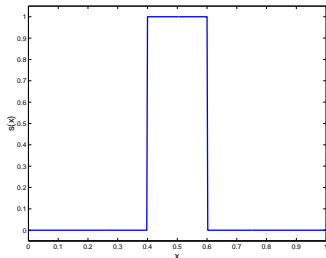
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Some numerical results for (RP_ω^1)

$$\Omega = (0, 1), \quad (u^0(x), u^1(x)) = (\sin(\pi x), 0), \quad L = 1/5, \quad T = 1 \quad (65)$$



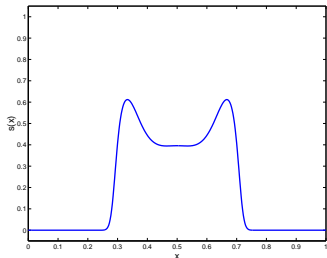
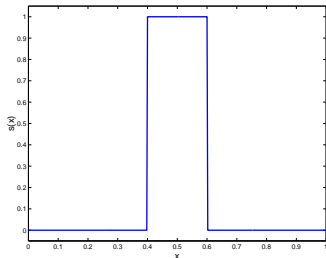
Optimal density for $a(x) = 1$ (Left) and $a(x) = 10$ (Right)

- If $a \leq a^*(\Omega, L, u^0, u^1)$, $\{x \in \Omega, 0 < s(x) < 1\} = \emptyset$, $(P_\omega^1) = (RP_\omega^1)$ and is well-posed
- If $a > a^*(\Omega, L, u^0, u^1)$, $\{x \in \Omega, 0 < s(x) < 1\} \neq \emptyset$, $(P_\omega^1) \neq (RP_\omega^1)$ and is NOT well-posed

(This property is related to the over-damping phenomena)

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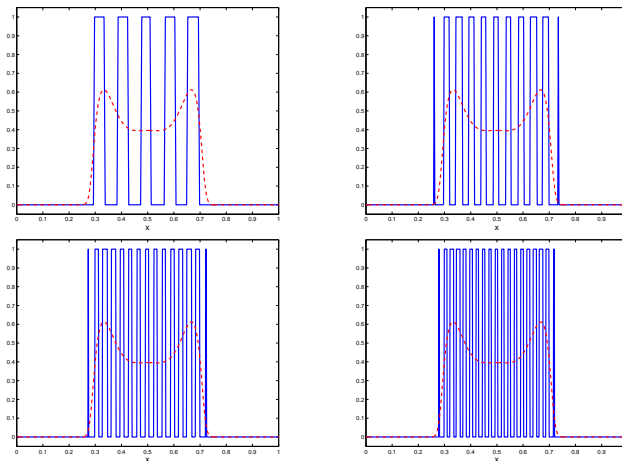
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$\#\omega_j$	10	20	30	40
$l(\mathcal{X}_{\omega_j})$	4.1331	3.7216	3.5413	3.4313

$$\lim_{\#\omega_j \rightarrow \infty} l(\mathcal{X}_{\omega_j}) = l(S_{opt}) = 3.4212$$



- Similarly, the damped wave equation may be written as

$$u_{tt} - \Delta u + a(x_1, x_2) \mathcal{X}_\omega u_t = 0 \iff \text{Div} \left(u_t + a \mathcal{X}_\omega u, -u_{x_1}, -u_{x_2} \right) = 0 \quad \text{in } (0, T) \times \Omega \quad (67)$$

and so there exist two Clebsch's potentials⁶ $v_1 = v_1(t, x_1, x_2)$ and $v_2 = v_2(t, x_1, x_2)$ such that


$$\left(u_t + a \mathcal{X}_\omega u, -u_{x_1}, -u_{x_2} \right) = \nabla v_1 \times \nabla v_2. \quad (68)$$

- Let the vector field $U = (u, v_1, v_2) \in (H^1((0, T) \times \Omega))^3$ and the two non-linear manifolds

$$\begin{aligned} \Lambda_0 &= \left\{ M \in \mathcal{M}^{3 \times 3} : AM^{(1)} - M^{(2)} \times M^{(3)} = 0 \right\}, \\ \Lambda_{1,\lambda} &= \left\{ M \in \mathcal{M}^{3 \times 3} : AM^{(1)} - M^{(2)} \times M^{(3)} = \lambda e_1 \right\}, \end{aligned} \quad (69)$$

where $\lambda \in \mathbb{R}$ and

$$e_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \quad A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}. \quad (70)$$

⁶Kotiuga, P.R., *Clebsch potentials and the visualization of three-dimensional solenoidal vector fields*, 1991. 

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A numerical illustration in 2-D: $\Omega = (0, 1)^2$

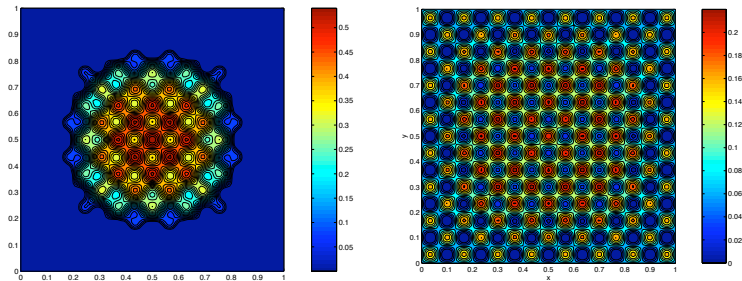


Figure: Iso-values of the optimal s in Ω for $a(\mathbf{x}) = 25\chi_{\Omega}(\mathbf{x})$ (**Left**) and $a(\mathbf{x}) = 50\chi_{\Omega}(\mathbf{x})$ (**Right**) - $T = 1$

Optimal (α, β) spatio-temporal distribution for the wave equation

[Maestre-AM-Pedregal, IFB 08]⁷

- Let $\Omega \subset \mathbb{R}$, $0 < \alpha < \beta < \infty$, $L \in (0, 1)$, $T > 0$, $(u^0, u^1) \in H_0^1(\Omega) \times L^2(\Omega)$.

$$(P_\omega^2) : \quad \inf_{\chi_\omega} J(\chi_\omega) = \int_0^T \int_\Omega (|u_t|^2 + a(t, x, \chi_\omega) |\nabla u|^2) dx dt \quad (71)$$

with

$$a(t, x, \chi_\omega) = a_\alpha(t, x)\chi_\omega + a_\beta(t, x)(1 - \chi_\omega) \quad (72)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}([\alpha\chi_\omega + \beta(1 - \chi_\omega)]\nabla u) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \Omega, \\ \chi_\omega \in L^\infty((0, T) \times \Omega; \{0, 1\}), \\ \|\chi_\omega\|_{L^1(\Omega)} \leq L \|\chi_\Omega\|_{L^1(\Omega)} & (0, T) \end{cases} \quad (73)$$

⁷ F. Maestre, AM, P. Pedregal, *Optimal design under the one-dimensional wave equation*, Interfaces and Free Boundaries (2008)

Problem (P_ω^2): Optimal (α, β) distribution - The result

- $h(t, \mathbf{x}) = \beta a_\alpha(t, \mathbf{x}) - \alpha a_\beta(t, \mathbf{x}), \quad a(t, \mathbf{x}, \mathcal{X}) = \mathcal{X}(t, \mathbf{x})a_\alpha(t, \mathbf{x}) + (1 - \mathcal{X}(t, \mathbf{x}))a_\beta(t, \mathbf{x})$

$$(RP_\omega^2) : \quad \min_{U, s} \int_0^T \int_\Omega CQW(t, \mathbf{x}, \nabla U(t, \mathbf{x}), s(t, \mathbf{x})) dx dt$$

$$\begin{cases} U = (u, v) \in H^1([0, T] \times \Omega)^2, \quad \text{tr}(\nabla U(t, \mathbf{x})) = 0, \\ U^{(1)}(0, \mathbf{x}) = u_0(\mathbf{x}), \quad U_t^{(1)}(0, \mathbf{x}) = u_1(\mathbf{x}) \quad \text{in } \Omega, \\ U^{(1)}(t, 1) = U^{(1)}(t, 0) = 0 \quad \text{in } [0, T], \\ 0 \leq s(t, \mathbf{x}) \leq 1, \quad \int_\Omega s(t, \mathbf{x}) dx \leq V_\alpha |\Omega| \quad \forall t \in [0, T], \end{cases}$$

- $CQW(t, \mathbf{x}, F, s)$ is defined by

$$\begin{cases} \frac{h}{s\beta(\beta - \alpha)^2} (\beta^2 |F_{12}|^2 + |F_{21}|^2 + 2\beta F_{12}F_{21}) + |F_{11}|^2 - \frac{a_\beta}{\beta} F_{12}F_{21} & \text{if } h(t, \mathbf{x}) \geq 0, \psi(F, s) \leq 0 \\ \frac{-h}{(1-s)\alpha(\beta - \alpha)^2} (\alpha^2 |F_{12}|^2 + |F_{21}|^2 + 2\alpha F_{12}F_{21}) + |F_{11}|^2 - \frac{a_\alpha}{\alpha} F_{12}F_{21}, & \text{if } h(t, \mathbf{x}) \leq 0, \psi(F, s) \leq 0 \\ -\det F + \frac{1}{s(1-s)(\beta - \alpha)^2} \left(((1-s)\beta^2(\alpha + a_\alpha) + s\alpha^2(\beta + a_\beta)) |F_{12}|^2 \right. \\ \quad \left. + ((1-s)(\alpha + a_\alpha) + s(\beta + a_\beta)) |F_{21}|^2 + 2((\alpha + a_\alpha)\beta - sh) F_{12}F_{21} \right) & \text{if } \psi(F, s) \geq 0. \\ + \infty & \text{if } \text{Tr}(F) \neq 0 \end{cases}$$

$$\psi(F, s) = \frac{(\alpha(1-s) + \beta s)}{(\beta - \alpha)} \left(F_{21} + \lambda_{\alpha, \beta}^-(s) F_{12} \right) \left(F_{21} + \lambda_{\alpha, \beta}^+(s) F_{12} \right)$$

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The relaxation for (P_ω^3): First order laminate



$$(RP_\omega^3) : \min_{U,s,r} \int_0^T \int_\Omega CQW(t, \mathbf{x}, \nabla U(t, \mathbf{x}), s(t, \mathbf{x}), r(x)) dx dt$$

soumis à

$$\left\{ \begin{array}{l} U = (u, v) \in H^1([0, T] \times \Omega)^2, \quad \psi(t, \mathbf{x}, \nabla U, \mathbf{s}, r) = 0 \\ \operatorname{tr}(\nabla U(t, \mathbf{x})) = u_t + v_x = a(x)r(x)u(t, \mathbf{x}), \text{ dans } (0, T) \times \Omega \\ U^{(1)}(0, \mathbf{x}) = u_0(\mathbf{x}), U_t^{(1)}(0, \mathbf{x}) = u_1(\mathbf{x}) \text{ dans } \Omega, \\ U^{(1)}(t, 1) = U^{(1)}(t, 0) = 0 \text{ dans } [0, T], \\ 0 \leq s(t, \mathbf{x}) \leq 1, \int_\Omega s(t, \mathbf{x}) dx \leq L_\alpha |\Omega| \quad \forall t \in [0, T], \\ 0 \leq r(x) \leq 1, \int_\Omega r(x) dx \leq L_d |\Omega| \end{array} \right.$$

$CQW(t, \mathbf{x}, F, s, r)$ is given by

$$CQW(U, F, s, r) = |F_{11}|^2 + \frac{a_\alpha}{s(\beta - \alpha)^2} |\beta F_{12} + F_{21}|^2 + \frac{a_\beta}{(1-s)(\beta - \alpha)^2} |\alpha F_{12} + F_{21}|^2 \quad (74)$$

$$\psi(F, s, r) = \frac{(\alpha(1-s) + \beta s)}{(\beta - \alpha)} \left(F_{21} + \lambda_{\alpha, \beta}^-(s) F_{12} \right) \left(F_{21} + \lambda_{\alpha, \beta}^+(s) F_{12} \right)$$

First order laminate \implies (Regular effect on the optimal micro-structure) or (no second order laminates for (RP_ω^2)).

The relaxation for (P_ω^3) : First order laminate

$$(RP_\omega^3) : \min_{U,s,r} \int_0^T \int_\Omega CQW(t, \mathbf{x}, \nabla U(t, \mathbf{x}), s(t, \mathbf{x}), r(x)) dx dt$$

soumis à

$$\begin{cases} U = (u, v) \in H^1([0, T] \times \Omega)^2, & \psi(t, \mathbf{x}, \nabla U, s, r) = 0 \\ \operatorname{tr}(\nabla U(t, \mathbf{x})) = u_t + v_x = a(x)r(x)u(t, \mathbf{x}), & \text{dans } (0, T) \times \Omega \\ U^{(1)}(0, \mathbf{x}) = u_0(\mathbf{x}), U_t^{(1)}(0, \mathbf{x}) = u_1(\mathbf{x}) & \text{dans } \Omega, \\ U^{(1)}(t, 1) = U^{(1)}(t, 0) = 0 & \text{dans } [0, T], \\ 0 \leq s(t, \mathbf{x}) \leq 1, \int_\Omega s(t, \mathbf{x}) dx \leq L_\alpha |\Omega| & \forall t \in [0, T], \\ 0 \leq r(x) \leq 1, \int_\Omega r(x) dx \leq L_d |\Omega| \end{cases}$$

$CQW(t, \mathbf{x}, F, s, r)$ is given by

$$CQW(U, F, s, r) = |F_{11}|^2 + \frac{a_\alpha}{s(\beta - \alpha)^2} |\beta F_{12} + F_{21}|^2 + \frac{a_\beta}{(1-s)(\beta - \alpha)^2} |\alpha F_{12} + F_{21}|^2 \quad (74)$$

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First order laminate \implies (Regular effect on the optimal micro-structure) or (no second order laminates for (RP_ω^2)).

The relaxation for (RP_ω^3): First order laminate

$$(RP_\omega^3) : \min_{U,s,r} \int_0^T \int_\Omega CQW(t, \mathbf{x}, \nabla U(t, \mathbf{x}), s(t, \mathbf{x}), r(x)) dx dt$$

soumis à

$$\left\{ \begin{array}{l} U = (u, v) \in H^1([0, T] \times \Omega)^2, \quad \psi(t, \mathbf{x}, \nabla U, s, r) = 0 \\ \operatorname{tr}(\nabla U(t, \mathbf{x})) = u_t + v_x = a(x)r(x)u(t, \mathbf{x}), \text{ dans } (0, T) \times \Omega \\ U^{(1)}(0, \mathbf{x}) = u_0(\mathbf{x}), U_t^{(1)}(0, \mathbf{x}) = u_1(\mathbf{x}) \text{ dans } \Omega, \\ U^{(1)}(t, 1) = U^{(1)}(t, 0) = 0 \text{ dans } [0, T], \\ 0 \leq s(t, \mathbf{x}) \leq 1, \int_\Omega s(t, \mathbf{x}) dx \leq L_\alpha |\Omega| \quad \forall t \in [0, T], \\ 0 \leq r(x) \leq 1, \int_\Omega r(x) dx \leq L_d |\Omega| \end{array} \right.$$

$CQW(t, \mathbf{x}, F, s, r)$ is given by

$$CQW(U, F, s, r) = |F_{11}|^2 + \frac{a_\alpha}{s(\beta - \alpha)^2} |\beta F_{12} + F_{21}|^2 + \frac{a_\beta}{(1-s)(\beta - \alpha)^2} |\alpha F_{12} + F_{21}|^2 \quad (74)$$

$$\psi(F, s, r) = \frac{(\alpha(1-s) + \beta s)}{(\beta - \alpha)} \left(F_{21} + \lambda_{\alpha, \beta}^-(s) F_{12} \right) \left(F_{21} + \lambda_{\alpha, \beta}^+(s) F_{12} \right)$$

First order laminate \implies (Regular effect on the optimal micro-structure) or (no second order laminates for (RP_ω^2)).

$$\psi(F, s) = 0 \Rightarrow \left(F_{21} + \frac{\lambda^+(s) + \lambda^-(s)}{2} F_{12} \right)^2 = \frac{1}{4} (\lambda^+(s) - \lambda^-(s))^2 |F_{12}|^2 \quad (75)$$

$$F_{21} = m(x, t) \left(\frac{\lambda^+(s) - \lambda^-(s)}{2} \right) |F_{12}| - \left(\frac{\lambda^+(s) + \lambda^-(s)}{2} \right) F_{12}, \quad m(x, t) = \pm 1 \text{ in } (0, T) \times \Omega \quad (76)$$

The relaxed formulation (RP_ω^2) is equivalent

$$(RP_\omega^2) : \quad \min_{u, s, m} \int_0^T \int_\Omega CQW(x, t, u, s, m) dx dt$$

subject to

$$\begin{cases} u_t - \operatorname{div} \left(\frac{\lambda^+(s) + \lambda^-(s)}{2} \nabla u - m(x, t) \left(\frac{\lambda^+(s) - \lambda^-(s)}{2} \right) |\nabla u| \right) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \Omega, \\ s \in L^\infty((0, T) \times \Omega; \{0, 1\}), \quad |m(x, t)| = 1 & \\ \|s\|_{L^1(\Omega)} \leq L \|X_\Omega\|_{L^1(\Omega)} & (0, T) \end{cases} \quad (77)$$

$$\psi(F, s) = 0 \Rightarrow \left(F_{21} + \frac{\lambda^+(s) + \lambda^-(s)}{2} F_{12} \right)^2 = \frac{1}{4} (\lambda^+(s) - \lambda^-(s))^2 |F_{12}|^2 \quad (75)$$

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The relaxed formulation (RP_ω^2) is equivalent

$$(RP_\omega^2) : \quad \min_{u, s, m} \int_0^T \int_\Omega CQW(x, t, u, s, m) dx dt$$

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$$\begin{cases} u_t - \operatorname{div} \left(\frac{\lambda^+(s) + \lambda^-(s)}{2} \nabla u - m(x, t) \left(\frac{\lambda^+(s) - \lambda^-(s)}{2} \right) |\nabla u| \right) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_1(0, \cdot) = u^1 & \Omega, \\ s \in L^\infty((0, T) \times \Omega; \{0, 1\}), \quad |m(x, t)| = 1 & \\ \|s\|_{L^1(\Omega)} \leq L \|X_\Omega\|_{L^1(\Omega)} & (0, T) \end{cases} \quad (77)$$

$$\psi(F, s) = 0 \Rightarrow \left(F_{21} + \frac{\lambda^+(s) + \lambda^-(s)}{2} F_{12} \right)^2 = \frac{1}{4} (\lambda^+(s) - \lambda^-(s))^2 |F_{12}|^2 \quad (75)$$

$$F_{21} = m(x, t) \left(\frac{\lambda^+(s) - \lambda^-(s)}{2} \right) |F_{12}| - \left(\frac{\lambda^+(s) + \lambda^-(s)}{2} \right) F_{12}, \quad m(x, t) = \pm 1 \text{ in } (0, T) \times \Omega \quad (76)$$

Theorem

The relaxed formulation (RP_ω^2) is equivalent

$$(RP_\omega^2) : \quad \min_{u, s, m} \int_0^T \int_\Omega CQW(x, t, u, s, m) dx dt$$

subject to

$$\left\{ \begin{array}{ll} u_{tt} - \operatorname{div} \left(\frac{\lambda^+(s) + \lambda^-(s)}{2} \nabla u - m(x, t) \left(\frac{\lambda^+(s) - \lambda^-(s)}{2} \right) |\nabla u| \right) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \Omega, \\ s \in L^\infty((0, T) \times \Omega; \{0, 1\}), \quad |m(x, t)| = 1 & \\ \|s\|_{L^1(\Omega)} \leq L \|\mathcal{X}_\Omega\|_{L^1(\Omega)} & (0, T) \end{array} \right. \quad (77)$$

Problem (P_ω^2) : Particular case : $(a_\alpha, a_\beta) = (\alpha, \beta)$

The relaxed formulation of

$$(P_\omega^2) : \inf_{\chi_\omega} I(\chi_\omega) = \int_0^T \int_\Omega (|u_t|^2 + [\alpha \chi_\omega + \beta(1 - \chi_\omega)] |\nabla u|^2) dx dt \quad (78)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}([\alpha \chi_\omega + \beta(1 - \chi_\omega)] \nabla u) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \Omega, \\ \chi_\omega \in L^\infty((0, T) \times \Omega; \{0, 1\}), \\ \|\chi_\omega\|_{L^1(\Omega)} \leq L \|\chi_\Omega\|_{L^1(\Omega)} & (0, T) \end{cases} \quad (79)$$

$$m = \min_{u, s} \int_0^T \int_\Omega \left(u_t(t, x)^2 + \frac{1}{(\alpha^{-1}s + \beta^{-1}(1-s))} u_x(t, x)^2 \right) dx dt \quad (80)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}\left(\frac{1}{\alpha^{-1}s(t,x) + \beta^{-1}(1-s(t,x))} \nabla u\right) = 0 & \text{in } (0, T) \times \Omega, \\ u = 0 & \text{on } (0, T) \times \partial\Omega, \\ u(0, x) = u^0(x), \quad u_t(0, x) = u^1(x) & \text{in } \Omega, \\ 0 \leq s(t, x) \leq 1, \int_\Omega s(t, x) dx \leq L|\Omega| & \text{in } [0, T] \end{cases} \quad (81)$$

and the optimal measure is recovered with first order laminates with normal $(0, 1)$.

Problem (P_ω^2): Particular case : $(a_\alpha, a_\beta) = (\alpha, \beta)$

The relaxed formulation of

$$(P_\omega^2) : \inf_{\chi_\omega} I(\chi_\omega) = \int_0^T \int_\Omega (|u_t|^2 + [\alpha \chi_\omega + \beta(1 - \chi_\omega)] |\nabla u|^2) dx dt \quad (78)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}([\alpha \chi_\omega + \beta(1 - \chi_\omega)] \nabla u) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \Omega, \\ \chi_\omega \in L^\infty((0, T) \times \Omega; \{0, 1\}), \\ \|\chi_\omega\|_{L^1(\Omega)} \leq L \|\chi_\Omega\|_{L^1(\Omega)} & (0, T) \end{cases} \quad (79)$$

Theorem

$$m = \min_{u, s} \int_0^T \int_\Omega \left(u_t(t, x)^2 + \frac{1}{(\alpha^{-1}s + \beta^{-1}(1-s))} u_x(t, x)^2 \right) dx dt \quad (80)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}\left(\frac{1}{\alpha^{-1}s(t,x) + \beta^{-1}(1-s(t,x))} \nabla u\right) = 0 & \text{in } (0, T) \times \Omega, \\ u = 0 & \text{on } (0, T) \times \partial\Omega, \\ u(0, x) = u^0(x), \quad u_t(0, x) = u^1(x) & \text{in } \Omega, \\ 0 \leq s(t, x) \leq 1, \int_\Omega s(t, x) dx \leq L|\Omega| & \text{in } [0, T] \end{cases} \quad (81)$$

and the optimal measure is recovered with first order laminates with normal $(0, 1)$.

Problem (P_ω^2): Particular case : $(a_\alpha, a_\beta) = (1, 1)$

The relaxed formulation of

$$(P_\omega^2) : \inf_{\mathcal{X}_\omega} I(\mathcal{X}_\omega) = \int_0^T \int_\Omega (|u_t|^2 + |\nabla u|^2) dx dt \quad (82)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}([\alpha \mathcal{X}_\omega + \beta(1 - \mathcal{X}_\omega)] \nabla u) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \Omega, \\ \mathcal{X}_\omega \in L^\infty((0, T) \times \Omega; \{0, 1\}), \\ \|\mathcal{X}_\omega\|_{L^1(\Omega)} \leq L \|\mathcal{X}_\Omega\|_{L^1(\Omega)} & (0, T) \end{cases} \quad (83)$$

$$m = \min_{u, s} \int_0^T \int_\Omega \left(u_t(t, x)^2 + [\alpha s(t, x) + \beta(1 - s(t, x))] u_x(t, x)^2 \right) dx dt \quad (84)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}([\alpha s(t, x) + \beta(1 - s(t, x))] \nabla u) = 0 & m \text{ in } (0, T) \times \Omega, \\ u = 0 & m \text{ in } (0, T) \times \partial\Omega, \\ u(0, x) = u^0(x), \quad u_t(0, x) = u^1(x) & m \text{ in } \Omega, \\ 0 \leq s(t, x) \leq 1, \int_\Omega s(t, x) dx \leq L|\Omega| & m \text{ in } [0, T] \end{cases} \quad (85)$$

and the optimal measure is recovered with first order laminates with normal $(1, 0)$.

Problem (P_ω^2): Particular case : $(a_\alpha, a_\beta) = (1, 1)$

The relaxed formulation of

$$(P_\omega^2) : \inf_{\mathcal{X}_\omega} I(\mathcal{X}_\omega) = \int_0^T \int_\Omega (|u_t|^2 + |\nabla u|^2) dx dt \quad (82)$$

subject to

$$\begin{cases} u_{tt} - \operatorname{div}([\alpha \mathcal{X}_\omega + \beta(1 - \mathcal{X}_\omega)] \nabla u) = 0 & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \Omega, \\ \mathcal{X}_\omega \in L^\infty((0, T) \times \Omega; \{0, 1\}), \\ \|\mathcal{X}_\omega\|_{L^1(\Omega)} \leq L \|\mathcal{X}_\Omega\|_{L^1(\Omega)} & (0, T) \end{cases} \quad (83)$$

Theorem

$$m = \min_{u, s} \int_0^T \int_\Omega \left(u_t(t, x)^2 + [\alpha s(t, x) + \beta(1 - s(t, x))] u_x(t, x)^2 \right) dx dt \quad (84)$$

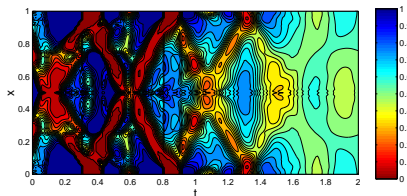
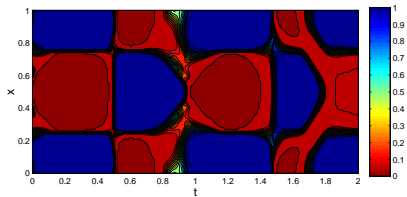
subject to

$$\begin{cases} u_{tt} - \operatorname{div}([\alpha s(t, x) + \beta(1 - s(t, x))] \nabla u) = 0 & \text{in } (0, T) \times \Omega, \\ u = 0 & \text{on } (0, T) \times \partial\Omega, \\ u(0, x) = u^0(x), \quad u_t(0, x) = u^1(x) & \text{in } \Omega, \\ 0 \leq s(t, x) \leq 1, \int_\Omega s(t, x) dx \leq L|\Omega| & \text{in } [0, T] \end{cases} \quad (85)$$

and the optimal measure is recovered with first order laminates with normal $(1, 0)$.

Some numerical results for (RP_{ω}^2)

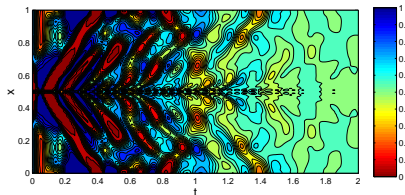
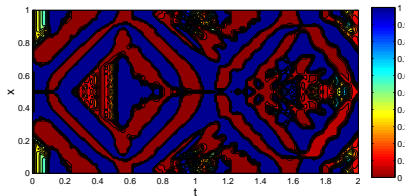
Let $\Omega = (0, 1)$, $T = 2$ and $(u^0, u^1) = (\sin(\pi x), 0)$ and $L = 1/2$



Iso-values of the optimal density s on $(0, T) \times \Omega$ Top: $(\alpha, \beta) = (1, 1.1)$ -Bottom: $(\alpha, \beta) = (1, 10)$

Some numerical results for (RP_ω^2)

Let $\Omega = (0, 1)$, $T = 2$ and $(u^0, u^1) = (e^{-0.5(x-0.5)^2}, 0)$ and $L = 1/2$



Iso-values of the optimal density s on $(0, T) \times \Omega$ Top: $(\alpha, \beta) = (1, 1.1)$ -Bottom: $(\alpha, \beta) = (1, 10)$

Optimization of the heat flux: Div-Rot Young Measure

$$\begin{aligned}
 (P_t) \quad \text{Minimize over } \boldsymbol{\chi} : \quad J_t(\boldsymbol{\chi}) &= \frac{1}{2} \int_0^T \int_{\Omega} K(t, x) \nabla u(t, x) \cdot \nabla u(t, x) \, dx dt \\
 \left\{ \begin{array}{ll} (\beta(t, x)u(t, x))' - \operatorname{div} (K(t, x) \nabla u(t, x)) = f(t, x) & \text{in } (0, T) \times \Omega, \\ u = 0 & \text{on } (0, T) \times \partial\Omega, \\ u(0, x) = u_0(x) & \text{in } \Omega, \end{array} \right. \quad (86)
 \end{aligned}$$

with

$$\beta(t, x) = \boldsymbol{\chi}(t, x) \beta_1 + (1 - \boldsymbol{\chi}(t, x)) \beta_2, \quad K(t, x) = \boldsymbol{\chi}(t, x) k_1 I_N + (1 - \boldsymbol{\chi}(t, x)) k_2 I_N,$$

Theorem (AM, Pedregal, Periago, JMPA 2008)

$$(RP_t) \quad \text{Minimize over } (\theta, \bar{G}, u) : \quad \bar{J}_t(\theta, \bar{G}, u) = \frac{1}{2} \int_0^T \int_{\Omega} \left[k_1 \frac{|\bar{G} - k_2 \nabla u|^2}{\theta (k_1 - k_2)^2} + k_2 \frac{|\bar{G} - k_1 \nabla u|^2}{(1 - \theta) (k_2 - k_1)^2} \right] dx dt$$

$$\left\{ \begin{array}{ll} G \in L^2((0, T) \times \Omega; \mathbb{R}^{N+1}), & u \in H^1((0, T) \times \Omega; \mathbb{R}), \\ ((\theta \beta_1 + (1 - \theta) \beta_2) u)' - \operatorname{div} \bar{G} = 0 & \text{dans } H^{-1}((0, T) \times \Omega), \\ u|_{\partial\Omega} = 0 \text{ p.p. } t \in [0, T], & u(0) = u_0 \text{ dans } \Omega, \\ \theta \in L^\infty((0, T) \times \Omega; [0, 1]), \quad \int_{\Omega} \theta(t, x) \, dx = L|\Omega| \text{ p.p. } t \in (0, T). \end{array} \right.$$

is a relaxation of (P_t) in the following sense :

- (i) (RP_t) is well-posed,
- (ii) the infimum of (VP_t) equals the minimum of (RP_t) , and
- (iii) the Young measure associated with (RP_t) (et donc la micro-structure optimale de (VP_t)) is expressed in term of an explicit first order laminate.

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$$\beta(t, x) = \boldsymbol{\chi}(t, x) \beta_1 + (1 - \boldsymbol{\chi}(t, x)) \beta_2, \quad K(t, x) = \boldsymbol{\chi}(t, x) k_1 l_N + (1 - \boldsymbol{\chi}(t, x)) k_2 l_N,$$

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$$(RP_t) \quad \text{Minimize over } (\theta, \bar{G}, u) : \quad \bar{J}_t(\theta, \bar{G}, u) = \frac{1}{2} \int_0^T \int_{\Omega} \left[k_1 \frac{|\bar{G} - k_2 \nabla u|^2}{\theta (k_1 - k_2)^2} + k_2 \frac{|\bar{G} - k_1 \nabla u|^2}{(1 - \theta) (k_2 - k_1)^2} \right] dx dt$$

$$\left\{ \begin{array}{ll} G \in L^2((0, T) \times \Omega; \mathbb{R}^{N+1}), & u \in H^1((0, T) \times \Omega; \mathbb{R}), \\ ((\theta \beta_1 + (1 - \theta) \beta_2) u)' - \operatorname{div} \bar{G} = 0 & \text{dans } H^{-1}((0, T) \times \Omega), \\ u|_{\partial\Omega} = 0 \text{ p.p. } t \in [0, T], & u(0) = u_0 \text{ dans } \Omega, \\ \theta \in L^\infty((0, T) \times \Omega; [0, 1]), \quad \int_{\Omega} \theta(t, x) \, dx = L|\Omega| \text{ p.p. } t \in (0, T). \end{array} \right.$$

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 \end{aligned}$$

with

$$\beta(t, x) = \boldsymbol{\chi}(t, x) \beta_1 + (1 - \boldsymbol{\chi}(t, x)) \beta_2, \quad K(t, x) = \boldsymbol{\chi}(t, x) k_1 l_N + (1 - \boldsymbol{\chi}(t, x)) k_2 l_N,$$

Theorem (AM, Pedregal, Periago, JMPA 2008)

$$(RP_t) \quad \text{Minimize over } (\theta, \bar{G}, u) : \quad \bar{J}_t(\theta, \bar{G}, u) = \frac{1}{2} \int_0^T \int_{\Omega} \left[k_1 \frac{|\bar{G} - k_2 \nabla u|^2}{\theta (k_1 - k_2)^2} + k_2 \frac{|\bar{G} - k_1 \nabla u|^2}{(1 - \theta) (k_2 - k_1)^2} \right] dx dt$$

$$\left\{ \begin{array}{ll} G \in L^2((0, T) \times \Omega; \mathbb{R}^{N+1}), & u \in H^1((0, T) \times \Omega; \mathbb{R}), \\ ((\theta \beta_1 + (1 - \theta) \beta_2) u)' - \operatorname{div} \bar{G} = 0 & \text{dans } H^{-1}((0, T) \times \Omega), \\ u|_{\partial\Omega} = 0 \text{ p.p. } t \in [0, T], & u(0) = u_0 \text{ dans } \Omega, \\ \theta \in L^\infty((0, T) \times \Omega; [0, 1]), \quad \int_{\Omega} \theta(t, x) \, dx = L|\Omega| \text{ p.p. } t \in (0, T). \end{array} \right.$$

is a relaxation of (P_t) in the following sense :

- (i) (RP_t) is well-posed,
- (ii) the infimum of (VP_t) equals the minimum of (RP_t) , and
- (iii) the Young measure associated with (RP_t) (et donc la micro-structure optimale de (VP_t)) is expressed in term of an explicit first order laminate.

Optimization of the heat flux: Div-Rot Young Measure

$$\begin{aligned}
 (P_t) \quad \text{Minimize over } \boldsymbol{\chi} : \quad J_t(\boldsymbol{\chi}) &= \frac{1}{2} \int_0^T \int_{\Omega} K(t, x) \nabla u(t, x) \cdot \nabla u(t, x) \, dx dt \\
 \left\{ \begin{array}{ll} (\beta(t, x)u(t, x))' - \operatorname{div} (K(t, x) \nabla u(t, x)) = f(t, x) & \text{in } (0, T) \times \Omega, \\ u = 0 & \text{on } (0, T) \times \partial\Omega, \\ u(0, x) = u_0(x) & \text{in } \Omega, \end{array} \right. \quad (86)
 \end{aligned}$$

with

$$\beta(t, x) = \boldsymbol{\chi}(t, x) \beta_1 + (1 - \boldsymbol{\chi}(t, x)) \beta_2, \quad K(t, x) = \boldsymbol{\chi}(t, x) k_1 l_N + (1 - \boldsymbol{\chi}(t, x)) k_2 l_N,$$

Theorem (AM, Pedregal, Periago, JMPA 2008)

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[AM 06,07,08] [Asch-Lebeau 99], [Chambolle-Santosa 03], [Periago 09]

- Let $\Omega \subset \mathbb{R}^N$, $N = 1, 2$, $(u^0, u^1) \in H_0^1(\Omega) \times L^2(\Omega)$, $L \in (0, 1)$, $T > 0$ ⁸

$$(P_\omega^4) : \inf_{\mathcal{X}_\omega} \|v_\omega\|_{L^2(\omega \times (0, T))}^2 \quad (87)$$

where v_ω is an exact control, supported on $\omega \times (0, T)$ for

$$\begin{cases} u_{tt} - \Delta u = v_\omega \chi_\omega & \text{in } (0, T) \times \Omega, \\ u = 0 & \text{on } (0, T) \times \partial\Omega, \\ u(0, \cdot) = u^0, \quad u_t(0, \cdot) = u^1 & \text{in } \Omega \end{cases} \quad (88)$$

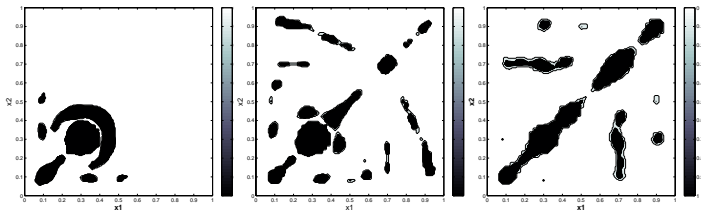
and subject to

$$\begin{cases} \text{The system (88) may be observed from } \omega \times (0, T), \\ \|\chi_\omega\|_{L^1(\Omega)} \leq L \|\chi_\Omega\|_{L^1(\Omega)} \end{cases} \quad (89)$$

⁸AM, *Optimal design of the support of the control for the 2-D wave equation*, C.R.Acad Sci., Paris Serie I (2006)

Some numerical results for (RP_ω^4)

Let $\Omega = (0, 1)^2$, and $(u^0, u^1) = (e^{-80(x_1-0.3)^2-80(x_2-0.3)^2}, 0)$ and $L = 1/10$



Iso-value of the optimal density s on Ω for $T = 0.5$, $T = 1$, $T = 3$

- $\{x \in \Omega, 0 < s(x) < 1\} = \emptyset$, $(P_\omega^4) = (RP_\omega^4)$ and is well-posed

Domain with a crack : Reduction of the singularity

[Ph. Destuynder 87,88,89]

- Let $\omega \subset \Omega \in \mathbb{R}^2$, $0 < \alpha \leq \beta$, $u_0 \in H^{1/2}(\Gamma_0)$, $g \in L^2(\Gamma_g)$ et u solution de

$$\begin{cases} -\operatorname{div}(a_{\mathcal{X}_\omega} \nabla u) = 0, & a_{\mathcal{X}_\omega} = \alpha \mathcal{X}_\omega + \beta(1 - \mathcal{X}_\omega) & \Omega, \\ u = u_0 & & \Gamma_0 \subset \partial\Omega, \\ \beta \nabla u \cdot \nu = g & & \Gamma_g \subset \partial\Omega. \end{cases} \quad (90)$$

- For any $L \in (0, 1)$, the problem is

$$(P) : \quad \inf_{\mathcal{X}_\omega \in \mathcal{X}_L} g_\psi(u, \mathcal{X}_\omega) = \int_{\Omega} a_{\mathcal{X}_\omega}(x) (A_\psi(x) \nabla u, \nabla u) dx, \quad A_\psi = \frac{1}{2} \begin{pmatrix} \psi_{1,1} & 2\psi_{1,2} \\ 0 & -\psi_{1,1} \end{pmatrix},$$

$$\mathcal{X}_L = \{\mathcal{X} \in L^\infty(\Omega, \{0, 1\}), \mathcal{X} = 0 \text{ on } \mathcal{D} \cup \partial\Omega, \|\mathcal{X}\|_{L^1(\Omega)} = L|\Omega|\}$$
(91)

g_ψ - Energy release rate

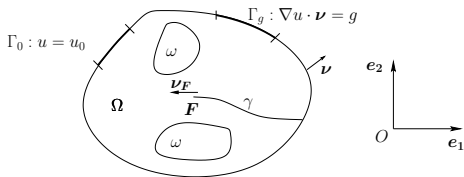


Figure: Domain Ω with a cut Γ_0 - Optimization of the distribution (α, β) .

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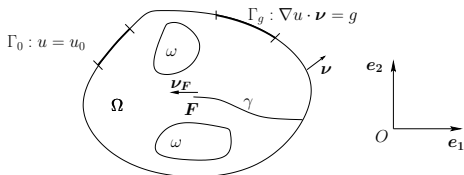


Figure: Domain Ω with a cut Γ_0 - Optimization of the distribution (α, β) .

Domain with a crack : Reduction of the singularity - The relaxation

Theorem (AM, Pedregal (COCV 09))

The problem

$$(RP) : \min_{s,t} I(s, t) = \int_{\Omega} g_{\psi}(\bar{u}, s) dx \quad (92)$$

subject to

$$\begin{cases} s \in L^{\infty}(\Omega, [0, 1]), s = 0 \text{ in } \mathcal{D} \cup \partial\Omega, \|s\|_{L^1(\Omega)} = L|\Omega|, \\ t \in L^{\infty}(\Omega, \mathbb{R}^2), |t| = 1, \end{cases} \quad (93)$$

where $\bar{u} = \bar{u}(s, t)$ is solution of the **nonlinear problem**

$$\begin{cases} \operatorname{div} \left(A(s) \nabla \bar{u} + B(s) |\nabla \bar{u}| t \right) = 0, & \text{in } \Omega, \\ \bar{u} = u_0, & \text{on } \Gamma_0, \\ \beta \nabla \bar{u} \cdot \nu = g, & \text{on } \Gamma_g. \end{cases} \quad (94)$$

$$A(s) = \frac{\lambda^+(s) + \lambda^-(s)}{2} = \frac{2\alpha\beta + s(1-s)(\beta - \alpha)^2}{2(\alpha(1-s) + \beta s)}, \quad B(s) = \frac{\lambda^+(s) - \lambda^-(s)}{2} = \frac{s(1-s)(\beta - \alpha)^2}{2(\alpha(1-s) + \beta s)}. \quad (95)$$

is a relaxation of the initial problem (P).

Remark

If $s \in \{0, 1\}$, then $A(s) = \alpha s + \beta(1-s) = a_{\mathcal{X}_{\omega}}$, $B(s) = 0$ and $u \equiv \bar{u}$.

Domain with a crack : Reduction of the singularity - The relaxation

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If $s \in \{0, 1\}$, then $A(s) = \alpha s + \beta(1-s) = a_{\mathcal{X}_{\omega}}$, $B(s) = 0$ and $u \equiv \bar{u}$.

Domain with a crack : Reduction of the singularity

$$\Omega = (0, 1), \quad \gamma = [0.5, 1] \times \{1/2\}, \quad L = 2/5, \quad u = 0 \text{ on } \{0\} \times [0, 1], \quad u = 1/2 \text{ on } [0.5, 0.8] \times \{1\} \quad (96)$$

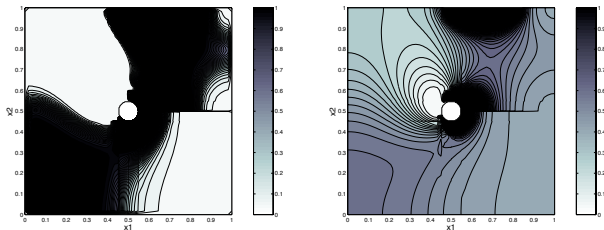


Figure: Iso-values of the optimal density : $(\alpha, \beta) = (1, 2)$ and $(\alpha, \beta) = (1, 10)$.

- Non linear heat equation [Fernandez-Cara, Zuazua (00,01)]

$$\Omega \subset \mathbb{R}^N, \omega \subset \Omega, \quad (97)$$

$$\begin{cases} u_t - \Delta u + f(u) = v \chi_\omega, & (0, T) \times \Omega, \\ u = 0 & (0, T) \times \partial\Omega, \\ u = u^0 \in L^2(\Omega) & \{0\} \times \Omega \end{cases} \quad (98)$$

⇒ Optimal position of the support of the control v in order to prevent the blow up of u :

$$\inf_{\chi_\omega} \|v\|_{L^2((0, T) \times \omega)}$$

- Null controllability of shell - $\Omega \subset \mathbb{R}^2, \omega \subset \Omega$

$$\begin{cases} y_\epsilon'' + A_M y_\epsilon + \epsilon^2 A_F y_\epsilon = 0 & (0, T) \times \Omega \\ (y_\epsilon^0, y_\epsilon^1) & \{0\} \times \Omega \\ y_\epsilon = v_\epsilon & (0, T) \times \partial\Omega \end{cases} \quad (99)$$

$$(\lambda(\xi), \mu(\xi)) = (\lambda_\alpha, \mu_\alpha) \chi_\omega(\xi) + (\lambda_\beta, \mu_\beta)(1 - \chi_\omega(\xi)), \quad \xi \in \omega, \quad \omega \subset \Omega$$

$$\inf_{\omega \subset \Omega} \sup_{\phi^0, \phi^1} \frac{\|\phi^0, \phi^1\|_{V \times H}^2}{\int_0^T \int_{\partial\Omega} b_M(\phi, \phi) d\sigma dt} \quad (100)$$

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$$\Omega \subset \mathbb{R}^N, \omega \subset \Omega, \quad (97)$$

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\Rightarrow Optimal position of the support of the control v in order to prevent the blow up of u :
 $\inf_{\mathcal{X}_\omega} \|v\|_{L^2((0, T) \times \omega)}$

- Null controllability of shell - $\Omega \subset \mathbb{R}^2, \omega \subset \Omega$

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$$(\lambda(\boldsymbol{\xi}), \mu(\boldsymbol{\xi})) = (\lambda_\alpha, \mu_\alpha) \mathcal{X}_\omega(\boldsymbol{\xi}) + (\lambda_\beta, \mu_\beta)(1 - \mathcal{X}_\omega(\boldsymbol{\xi})), \quad \boldsymbol{\xi} \in \omega, \quad \omega \subset \Omega$$

$$\inf_{\omega \subset \Omega} \sup_{\phi^0, \phi^1} \frac{\|\phi^0, \phi^1\|_{V \times H}^2}{\int_0^T \int_{\partial\Omega} b_M(\phi, \phi) d\sigma dt} \quad (100)$$