

# Some results on the controllability of the heat equation

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Clermont 2017  
26/09/2017

# Outline

- 1 Introduction
- 2 On the reachable set
- 3 Cost of controllability of the 1-d heat equation
- 4 More ?

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# Motivation

## General goal

Better understand the control properties of the heat equation.

## Null-controllability problem for the heat equation

Let  $\Omega \subset \mathbb{R}^d$ ,  $\Gamma \subset \partial\Omega$ .

The heat equation with **control function**  $v \in L^2(0, T; L^2(\Gamma))$ :

$$\begin{cases} \partial_t u - \Delta_x u = 0, & \text{in } (0, T) \times \Omega, \\ u(t, x) = v(t, x) \mathbf{1}_\Gamma(x), & \text{in } (0, T) \times \partial\Omega, \\ u(0, x) = u_0(x) & \text{in } \Omega. \end{cases}$$

Given  $u_0 \in L^2(\Omega)$ , find a control function  $v \in L^2(0, T; L^2(\Gamma))$  s.t.  $u(T, \cdot) = 0$ ?

→ **YES** [Fursikov Imanuvilov '96, Lebeau Robbiano '95].

# Motivation

Despite important numbers of subsequent works based on deep **Carleman estimates**, there are still some **open problems** even for the **1-d heat equation with constant coefficient**.

## Null-controllability in small time $T > 0$

Let  $C_{cont}(T)$  be the norm of the control map, which to  $u_0 \in L^2(0, L)$  maps the control  $v_{u_0} \in L^2(0, T)$  of minimal  $L^2(0, T)$ -norm such that the solution  $u$  of

$$\begin{cases} \partial_t u - \partial_{xx} u = 0 & \text{in } (0, T) \times (0, L), \\ u(t, 0) = 0, u(t, L) = v_{u_0}(t) & \text{in } (0, T), \\ u(0, x) = u_0(x) & \text{in } (0, L). \end{cases}$$

satisfies  $u(T) = 0$ , i.e.

$$\|v_{u_0}\|_{L^2(0, T)} \leq C_{cont}(T, L) \|u_0\|_{L^2(0, L)}.$$

## Known results:

- $C_{cont}(T, L)$  equals the best observability constant, i.e. the best constant s.t.

$$\begin{cases} -\partial_t z - \partial_{xx} z = 0 & \text{in } (0, T) \times (0, L), \\ z(t, 0) = z(t, L) = 0 & \text{in } (0, T), \end{cases}$$

$$\|z(0, \cdot)\|_{L^2(0, L)} \leq C_{obs}(T, L) \|\partial_x z(t, L)\|_{L^2(0, T)}.$$

- $\liminf_{T \rightarrow 0} T \log(C_{cont}(T, L)) \geq \frac{L^2}{4}$ . [Miller '04]
- $\liminf_{T \rightarrow 0} T \log(C_{cont}(T, L)) \geq \frac{L^2}{2}$ . [Lissy '15]
- $\limsup_{T \rightarrow 0} T \log(C_{cont}(T, L)) < \infty$ . [Fursikov Imanuvilov '96]
- $\limsup_{T \rightarrow 0} T \log(C_{cont}(T, L)) \leq \frac{3+L^2}{4}$  [Tenenbaum Tucsnak 07]

↪ What is **the optimal result**?

Coron Guerrero's problem (2005): Define  $C(\varepsilon, L, T)$  as the cost of the null-control map for the viscous transport eq. :

$$\begin{cases} \partial_t u + \partial_x u - \varepsilon \partial_{xx} u = 0 & \text{in } (0, T) \times (0, L), \\ u(t, 0) = v(t), \quad u(t, L) = 0, & \text{in } (0, T), \\ u(0, \cdot) = u_0(\cdot), & \text{in } (0, L), \\ u(T, \cdot) = 0 & \text{in } (0, L), \end{cases}$$

### [Coron Guerrero '05]

- If  $T < L$ , then  $\liminf_{\varepsilon \rightarrow 0} C(\varepsilon, L, T) = +\infty$ .
- If  $T > KL$ , then  $\limsup_{\varepsilon \rightarrow 0} C(\varepsilon, L, T) = 0$ , for  $K$  large enough.

$K = 4.3$  [Coron Guerrero '05],

$K = 4.2$  [Glass '10],

$K = 2\sqrt{3}$  [Lissy '12].  $\longrightarrow$  Optimal  $K$  ?

Coron Guerrero's problem is a toy model for all models involving a small viscosity, including conservation laws, or the limit from Navier-Stokes to Euler equations.

Still, a better understanding of these control questions is required.

NB: In 1d, many approaches have been developed besides Carleman estimates:

- Solving a moment problem by constructing a biorthogonal family [Fattorini Russell '71], . . . .
- The flatness approach [Martin-Rosier-Rouchon '14 '16]
- The backstepping approach [Coron Nguyen '16]
- The transmutation technique [Miller '06, SE Zuazua '12].

→ In this talk, I will discuss the reachable set in 1d and the cost of controllability in small times.



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# The reachable set

Set  $L > 0$  and consider the 1-d heat equation on  $(-L, L)$

$$\begin{cases} \partial_t u - \partial_{xx} u = 0 & \text{in } (0, T) \times (-L, L), \\ u(t, -L) = v_-(t) & \text{in } (0, T), \\ u(t, L) = v_+(t) & \text{in } (0, T), \\ u(0, x) = u_0(x) & \text{in } (-L, L). \end{cases}$$

with **controls**  $v_-, v_+$  at  $-L, +L$ .

## Goal

Describe the **reachable set**

$$\mathcal{R}_{L, u_0}(T) = \{u(T) \mid u \text{ solving the heat eq. with } v_-, v_+ \in L^2(0, T)\}.$$

# Classical properties

$$\begin{cases} \partial_t u - \partial_{xx} u = 0 & \text{in } (0, T) \times (-L, L), \\ u(t, -L) = v_-(t) & \text{in } (0, T), \\ u(t, L) = v_+(t) & \text{in } (0, T), \\ u(0, x) = u_0 & \text{in } (-L, L). \end{cases}$$

$$\mathcal{R}_{L, u_0}(T) = \{u(T) \mid u \text{ solving the heat eq. with } v_-, v_+ \in L^2(0, T)\}.$$

**Null-controllability of the heat equation** in arbitrarily small times [Fursikov Imanuvilov '96] implies

- $\mathcal{R}_{L, u_0}(T)$  does not depend on  $u_0$ ;
- $\mathcal{R}_{L, u_0}(T)$  does not depend on time.

→ We simply denote it by  $\mathcal{R}_L$ .

# Known results: Description in terms of the spectrum

[Fattorini Russell '71, SE Zuazua '12]

$$\left\{ u(x) = \sum_{n \geq 1} c_n \sin\left(\frac{n\pi(x+L)}{2L}\right) \text{ s.t. } \sum_n |c_n|^2 n e^{n\pi} < \infty \right\} \subset \mathcal{R}_L.$$

But  $\sum_n |c_n|^2 n e^{n\pi} < \infty$  implies:

- Homomorphic extension on the strip  $\{|\Im(z)| < L\}$
- $u(x) = \sum_{n \geq 1} c_n \sin\left(\frac{n\pi(x+L)}{2L}\right)$  satisfies  
 $((\partial_{xx})^n u)(-L) = ((\partial_{xx})^n u)(L) = 0$  for all  $n \in \mathbb{N}$ .

*Additional Remark:* Sharp description in terms of the spectrum of the operator, see [Fattorini Russell '71].

## A recent work

[Martin Rosier Rouchon '16]

## Theorem

[Martin Rosier Rouchon '16]

- 1 If  $u \in \mathcal{R}_L$ ,  $u$  admits an **holomorphic extension** to the **square**  $\{x + iy, |x| + |y| < L\}$ .
- 2 If  $u$  admits an **holomorphic expansion** on the **disk**  $\{x + iy, |x|^2 + |y|^2 \leq R^2\}$  with  $R = e^{1/(2\theta)}L (\simeq 1.2L)$ , then  $u \in \mathcal{R}_L$ .

Item 1  $\simeq$  if  $u$  solves  $\partial_t u - \partial_{xx} u = v 1_{x \notin (-L, L)}$  in  $(0, T) \times \mathbb{R}$ ,

$$u_T(x) \simeq \int_{\mathbb{R} \setminus (-L, L)} \int_0^T \frac{1}{\sqrt{T-t}} e^{-(x-\tilde{x})^2/4(T-t)} v(t, \tilde{x}) dt d\tilde{x}.$$

Item 2: **The flatness approach** [Martin Rosier Rouchon '16]

# Our result

Recall

Theorem

[Martin Rosier Rouchon 2015]

- 1 If  $u \in \mathcal{R}_L$ ,  $u$  admits an holomorphic extension to the square  $\{x + iy, |x| + |y| < L\}$ .

We proved

Theorem

[J. Dardé & S.E. '16]

If  $u$  admits an holomorphic extension in the square  $\{x + iy, |x| + |y| < L_0\}$  for some  $L_0 > L$ , then  $u \in \mathcal{R}_L$ .

$\Rightarrow$  Result mainly sharp.

# Elements of proof

- A Carleman type estimate ;
- A duality result ;
- Cauchy's formula.

# A Carleman type estimate

## Theorem

Set  $T > L^2/\pi$ . Any solution  $z$  of

$$\begin{cases} \partial_t z - \partial_{xx} z = 0 & \text{in } (0, \infty) \times (-L, L), \\ z(t, -L) = z(t, L) = 0 & \text{in } (0, \infty), \end{cases}$$

with  $z(0, \cdot) \in L^2(-L, L)$  satisfies

$$\begin{aligned} \int_{-L}^L |z(T, x)|^2 e^{\frac{x^2-L^2}{2T}} dx + \int_0^\infty \int_{-L}^L |z(t, x)|^2 e^{\frac{x^2-L^2}{2t}} dt dx \\ \leq C \int_0^T t \left( |\partial_x z(t, -L)|^2 + |\partial_x z(t, L)|^2 \right) dt. \end{aligned}$$

A time condition: critical points of  $t \mapsto e^{-L^2/4t - \pi^2 t/4L^2}$ .



# Some remarks

“Limiting” Carleman weight  $\exp((x^2 - L^2)/4t)$

- Sharp Carleman estimate:

$$z(t, x) = \frac{1}{\sqrt{t}} e^{-(x^2 - L^2)/4t} e^{ixL/2t},$$

solves the heat equation.

- The conjugated operator can be written as  $A + B$ , with

$$A = \partial_t + \frac{x}{t} \partial_x + \frac{1}{2t}, \quad B = -\partial_{xx} - \frac{L^2}{4t^2},$$

so that

$$A^* = -A, \quad B^* = B, \quad [A, B] = -\frac{2}{t} B,$$

Degenerate convexity conditions.

See [Kenig Sjostrand Uhlmann '07].

Other reference:

- The Bergman Space on a Sector and the Heat Equation, [Aikawa, Hayashi, Saito, '90]<sup>1</sup>.

*The reachable set for the heat equation on the half line  $(0, \infty)$  controlled in  $x = 0$  with controls in  $L^2(0, T; t dt)$  exactly is the weighted Bergman space in the triangle  $\{x + iy, |y| < |x|\}$ , with weight  $\exp(\xi^2/4T)$ .*

### Open question

Can we get a more accurate description of the reachable set in a bounded domain? With an analytic potential?

↪ From the reachable space of the heat equation to Hilbert spaces of holomorphic functions, A. Hartmann, K. Kellay, M. Tucsnak, 2017.

<sup>1</sup>We thank M. Tucsnak for having pointed out this reference.

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# Cost of controllability of the 1-d heat equation

The 1-d heat equation observed at both ends  $x = \pm L$ :

$$\begin{cases} -\partial_t z - \partial_{xx} z = 0 & \text{in } (0, T) \times (-L, L), \\ z(t, -L) = z(t, L) = 0 & \text{in } (0, T), \end{cases}$$

## Goal

Estimate the best constant  $C_{obs}(T, L)$  such that  $\forall z$ ,

$$\begin{aligned} & \|z(0, \cdot)\|_{L^2(-L, L)} \\ & \leq C_{obs}(T, L) \left( \|\partial_x z(t, L)\|_{L^2(0, T)} + \|\partial_x z(t, -L)\|_{L^2(0, T)} \right). \end{aligned}$$

## Theorem

[J. Dardé &amp; S.E., 2017]

$$\limsup_{T \rightarrow 0} T \log(C_{obs}(T, L)) \leq K^+ L^2,$$

with

$$K = \frac{1}{4} + \frac{\Gamma(1/4)^2}{8\sqrt{2\pi^2}} \sum_{n \in \mathbb{N}} \frac{(-1)^n}{(2n+1)} \frac{\Gamma(n+1/4)}{\Gamma(n+7/4)} < 0.7.$$

- $\liminf_{T \rightarrow 0} T \log(C_{obs}(T, L)) \geq \frac{L^2}{4}$ . [Miller '04]
- $\liminf_{T \rightarrow 0} T \log(C_{obs}(T, L)) \geq \frac{L^2}{2}$ . [Lissy '15]
- $\limsup_{T \rightarrow 0} T \log(C_{obs}(T, L)) < \infty$ . [Fursikov Imanuvilov '96]
- $\limsup_{T \rightarrow 0} T \log(C_{obs}(T, L)) \leq \frac{3+L^2}{4}$ . [Tenenbaum Tucsnak '07]

→ Optimality ??

# Ideas of the proof

- Explicit computations based on the previous Carleman type estimate
- An argument of complex analysis.

# Explicit computations

Inspired by the previous Carleman estimate:

Theorem

[J. Dardé & S.E. 2016]

Set  $T > L^2/\pi$ . Any solution  $z$  of

$$\begin{cases} \partial_t z - \partial_{xx} z = 0 & \text{in } (0, \infty) \times (-L, L), \\ z(t, -L) = z(t, L) = 0 & \text{in } (0, \infty), \end{cases}$$

with  $z(0, \cdot) \in L^2(-L, L)$  satisfies

$$\begin{aligned} \int_{-L}^L |z(T, x)|^2 e^{\frac{x^2-L^2}{2T}} dx + \int_0^\infty \int_{-L}^L |z(t, x)|^2 e^{\frac{x^2-L^2}{2t}} dt dx \\ \leq C \int_0^T t \left( |\partial_x z(t, -L)|^2 + |\partial_x z(t, L)|^2 \right) dt. \end{aligned}$$

→ What happens if  $T < L^2/2\pi$ ?

Arbitrary  $T$ 

## Theorem

[J. Dardé &amp; S.E. 2016]

Any solution  $z$  of

$$\begin{cases} \partial_t z - \partial_{xx} z = 0 & \text{in } (0, \infty) \times (-L, L), \\ z(t, -L) = z(t, L) = 0 & \text{in } (0, \infty), \end{cases}$$

with  $z(0, \cdot) \in L^2(-L, L)$  satisfies

$$\begin{aligned} \int_{-L}^L |\nabla(z(T, x) e^{\frac{x^2-L^2}{4T}})|^2 dx - \frac{L^2}{4T^2} \int_{-L}^L |z(T, x) e^{\frac{x^2-L^2}{4T}}|^2 dx \\ \leq C \int_0^T t \left( |\partial_x z(t, -L)|^2 + |\partial_x z(t, L)|^2 \right) dt. \end{aligned}$$

$\rightsquigarrow$  Should give informations for frequencies  $|\xi| > \frac{L}{2T}$ .



↪ We work on

$$w(t, x) = z(t, x) \exp\left(\frac{x^2 - L^2}{4t}\right).$$

It satisfies the equation

$$\begin{cases} \partial_t w + \frac{x}{t} \partial_x w - \partial_{xx} w - \frac{L^2}{4t^2} w + \frac{1}{2t} w = 0, & \text{in } (0, \infty) \times (-L, L), \\ w(t, -L) = w(t, L) = 0, & \text{in } (0, \infty), \\ w(t = 0, x) = 0, & \text{in } (-L, L). \end{cases}$$

- We extend  $w$  by 0 outside  $(-L, L)$ ,

$$\begin{cases} \partial_t w + \frac{x}{t} \partial_x w - \partial_{xx} w - \frac{L^2}{4t^2} w + \frac{1}{2t} w = \pm \partial_x w(t, \pm L) \delta_{\pm L}, & \text{in } (0, \infty) \times \mathbb{R}, \\ w(t = 0, x) = 0, & \text{in } \mathbb{R}. \end{cases}$$

$w$  satisfies:

$$\begin{cases} \partial_t w + \frac{x}{t} \partial_x w - \partial_{xx} w - \frac{L^2}{4t^2} w + \frac{1}{2t} w = \pm \partial_x w(t, \pm L) \delta_{\pm L}, \\ w(t=0, x) = 0, \end{cases} \begin{array}{l} \text{in } (0, \infty) \times \mathbb{R}, \\ \text{in } \mathbb{R}. \end{array}$$

$\rightsquigarrow$  Fourier transform  $\hat{w}(t, \xi) = \mathcal{F}_{x \rightarrow \xi} w(t)$  satisfies:

$$\begin{cases} \partial_t \hat{w} - \frac{\xi}{t} \partial_\xi \hat{w} + \xi^2 \hat{w} - \frac{L^2}{4t^2} \hat{w} - \frac{1}{2t} \hat{w} = \pm \partial_x w(t, \pm L) e^{\pm i \xi L}, \\ \hat{w}(t=0, x) = 0, \end{cases} \begin{array}{l} \text{in } (0, \infty) \times \mathbb{R}, \\ \text{in } \mathbb{R}. \end{array}$$

$\rightsquigarrow$  This is a transport equation !

In particular, it can be solved explicitly...

$$\partial_t \hat{w} - \frac{\xi}{t} \partial_\xi \hat{w} + \xi^2 \hat{w} - \frac{L^2}{4t^2} \hat{w} - \frac{1}{2t} \hat{w} = \pm \partial_x w(t, \pm L) e^{\pm i\xi L}.$$

Along the characteristics

$$\frac{d\xi}{dt} = -\frac{\xi}{t}, \quad \xi(T) = \xi_0,$$

i.e.  $\xi(t) = \xi_0 T/t$

$$\begin{aligned} \frac{d}{dt} \left( \frac{\hat{w}(t, \xi_0 T/t)}{\sqrt{t}} \right) + \left( \xi_0^2 T^2 - \frac{L^2}{4} \right) \frac{1}{t^2} \left( \frac{\hat{w}(t, \xi_0 T/t)}{\sqrt{t}} \right) \\ = \pm \frac{1}{\sqrt{t}} \partial_x w(t, \pm L) e^{\pm i\xi_0 TL/t}. \end{aligned}$$

Therefore,

$$\hat{w}(T, \xi_0) = \pm \int_0^T \sqrt{\frac{T}{t}} \partial_x w(t, \pm L) e^{\pm i \xi_0 TL/t} e^{(\xi_0^2 T^2 - \frac{L^2}{4})(\frac{1}{T} - \frac{1}{t})} dt,$$

provided the integrals converge as  $t \rightarrow 0$ , i.e. for

$$\xi_0 \in \Omega = \left\{ a + ib, \text{ with } |a| \geq |b| + \frac{L}{2T} \right\},$$

$$(\Re(\mp i \xi_0 TL + (\xi_0^2 T^2 - L^2/4)) \geq 0).$$

Besides, for  $\xi_0 \in \Omega$ , we get

$$|\hat{w}(T, \xi_0)| \leq C e^{L|\Im(\xi_0)|} \|\partial_x w(t, \pm L)\|_{L^2(0, T)}.$$

We have

- There exists  $C > 0$  such that

$$\forall \xi_0 \in \Omega = \left\{ a + ib, \text{ with } |a| \geq |b| + \frac{L}{2T} \right\},$$

$$|\hat{w}(T, \xi_0)| \leq C e^{L|\Im(\xi_0)|} \|\partial_x w(t, \pm L)\|_{L^2(0, T)}.$$

- $\text{Supp } w(T) \subset [-L, L]$ , therefore
  - $\hat{w}(T, \cdot)$  is an entire function.
  - $\forall \xi_0 \in \mathbb{C}, |\hat{w}(T, \xi_0)| \leq e^{L|\Im(\xi_0)|} \|w(T, \cdot)\|_{L^1(-L, L)}.$

→ We can use **Phragmén Lindelöf type theorems**.

$$\rho(a, b) = \log \left( \frac{|\hat{w}(T, a + ib)|}{C \|\partial_x w(t, \pm L)\|_{L^2(0, T)}} \right)$$

- is a **subharmonic** function on  $\Omega^c = \{(a, b), \text{ with } |a| < |b| + L/2T\}$ .
- satisfies

$$\rho(a, b) \leq L|b| \text{ on } \partial\Omega^c,$$

- $|\rho(a, b)| \leq L|b| + C$  for  $(a, b) \in \Omega^c$ .

Consequently, for all  $\varepsilon > 0$ ,  $\rho \leq (1 + \varepsilon)h$  in  $\Omega^c$ , where

$$\begin{cases} \Delta h = 0 & \text{in } \Omega^c, \\ h(a, b) = L|b| & \text{on } \partial\Omega^c, \\ \limsup_{|b| \rightarrow \infty} \inf_{a \text{ s.t. } (a, b) \in \Omega^c} \frac{h(a, b)}{|b|} \geq L. \end{cases}$$

Rescaling  $h(a, b) = \frac{L^2}{2T} \tilde{h}(\tilde{a}, \tilde{b})$ , where

$$\begin{cases} \Delta \tilde{h} = 0 & \text{in } \mathcal{O}, \\ \tilde{h}(a, b) = |b| & \text{on } \partial\mathcal{O}, \\ \limsup_{|b| \rightarrow \infty} \inf_{a \text{ s.t. } (a,b) \in \mathcal{O}} \frac{\tilde{h}(a, b)}{|b|} \geq 1, \end{cases}$$

where  $\mathcal{O} = \{(a, b), \text{ with } |a| < |b| + 1\}$ .

We define  $k(a, b) = \tilde{h}(a, b) - |b|$ :

$$\begin{cases} \Delta k = \delta_{[-1,1]} & \text{in } \mathcal{O}, \\ k(a, b) = 0 & \text{on } \partial\mathcal{O}, \end{cases}$$

$k$  can be explicitly computed by [conformal mappings](#).

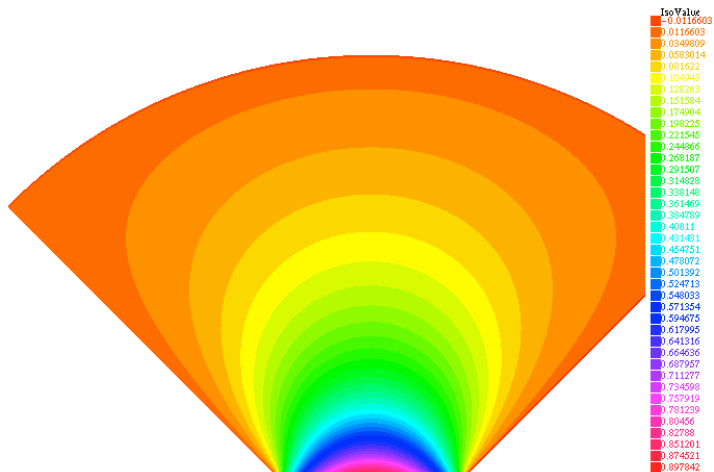


Figure : Plot of the function  $k$ .



$$\sup_{[-1,1]} k(\cdot, 0) = k(0, 0) = \frac{\Gamma(1/4)^2}{4\sqrt{2}\pi^2} \sum_{n \in \mathbb{N}} \frac{(-1)^n}{(2n+1)} \frac{\Gamma(n+1/4)}{\Gamma(n+7/4)} < 0.9.$$

Therefore, for all  $\varepsilon > 0$  and for all  $\xi_0 \in [-L/2T, L/2T]$ ,

$$|\hat{w}(T, \xi_0)| \leq C_\varepsilon \|\partial_x w(t, \pm L)\|_{L^2(0,T)} \exp\left((1+\varepsilon)\frac{L^2}{2T}k(0,0)\right).$$

We also have, by the Carleman estimate,

$$\int_{|\xi_0| > L/2T} |\hat{w}(T, \xi_0)|^2 d\xi_0 \leq C \int_0^T |\partial_x w(t, \pm L)|^2 dt.$$

$$\rightsquigarrow \|w(T)\|_{L^2(-L,L)} \leq C \exp\left((1+\varepsilon)\frac{L^2}{2T}k(0,0)\right) \|\partial_x w(t, \pm L)\|_{L^2(0,T)}$$

Hence the result as  $w(T, x) = z(T, x) \exp((x^2 - L^2)/4T)$ .

We have thus obtained

$$\begin{aligned} & \left\| z(T, x) \exp((x^2 - L^2)/4T) \right\|_{L^2(-L, L)} \\ & \leq C \exp \left( (1 + \varepsilon) \frac{L^2}{2T} k(0, 0) \right) \|\partial_x z(t, \pm L)\|_{L^2(0, T)}, \end{aligned}$$

i.e.

$$\begin{aligned} & \left\| z(T, x) \exp(x^2/4T) \right\|_{L^2(-L, L)} \\ & \leq C \exp \left( \left( \frac{1}{4} + \frac{(1 + \varepsilon)k(0, 0)}{2} \right) \frac{L^2}{T} \right) \|\partial_x z(t, \pm L)\|_{L^2(0, T)}. \end{aligned}$$

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Clean estimate on the cost of controllability of the 1d heat equation.

- Applies to **controllability from one side** in 1 space dimension by symmetry arguments. (*Coeff* = 1)
- Applies to **distributed controllability** in 1 space dimension by cut-off arguments. (*Coeff* = 1)
- Directly applies in **higher space dimension** when the control **acts on the whole boundary**. (*Coeff* = 1)
- We can use **the transmutation method** [Miller '06] to get estimates on the cost of controllability of the heat equation in cases in which the corresponding wave equation is controllable (*Geometric Control Conditions*).

Coron Guerrero's problem (2005): Define  $C(\varepsilon, L, T)$  as the cost of the null-control map for the viscous transport eq. :

$$\begin{cases} \partial_t u + \partial_x u - \varepsilon \partial_{xx} u = 0 & \text{in } (0, T) \times (0, L), \\ u(t, 0) = v(t), \quad u(t, L) = 0, & \text{in } (0, T), \\ u(0, \cdot) = u_0(\cdot), & \text{in } (0, L), \\ u(T, \cdot) = 0 & \text{in } (0, L), \end{cases}$$

### [Coron Guerrero '05]

- If  $T < L$ , then  $\liminf_{\varepsilon \rightarrow 0} C(\varepsilon, L, T) = +\infty$ .
- If  $T > KL$ , then  $\limsup_{\varepsilon \rightarrow 0} C(\varepsilon, L, T) = 0$ , for  $K$  large enough.

$K = 4.3$  [Coron Guerrero '05],

$K = 2\sqrt{3} (\simeq 3.46)$  [Lissy '12].

→ Optimal  $K$  ?

$K = 4.2$  [Glass '10],

$K = 4\sqrt{K_0} (\simeq 3.34)$  [J.D. S.E.]

# Thank you for your attention!

*Ref1: On the reachable set for the one-dimensional heat equation.*

*Jérémi Dardé, Sylvain Ervedoza, 2016.*

*Ref2: On the cost of observability in small times for the one-dimensional heat equation.*

*Jérémi Dardé, Sylvain Ervedoza, 2017, in preparation.*