Control of PDEs involving boundary layers phenomena

ARNAUD MÜNCH Laboratoire de mathématiques Blaise Pascal

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in collaboration with Youcef Amirat and Carlos Castro



UNIVERSITÉ Clermont Auvergne

Arnaud Münch Control and boundary layers

$$\begin{cases} Y_{t(t)}^{\varepsilon} + (\mathbf{A} + \varepsilon \mathbf{B}) Y^{\varepsilon} = V^{\varepsilon}, t > 0, \\ Y^{\varepsilon}(0) = Y_{0} \end{cases}$$
(1)

where B is an operator with higher order than the operator A.

Assume that for any $\varepsilon > 0$, system (1) is exactly controllable at time T > 0. The following issues arise :

- Behavior of controls V^{ε} as $\varepsilon \to 0$?
- In case of convergence of V^ε, rate of convergence of V^ε? Asymptotic expansion with respect to ε of V^ε?
- Behavior of the cost of control with respect to ϵ ?
- Minimal uniform time of controllability with respect to ε ?

The topic is not trivial, since in particular, boundary or internal (thin) layers may occur as ε goes to zero, i.e. Y^{ε} may exhibit locally singular behavior.

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Let $\Omega \subset \mathbb{R}^N$ be a bounded domain of class C^3 and Γ a subset of $\partial \Omega$ and T > 0. For any $\varepsilon > 0$, we consider the following linear equation of Petrowsky type

$$\begin{cases} y_{tt}^{\varepsilon} - \Delta y^{\varepsilon} + \varepsilon \Delta^2 y^{\varepsilon} = 0, & \text{in } Q_T := \Omega \times (0, T), \\ y^{\varepsilon} = 0, \quad \partial_{\nu} y^{\varepsilon} = v^{\varepsilon} \mathbf{1}_{\Gamma_T}, & \text{on } \Sigma_T := \partial \Omega \times (0, T), \\ (y^{\varepsilon}(\cdot, 0), y_t^{\varepsilon}(\cdot, 0)) = (y_0, y_1), & \text{on } \Omega. \end{cases}$$
(2)

Here, v^{ε} is a control function in $L^2(\Gamma_T)$, where Γ_T is a subset of Σ_T . This system models the dynamic of linear isotropic plates occupying the domain $\Omega \times] - \varepsilon, \varepsilon[$. $y^{\varepsilon} = y^{\varepsilon}(x, t)$ is the transversal displacement of the plate at point $x \in \Omega$ and time $t \in (0, T)$. y_0 denotes the initial position and y_1 the initial velocity assumed in $L^2(\Omega)$ and $H^{-2}(\Omega)$ respectively.

Well-posedness - $\forall \varepsilon > 0, v^{\varepsilon} \in L^{2}(\Omega), (y_{0}, y_{1}) \in L^{2}(\Omega) \times H^{-2}(\Omega), \exists ! y^{\varepsilon} \in C^{0}([0, T], L^{2}(\Omega)) \cap C^{1}([0, T], H^{-2}(\Omega)) \text{ with the following estimate:}$

$$\|y^{\varepsilon}\|_{L^{\infty}(0,T;L^{2}(\Omega))} + \|y^{\varepsilon}_{t}\|_{L^{\infty}(0,T;H^{-2}(\Omega))} \leq C_{\varepsilon} \left(\|y_{0}\|_{L^{2}(\Omega)} + \|y_{1}\|_{H^{-2}(\Omega)} + \|v^{\varepsilon}\|_{L^{2}(\Sigma_{T})}\right)$$
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for some constant $c_{\varepsilon} > 0$.

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Controllability problem : For any final time T > 0, for any $(y_0, y_1) \in L^2(\Omega) \times H^{-2}(\Omega)$, find a control function $v^{\varepsilon} \in L^2(\Gamma_T)$ such that the corresponding solution to (27) satisfies

$$(y^{\varepsilon}(\cdot, T), y^{\varepsilon}_{t}(\cdot, T)) = (0, 0) \text{ in } L^{2}(\Omega) \times H^{-2}(\Omega).$$
 (4)

For any $\varepsilon > 0$, this controllability property is proved in [Lions'86] assuming that the triplet (Ω, Γ, T) satisfies the usual geometric control condition for hyperbolic situations.

As is usual, the proof relies on an appropriate observability inequality for the adjoint problem: $\exists C > 0$ independent of ε s.t.

$$\|\varphi_0^{\varepsilon}\|_{H_0^1(\Omega)}^2 + \|\varphi_1^{\varepsilon}\|_{L^2(\Omega)}^2 + \varepsilon \|\Delta\varphi_0^{\varepsilon}\|_{L^2(\Omega)}^2 \le C \int_0^T \int_{\Gamma} \varepsilon |\Delta\varphi^{\varepsilon}|^2, \, \forall (\varphi_0^{\varepsilon}, \varphi_1^{\varepsilon}) \in H_0^2(\Omega) \times L^2(\Omega)$$
(5)

where φ^{ε} solves the corresponding homogeneous adjoint associated to the initial condition $(\varphi_0^{\varepsilon}, \varphi_1^{\varepsilon})$,

$$\begin{cases} \varphi_{lt}^{\varepsilon} - \Delta \varphi^{\varepsilon} + \varepsilon \Delta^{2} \varphi^{\varepsilon} = 0, & \text{in } Q_{T} := \Omega \times (0, T), \\ \varphi^{\varepsilon} = \partial_{\nu} \varphi^{\varepsilon} = 0, & \text{on } \Sigma_{T} := \partial \Omega \times (0, T), \\ (\varphi^{\varepsilon}(\cdot, 0), \varphi_{l}^{\varepsilon}(\cdot, 0)) = (\varphi_{0}^{\varepsilon}, \varphi_{1}^{\varepsilon}), & \text{on } \Omega. \end{cases}$$
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Since the physical parameter ε is small with respect to one, the issue of the asymptotic behavior of elements of C as ε is smaller and smaller arise naturally. It turns out that the system (27) is not uniformly controllable with respect to ε . The following result is [Lions' 86], assuming additional regularity on the initial velocity.

Theorem (Lions'86)

Assume that the initial condition (y_0, y_1) belongs to $L^2(\Omega) \times H^{-1}(\Omega)$. Assume that the triplet (Ω, Γ, T) satisfies the geometric control condition. For any $\varepsilon > 0$, let v^{ε} be the control of minimal $L^2(\Gamma_T)$ norm for y^{ε} solution of (27). Then, one has

$$-\sqrt{\varepsilon}v^{\varepsilon} \to v \quad in \quad L^{2}(\Gamma_{T}), \quad as \quad \varepsilon \to 0,$$

$$y^{\varepsilon} \to y \quad in \quad L^{\infty}(0, T; L^{2}(\Omega)) - weak\text{-star}, \quad as \quad \varepsilon \to 0$$

$$(7)$$

where v is the control of minimal $L^2(\Gamma_T)$ -norm for y, solution in $C^0([0, T]; L^2(\Omega)) \times C^1([0, T]; H^{-1}(\Omega))$ of the following system :

$$\begin{cases} y_{tt} - \Delta y = 0, & \text{in } \Omega_T = \Omega \times (0, T), \\ y = v \mathbf{1}_{\Gamma_T}, & \text{on } \Sigma_T = \partial \Omega \times (0, T), \\ (y(\cdot, 0), y_t(\cdot, 0)) = (y_0, y_1), & \text{in } \Omega. \end{cases}$$
(8)

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The degeneracy observed by Lions¹ comes from the following lemma

Lemma

 $\Omega \in C^3$. Let $a_0 \in H^1(\Omega)$ and, for any $\varepsilon > 0$, a_{ε} the solution in $H^2(\Omega)$ of

$$egin{cases} & -arepsilon\Delta a_arepsilon+a_arepsilon=a_0, & \Omega, \ & a_arepsilon=0, & \partial\Omega \end{cases}$$

(9)

satisfies

$$-\sqrt{\varepsilon}\frac{\partial a_{\varepsilon}}{\partial \nu} \to a_0 \quad in \quad L^2(\partial \Omega)$$

¹J-.L. Lions, *Exact controllability and singular perturbations*, in Wave motion: theory, modelling, and computation (Berkeley, Calif., 1986), vol. 7 of Math. Sci. Res. Inst. Publ., Springer, New York, 1987, pp. 217–247.

The control of minimal L^2 -norm is given by $v^{\varepsilon} = \Delta \varphi^{\varepsilon} \mathbf{1}_{\Gamma_{T}}$ where φ^{ε} solves the adjoint problem

$$\begin{cases} \varphi_{tt}^{\varepsilon} + \varepsilon \Delta^2 \varphi^{\varepsilon} - \Delta \varphi^{\varepsilon} = 0, & \text{in } Q_T := \Omega \times (0, T), \\ \varphi^{\varepsilon} = \partial_{\nu} \varphi^{\varepsilon} = 0, & \text{on } \Sigma_T := \partial \Omega \times (0, T), \\ (\varphi^{\varepsilon}(\cdot, 0), \varphi_t^{\varepsilon}(\cdot, 0)) = (\varphi_0^{\varepsilon}, \varphi_1^{\varepsilon}), & \text{on } \Omega. \end{cases}$$
(10)

with initial condition minimizing the conjugate functional $J^*_{\varepsilon}: H^2_0(\Omega) \times L^2(\Omega) \to \mathbb{R}$ defined by

$$J_{\varepsilon}^{\star}(\varphi_{0}^{\varepsilon},\varphi_{1}^{\varepsilon}) = \frac{\varepsilon}{2} \|\Delta\varphi^{\varepsilon}\|_{L^{2}(\Gamma_{T})}^{2} - (y_{0},\varphi_{1}^{\varepsilon})_{L^{2}(\Omega),L^{2}(\Omega)} + (y_{1},\varphi_{0}^{\varepsilon})_{H^{-2}(\Omega),H^{2}(\Omega)}$$

• $\sqrt{\varepsilon}\Delta\varphi^{\varepsilon}$ is bounded in $L^2(\Gamma_T)$ but $\Delta\varphi^{\varepsilon}$ is not bounded; this is due to the boundary layer of length $\mathcal{O}(\sqrt{\varepsilon})$;

• The conjugate functional is not uniformly coercive for the norm $H_0^2(\Omega) \times L^2(\Omega)$ with respect to ε . (the minimization of J_{ε}^* is ill-conditionned)

• Uniform (w.r.t. ε) gap of the spectrum of the unbounded operator $A_0^{\varepsilon}: D(A_0^{\varepsilon}) \cup L^2(\Omega) \to L^2(\Omega)$ defined by $A_0^{\varepsilon} = -\Delta + \varepsilon \Delta^2$ with $D(A_0^{\varepsilon}) = (H^4 \cap H_0^2)(\Omega)$.

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Asymptotic analysis of the controllability problem - The one dimensional case

 $\Omega = (0, 1)$. We consider a positive smooth weight function $\eta \ge 0$ with compact support in (0, T), i.e. $\eta \in C_0^{\infty}(0, T)$, and such that $\eta(t) > \eta_0 > 0$ in a subinterval $[\delta, T - \delta] \subset (0, T)$ with δ such that $T - 2\delta > 2$. The optimality system associated to the null control which minimizes

$$\int_0^T \eta^{-1}(t) |v^{\varepsilon}|^2 dt.$$

is given by

$$\begin{cases} y_{tt}^{\varepsilon} + \varepsilon y_{xxxx}^{\varepsilon} - y_{xx}^{\varepsilon} = 0, & \text{in } Q_T, \\ y^{\varepsilon}(0, \cdot) = y^{\varepsilon}(1, \cdot) = y_x^{\varepsilon}(0, \cdot) = 0, & y_x^{\varepsilon}(1, \cdot) = v^{\varepsilon} = \eta \varphi_{xx}^{\varepsilon}(1, \cdot) & \text{in } (0, T), \\ (y^{\varepsilon}(\cdot, 0), y_t^{\varepsilon}(\cdot, 0)) = (y_0, y_1), & \text{in } (0, 1), \\ y^{\varepsilon}(\cdot, T) = y_t^{\varepsilon}(\cdot, T) = 0, & \text{in } (0, 1), \\ \varphi_{tt}^{\varepsilon} + \varepsilon \varphi_{xxxx}^{\varepsilon} - \varphi_{xx}^{\varepsilon} = 0, & \text{in } Q_T, \\ \varphi^{\varepsilon}(0, \cdot) = \varphi^{\varepsilon}(1, \cdot) = \varphi_x^{\varepsilon}(0, \cdot) = \varphi_x^{\varepsilon}(1, \cdot) = 0 & \text{in } (0, T), \\ \varphi^{\varepsilon}(\cdot, T) = \varphi_0^{\varepsilon}, \varphi_t^{\varepsilon}(\cdot, T) = \varphi_1^{\varepsilon}, & \text{in } (0, 1). \end{cases}$$

J.-L. Lions Perturbations singulières dans les problèmes aux limites et en contrôle optimal. Lecture Notes in Mathematics. Springer 1973.

Asymptotic analysis of the controllability problem - The one dimensional case - Difficulties

$$\begin{cases} y_{tt}^{\varepsilon} + \varepsilon y_{xxxx}^{\varepsilon} - y_{xx}^{\varepsilon} = 0, & \text{in } Q_T, \\ y^{\varepsilon}(0, \cdot) = y^{\varepsilon}(1, \cdot) = y_x^{\varepsilon}(0, \cdot) = 0, & y_x^{\varepsilon}(1, \cdot) = v^{\varepsilon} = \eta \varphi_{xx}^{\varepsilon}(1, \cdot) & \text{in } (0, T), \\ (y^{\varepsilon}(\cdot, 0), y_t^{\varepsilon}(\cdot, 0)) = (y_0, y_1), & \text{in } (0, 1), \\ y^{\varepsilon}(\cdot, T) = y_t^{\varepsilon}(\cdot, T) = 0, & \text{in } (0, 1), \\ \varphi_{tt}^{\varepsilon} + \varepsilon \varphi_{xxxx}^{\varepsilon} - \varphi_{xx}^{\varepsilon} = 0, & \text{in } Q_T, \\ \varphi^{\varepsilon}(0, \cdot) = \varphi^{\varepsilon}(1, \cdot) = \varphi_x^{\varepsilon}(0, \cdot) = \varphi_x^{\varepsilon}(1, \cdot) = 0 & \text{in } (0, T), \\ \varphi^{\varepsilon}(\cdot, T) = \varphi_0^{\varepsilon}, \varphi_t^{\varepsilon}(\cdot, T) = \varphi_1^{\varepsilon}, & \text{in } (0, 1). \end{cases}$$

The situation is tricky because

- y^ε exhibits a boundary layer of size O(√ε) at x = 0 and x = 1 and an inner angular layer of size O(ε^{1/4}) along the characteristics {(x, t) ∈ Q_T, x − t = 0} and {(x, t) ∈ Q_T, x + t − 1 = 0};
- φ^ε exhibits a boundary layer of size O(√ε) at x = 0 and x = 1 and an inner angular layer of size (O(ε^{1/4})) along characteristics parallel to {(x, t) ∈ Q_T, x − t = 0} and {(x, t) ∈ Q_T, x + t − 1 = 0};

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(13)

- One can avoid the angular layer, imposing compatibilities conditions at the points $\partial \Omega \times \{t = 0\}$ between the initial data and the boundary conditions.
- The boundary layer of size O(√ε)) are unavoidable ! One can use the matched asymptotic expansion method which requires however regularity !

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Let $X = L^2(0, 1) \times H^{-2}(0, 1)$ and $X^* = H_0^2(0, 1) \times L^2(0, 1)$ its dual, with duality product given by

$$<(y_0,y_1),(\varphi_0,\varphi_1)>_{X,X^*}=\int_{\Omega}y_0\varphi_1 dx - (y_1,\varphi_0)_{H^{-2},H_0^2},$$
 (14)

where $(\cdot,\cdot)_{H^{-2},H^2_0}$ represents the usual duality product.

Definition

For any $(y_0, y_1) \in X$ we define the minimal L^2 -weighted control $v^{\varepsilon}(t)$ associated to (27) as the function

$$\mathbf{v}^{\varepsilon}(t) = \eta(t)\varphi^{\varepsilon}_{\mathbf{X}\mathbf{X}}(1,t) \in L^{2}(0,T)$$
(15)

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where φ^{ε} is the solution of the adjoint system with initial data $(\varphi_0^{\varepsilon}, \varphi_1^{\varepsilon})$, the minimizer of

$$J^{\varepsilon}(\varphi_0,\varphi_1) = \frac{\varepsilon}{2} \int_{\Sigma_0} \eta(t) |\varphi_{XX}^{\varepsilon}(1,t)|^2 dt - \langle (y_0,y_1), (\varphi_0,\varphi_1) \rangle_{X,X^*}, \quad (16)$$

in $(\varphi_0, \varphi_1) \in X^*$.

Regularity property of the weighted control: scale Hilbert spaces

Fondamental property: If the initial data (y_0, y_1) are smooth, then the same is true for $(\varphi_0^{\varepsilon}, \varphi_1^{\varepsilon})$, the minimizer of $J_{\varepsilon}^{\varepsilon}$ and so for the weighted control.

Let $A_0^{\varepsilon}: D(A_0^{\varepsilon}) \subset L^2(0, 1) \to L^2(0, 1)$ be the unbounded operator $A_0^{\varepsilon} = -\partial_{xx}^2 + \varepsilon \partial_{xxxx}^4$ with domain $D(A_0^{\varepsilon}) = H^4 \cap H_0^2(0, 1)$. A_0^{ε} is a dissipative self-adjoint operator.

We also define the unbounded skew-adjoint operator on $X = L^2(0, 1) \times H^{-2}(0, 1)$,

$$A^{\varepsilon} = \begin{pmatrix} 0 & l \\ -A^{\varepsilon}_0 & 0 \end{pmatrix}, \qquad D(A^{\varepsilon}) = H^2_0(0,1) \times L^2(0,1).$$

Associated to A^{ε} we consider the usual scale of Hilbert spaces $X_{\alpha} = D((A^{\varepsilon})^{\alpha}), \alpha > 0$. Note that if we use the duality product (14) then

$$(A^{\varepsilon})^*: D((A^{\varepsilon})^*) \subset X^* \to X^*,$$

is given by

$$(A^{\varepsilon})^* = \begin{pmatrix} 0 & -I \\ A_0^{\varepsilon} & 0 \end{pmatrix}, \qquad D((A^{\varepsilon})^*) = X_1^* = X.$$

In general, $X^*_{\alpha} = D(((A^{\varepsilon})^*)^{\alpha}) = D((A^{\varepsilon})^{\alpha+1}).$

Regularity property of the weighted control

The following result is a direct consequence of the results of [Dehman-Lebeau 2009], [Ervedoza-Zuazua 2010]:

Theorem

Given any $(y_0, y_1) \in X = L^2(0, 1) \times H^{-2}(0, 1)$, there exists a unique weighted control v^{ε} of system (27) satisfying (15). This control is the one that minimizes the norm

$$\int_0^T \eta^{-1} |v^{\varepsilon}|^2 dt$$

Furthermore, if $(y_0, y_1) \in D((A^{\varepsilon})^{\alpha})$ for some $\alpha > 0$, then the control v^{ε} satisfies

$$v^{\varepsilon} \in H_0^{\alpha}(0,T) \bigcap_{k=0}^{[\alpha]} C^k([0,T]),$$

with the estimate $\|v^{\varepsilon}\|_{\mathcal{H}_{0}^{\alpha}(0,T)} \leq C\|(y_{0},y_{1})\|_{X_{\alpha}}$ and the corresponding $(\psi_{0}^{T,\varepsilon},\psi_{1}^{T,\varepsilon}) \in X_{\alpha}^{*} = X_{\alpha+1}$. In particular, the controlled solution y belongs to

$$(y,y')\in C^{lpha}([0,T];X_0)igcap_{k=0}^{[lpha]}C^k([0,T];X_{lpha-k}).$$

Rate of convergence - First term

Definition

Let v^0 is the null control for u solution of

$$u_{tt} - u_{xx} = 0,$$
 in Q_T ,

$$\begin{cases} u(0,\cdot) = 0, u(1,\cdot) = v^0 & \text{in } (0,T), \\ (u(\cdot,0), u_t(\cdot,0)) = -(y_0, y_1), & \text{in } \Omega. \end{cases}$$
(17)

hich minimizes
$$v \to \int_0^T \eta^{-1}(t) |v|^2 dt$$
.

Theorem (Castro, Munch 2019)

Assume that $(y_0, y_1) \in Z_4 \subset H^4 \times H_0^2$ and T > 2. Let $\varepsilon > 0$ and v^{ε} be the control of minimal L^2 -weighted norm for (27) associated to the data (y_0, y_1) . Then, there exists a constant C > 0 such that

$$\left|\varepsilon^{1/2}v^{\varepsilon}-v^{0}\right|_{L^{2}(0,T)}\leq C\varepsilon^{1/4}.$$

We recover and refine the weak convergence results due to Lions (1986). Rk. $\|e^{-x/\sqrt{\varepsilon}}\|_{L^2(0,1)} = \|e^{-(1-x)/\sqrt{\varepsilon}}\|_{L^2(0,1)} = \mathcal{O}(\varepsilon^{1/4})$

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$$\begin{cases} u(0, \cdot) = 0, u(1, \cdot) = v^{0} & \text{in } (0, T), \\ (u(0, 0) + u(0, 0)) = (u(0, 0) + u(0, 0)) & \text{in } (0, T), \end{cases}$$
(17)

$$(u(\cdot,0),u_t(\cdot,0)) = -(y_0,y_1), \quad \text{in } \Omega$$

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Let φ^a be the solution of

$$\begin{cases} \varphi_{tt}^{a} - \varphi_{xx}^{a} = 0, & \text{in } Q_{T}, \\ \varphi^{a}(0, \cdot) = -\varphi_{x}^{0}(0, \cdot), \varphi^{a}(1, \cdot) = \varphi_{x}^{0}(1, \cdot) & \text{in } (0, T), \\ (\varphi^{a}(\cdot, 0), \varphi_{t}^{a}(\cdot, 0)) = (0, 0), & \text{in } \Omega. \end{cases}$$
(18)

and depends on v^0 (through φ^0 , optimal adjoint solution).

Let $v^1 = -\eta(t)\varphi_x^a(1, \cdot) - v$ where v is the null control for u solution of

$$\begin{cases} u_{tt} - u_{xx} = 0, & \text{in } Q_T, \\ u(0, \cdot) = -y_x^0(0, \cdot), & u(1, \cdot) = v(t) + y_x^0(1, \cdot) + \eta(t)\varphi_x^a(1, \cdot) & \text{in } (0, T), \\ (u(\cdot, 0), u_t(\cdot, 0)) = (0, 0), & \text{in } \Omega. \end{cases}$$
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(19)

which minimizes $v \to \int_0^T \eta^{-1}(t) |v|^2 dt$.

Theorem (Castro, Munch, 2019)

Assume that $(y_0, y_1) \in Z_5 \subset (H^5 \times H_0^3)(\Omega)$.

Consider v^j , $0 \le j \le 1$, the controls obtained previously. Let $\varepsilon > 0$ and v^{ε} be the control of minimal L^2 -weighted norm for (27) associated to the data (y_0, y_1) . Then, there exists a constant C > 0 such that

$$\left\|\varepsilon^{1/2}v^{\varepsilon}-(v^{0}+\sqrt{\varepsilon}v^{1})\right\|_{L^{2}(0,T)}\leq C\varepsilon^{3/4}.$$

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Let φ^a be the solution of

$$\begin{cases} \varphi_{tt}^{a} - \varphi_{xx}^{a} = -\varphi_{xxxx}^{0}, & \text{in } Q_{T}, \\ \varphi^{a}(0, \cdot) = -\varphi_{x}^{1}(0, \cdot), \varphi^{a}(1, \cdot) = \varphi_{x}^{1}(1, \cdot) & \text{in } (0, T), \\ (\varphi^{a}(\cdot, 0), \varphi_{t}^{a}(\cdot, 0)) = (0, 0), & \text{in } \Omega. \end{cases}$$

$$(20)$$

and depends on v^1 (through φ^1 , optimal adjoint solution) and v^0 (through y^0). Let $v^2 = -\eta(t)\varphi_x^a(1, \cdot) - v$ where v is the null control for u solution of

$$\int u_{tt} - u_{xx} = -y_{xxx}^0, \qquad \text{in } Q_T,$$

$$\begin{cases} u(0,\cdot) = -y_{x}^{1}(0,\cdot), \quad u(1,\cdot) = v(t) + y_{x}^{1}(1,\cdot) + \frac{1}{2}y_{tt}^{0}(1,\cdot) + \eta(t)\varphi_{x}^{a}(1,\cdot) & \text{in } (0,T), \\ (u(\cdot,0), u_{t}(\cdot,0)) = (0,0), & \text{in } \Omega. \end{cases}$$
(21)

which minimizes $v \to \int_0^T \eta^{-1}(t) |v|^2 dt$.

Theorem (Castro, Munch, 2019)

Assume that $(y_0, y_1) \in Z_6 \subset (H^6 \times H_0^4)(\Omega)$ and T > 2.

Consider v^j , $0 \le j \le 2$, the controls obtained previously. Let $\varepsilon > 0$ and v^{ε} be the control of minimal L^2 -weighted norm for (27) associated to the data (y_0, y_1) . Then, there exists a constant C > 0 such that

$$\left\|\varepsilon^{1/2}v^{\varepsilon}-(v^{0}+\sqrt{\varepsilon}v^{1}+\varepsilon v^{2})\right\|_{L^{2}(0,T)}\leq C\varepsilon^{5/4}.$$

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Sketch of the proof (1)

Very technical proof ! (see details at arxiv.org/abs/1907.04118)

• Matched asymptotic expansion method on the direct problem: Assuming $v^{\varepsilon} = \varepsilon^{-1/2}v^0 + v^1 + \sqrt{\varepsilon}v^2$, we explicitly construct an approximation \tilde{y}^{ε} of the solution y^{ε} of the form

$$\tilde{y}^{\varepsilon}(x,t) = \sum_{j=0}^{2} \varepsilon^{j/2} \left[y^{j}(x,t) - y^{j}(0,t)e^{-z} - \left(y^{j}(1,t) + \frac{w}{2}y_{tt}^{j-2}(1,t) \right) e^{-w} \right].$$
(22)

with $z = x/\sqrt{\varepsilon}$ and $w = (1 - x)/\sqrt{\varepsilon}$

 Matched asymptotic expansion method on the adjoint problem: Assuming the initial condition of the adjoint problem of the form

$$\begin{split} \tilde{\varphi}^{\varepsilon}(x,0) &= \sum_{k=0}^{2} \varepsilon^{k/2} \left[\varphi_{0}^{k}(x) - \varphi_{0}^{k}(0) e^{-x/\varepsilon^{1/2}} - \varphi_{0}^{k}(1) e^{-(1-x)/\varepsilon^{1/2}} \right] \\ \tilde{\varphi}^{\varepsilon}_{t}(x,0) &= \sum_{k=0}^{2} \varepsilon^{k/2} \left[\varphi_{1}^{k}(x) - \varphi_{1}^{k}(0) e^{-x/\varepsilon^{1/2}} - \varphi_{1}^{k}(1) e^{-(1-x)/\varepsilon^{1/2}} \right], \end{split}$$

for some $(\varphi_0^k, \varphi_1^k)$ with k = 0, 1, 2, we explicitly construct an approximation

$$\tilde{\varphi}^{\varepsilon}(x,t) = \sum_{j=0}^{2} \varepsilon^{j/2} \left[\varphi^{j}(x,t) - \varphi^{j}(0,t) e^{-z} - \left(\varphi^{j}(1,t) + \frac{w}{2} \varphi^{j-2}_{tt}(1,t) \right) e^{-w} \right].$$

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$$\begin{split} \tilde{\varphi}^{\varepsilon}(x,0) &= \sum_{k=0}^{2} \varepsilon^{k/2} \left[\varphi_{0}^{k}(x) - \varphi_{0}^{k}(0) \boldsymbol{e}^{-x/\varepsilon^{1/2}} - \varphi_{0}^{k}(1) \boldsymbol{e}^{-(1-x)/\varepsilon^{1/2}} \right] \\ \tilde{\varphi}^{\varepsilon}_{t}(x,0) &= \sum_{k=0}^{2} \varepsilon^{k/2} \left[\varphi_{1}^{k}(x) - \varphi_{1}^{k}(0) \boldsymbol{e}^{-x/\varepsilon^{1/2}} - \varphi_{1}^{k}(1) \boldsymbol{e}^{-(1-x)/\varepsilon^{1/2}} \right], \end{split}$$

for some $(\varphi_0^k, \varphi_1^k)$ with k = 0, 1, 2, we explicitly construct an approximation

$$\tilde{\varphi}^{\varepsilon}(x,t) = \sum_{j=0}^{2} \varepsilon^{j/2} \left[\varphi^{j}(x,t) - \varphi^{j}(0,t) e^{-z} - \left(\varphi^{j}(1,t) + \frac{w}{2} \varphi^{j-2}_{tt}(1,t) \right) e^{-w} \right].$$

Sketch of the proof (2)

• A priori estimate for $y^{\varepsilon} - \tilde{y}^{\varepsilon}$ and $\varphi^{\varepsilon} - \tilde{\varphi}^{\varepsilon}$. The main tool is the following lemma

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Let ψ^{ε} be the solution of the system

$$\begin{cases} \psi_{tt}^{\varepsilon} + \varepsilon \psi_{XXX}^{\varepsilon} - \psi_{XX}^{\varepsilon} = f, & \text{in } Q_T, \\ \psi^{\varepsilon}(0, \cdot) = g_1, & \psi^{\varepsilon}(1, \cdot) = g_2, & \text{in } (0, T), \\ \psi_{X}^{\varepsilon}(0, \cdot) = h_1, & \psi_{X}^{\varepsilon}(1, \cdot) = h_2, & \text{in } (0, T), \\ \psi^{\varepsilon}(\cdot, 0) = \psi_0, & \psi_{t}^{\varepsilon}(\cdot, 0) = \psi_1, & \text{in } \Omega, \end{cases}$$
(24)

where $f \in L^1(0, T; L^2)$, $g_1, g_2, h_1, h_2 \in H^2(0, T)$ and $(\psi_0, \psi_1) \in H^2 \times L^2$ satisfying the compatibility conditions

$$\psi_0^{\varepsilon}(0) = g_1(0), \quad \psi_0^{\varepsilon}(1) = g_2(0), \quad \psi_{0,x}^{\varepsilon}(0) = h_1(0), \quad \psi_{0,x}^{\varepsilon}(1) = h_2(0).$$
 (25)

Then, there exists a constant C > 0 such that

$$\begin{split} \|\psi^{\varepsilon}\|_{L^{\infty}(0,T;h^{1})} + \|\psi^{\varepsilon}_{t}\|_{L^{\infty}(0,T;L^{2})} + \varepsilon^{1/2} \|\psi^{\varepsilon}_{xx}\|_{L^{\infty}(0,T;L^{2})} &\leq C F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}) \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(0,\cdot) + \psi^{\varepsilon}_{x}(0,\cdot)\|_{L^{2}(0,T)} &\leq C F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(1,\cdot) - \psi^{\varepsilon}_{x}(1,\cdot)\|_{L^{2}(0,T)} &\leq C F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \end{split}$$
 (26)

 $F(f, g_1, g_2, h_1, h_2, \psi_0, \psi_1) = \|f\|_{L^1(0, T; L^2)} + \|g_1\|_{H^2(0, T)} + \|g_2\|_{H^2(0, T)}$

 $+\varepsilon^{1/2}(\|h_1\|_{H^2(0,T)}+\|h_2\|_{H^2(0,T)})+\|(\psi_0,\psi_1)\|_{H^1\times L^2}+\varepsilon^{1/2}\|\psi_{0,xx}\|_L$

Sketch of the proof (2)

A priori estimate for y^ε − ỹ^ε and φ^ε − φ^ε. The main tool is the following lemma.

Lemma

Let ψ^{ε} be the solution of the system

$$\begin{aligned}
\psi_{tt}^{\varepsilon} + \varepsilon \psi_{xxxx}^{\varepsilon} - \psi_{xx}^{\varepsilon} &= f, & \text{in } Q_T, \\
\psi^{\varepsilon}(0, \cdot) &= g_1, \quad \psi^{\varepsilon}(1, \cdot) &= g_2, & \text{in } (0, T), \\
\psi_{x}^{\varepsilon}(0, \cdot) &= h_1, \quad \psi_{x}^{\varepsilon}(1, \cdot) &= h_2, & \text{in } (0, T), \\
\psi^{\varepsilon}(\cdot, 0) &= \psi_0, \quad \psi_{t}^{\varepsilon}(\cdot, 0) &= \psi_1, & \text{in } \Omega,
\end{aligned}$$
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where $f \in L^1(0, T; L^2)$, $g_1, g_2, h_1, h_2 \in H^2(0, T)$ and $(\psi_0, \psi_1) \in H^2 \times L^2$ satisfying the compatibility conditions

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Then, there exists a constant C > 0 such that

$$\begin{split} \|\psi^{\varepsilon}\|_{L^{\infty}(0,T;H^{1})} + \|\psi^{\varepsilon}_{l}\|_{L^{\infty}(0,T;L^{2})} + \varepsilon^{1/2} \|\psi^{\varepsilon}_{xx}\|_{L^{\infty}(0,T;L^{2})} &\leq C \ F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}) \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(0,\cdot) + \psi^{\varepsilon}_{x}(0,\cdot)\|_{L^{2}(0,T)} &\leq C \ F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(1,\cdot) - \psi^{\varepsilon}_{x}(1,\cdot)\|_{L^{2}(0,T)} &\leq C \ F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \end{split}$$

where

 $F(f, g_1, g_2, h_1, h_2, \psi_0, \psi_1) = \|f\|_{L^1(0, T; L^2)} + \|g_1\|_{H^2(0, T)} + \|g_2\|_{H^2(0, T)}$

 $+ \varepsilon^{1/2} (\|h_1\|_{H^2(0,T)} + \|h_2\|_{H^2(0,T)}) + \|(\psi_0,\psi_1)\|_{H^1 \times L^2} + \varepsilon^{1/2} \|\psi_{0,xx}\|_{L^2}$

Sketch of the proof (2)

A priori estimate for y^ε − ỹ^ε and φ^ε − φ^ε. The main tool is the following lemma.

Lemma

Let ψ^{ε} be the solution of the system

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\psi_{tt}^{\varepsilon} + \varepsilon \psi_{xxxx}^{\varepsilon} - \psi_{xx}^{\varepsilon} &= f, & \text{in } Q_T, \\
\psi^{\varepsilon}(0, \cdot) &= g_1, \quad \psi^{\varepsilon}(1, \cdot) &= g_2, & \text{in } (0, T), \\
\psi_{x}^{\varepsilon}(0, \cdot) &= h_1, \quad \psi_{x}^{\varepsilon}(1, \cdot) &= h_2, & \text{in } (0, T), \\
\psi^{\varepsilon}(\cdot, 0) &= \psi_0, \quad \psi_{t}^{\varepsilon}(\cdot, 0) &= \psi_1, & \text{in } \Omega,
\end{aligned}$$
(24)

where $f \in L^1(0, T; L^2)$, $g_1, g_2, h_1, h_2 \in H^2(0, T)$ and $(\psi_0, \psi_1) \in H^2 \times L^2$ satisfying the compatibility conditions

$$\psi_0^{\varepsilon}(0) = g_1(0), \quad \psi_0^{\varepsilon}(1) = g_2(0), \quad \psi_{0,x}^{\varepsilon}(0) = h_1(0), \quad \psi_{0,x}^{\varepsilon}(1) = h_2(0).$$
 (25)

Then, there exists a constant C > 0 such that

$$\begin{split} \|\psi^{\varepsilon}\|_{L^{\infty}(0,T;H^{1})} + \|\psi^{\varepsilon}_{l}\|_{L^{\infty}(0,T;L^{2})} + \varepsilon^{1/2} \|\psi^{\varepsilon}_{xx}\|_{L^{\infty}(0,T;L^{2})} &\leq C \ F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}) \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(0,\cdot) + \psi^{\varepsilon}_{x}(0,\cdot)\|_{L^{2}(0,T)} &\leq C \ F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(1,\cdot) - \psi^{\varepsilon}_{x}(1,\cdot)\|_{L^{2}(0,T)} &\leq C \ F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \end{split}$$

where

 $F(f, g_1, g_2, h_1, h_2, \psi_0, \psi_1) = \|f\|_{L^1(0, T; L^2)} + \|g_1\|_{H^2(0, T)} + \|g_2\|_{H^2(0, T)}$

 $+ \varepsilon^{1/2} (\|h_1\|_{H^2(0,T)} + \|h_2\|_{H^2(0,T)}) + \|(\psi_0,\psi_1)\|_{H^1 \times L^2} + \varepsilon^{1/2} \|\psi_{0,xx}\|_{L^2}$

Sketch of the proof (2)

• A priori estimate for $y^{\varepsilon} - \tilde{y}^{\varepsilon}$ and $\varphi^{\varepsilon} - \tilde{\varphi}^{\varepsilon}$. The main tool is the following lemma

Lemma

Let ψ^{ε} be the solution of the system

$$\begin{aligned} \psi_{tt}^{\varepsilon} + \varepsilon \psi_{xxxx}^{\varepsilon} - \psi_{xx}^{\varepsilon} &= f, & \text{in } Q_T, \\ \psi^{\varepsilon}(0, \cdot) &= g_1, \quad \psi^{\varepsilon}(1, \cdot) &= g_2, & \text{in } (0, T), \\ \psi_{x}^{\varepsilon}(0, \cdot) &= h_1, \quad \psi_{x}^{\varepsilon}(1, \cdot) &= h_2, & \text{in } (0, T), \\ \psi^{\varepsilon}(\cdot, 0) &= \psi_0, \quad \psi_{t}^{\varepsilon}(\cdot, 0) &= \psi_1, & \text{in } \Omega, \end{aligned}$$

$$(24)$$

where $f \in L^{1}(0, T; L^{2})$, $g_{1}, g_{2}, h_{1}, h_{2} \in H^{2}(0, T)$ and $(\psi_{0}, \psi_{1}) \in H^{2} \times L^{2}$ satisfying the compatibility conditions

$$\psi_0^{\varepsilon}(0) = g_1(0), \quad \psi_0^{\varepsilon}(1) = g_2(0), \quad \psi_{0,x}^{\varepsilon}(0) = h_1(0), \quad \psi_{0,x}^{\varepsilon}(1) = h_2(0).$$
 (25)

Then, there exists a constant C > 0 such that

$$\begin{split} \|\psi^{\varepsilon}\|_{L^{\infty}(0,T;H^{1})} + \|\psi^{\varepsilon}_{t}\|_{L^{\infty}(0,T;L^{2})} + \varepsilon^{1/2} \|\psi^{\varepsilon}_{xx}\|_{L^{\infty}(0,T;L^{2})} &\leq C F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(0,\cdot) + \psi^{\varepsilon}_{x}(0,\cdot)\|_{L^{2}(0,T)} &\leq C F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(1,\cdot) - \psi^{\varepsilon}_{x}(1,\cdot)\|_{L^{2}(0,T)} &\leq C F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \\ \|\varepsilon^{1/2}\psi^{\varepsilon}_{xx}(1,\cdot) - \psi^{\varepsilon}_{x}(1,\cdot)\|_{L^{2}(0,T)} &\leq C F(f,g_{1},g_{2},h_{1},h_{2},\psi_{0},\psi_{1}), \end{split}$$

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$$F(f, g_1, g_2, h_1, h_2, \psi_0, \psi_1) = \|f\|_{L^1(0, T; L^2)} + \|g_1\|_{H^2(0, T)} + \|g_2\|_{H^2(0, T)} + \varepsilon^{1/2} (\|h_1\|_{H^2(0, T)} + \|h_2\|_{H^2(0, T)}) + \|(\psi_0, \psi_1)\|_{H^1 \times L^2} + \varepsilon^{1/2} \|\psi_{0, xx}\|_{L^2}.$$

• Substitution of the expansion \tilde{y}^{ε} and $\tilde{\varphi}^{\varepsilon}$ in the optimality system :

$$(y_{tt}^{\varepsilon} + \varepsilon y_{xxxx}^{\varepsilon} - y_{xx}^{\varepsilon} = 0,$$
 in Q_T

$$y^{\varepsilon}(0,\cdot) = y^{\varepsilon}(1,\cdot) = y^{\varepsilon}_{X}(0,\cdot) = 0, \quad y^{\varepsilon}_{X}(1,\cdot) = v^{\varepsilon} = \eta \varphi^{\varepsilon}_{XX}(1,\cdot) \quad \text{in } (0,T)$$

$$(y^{\varepsilon}(\cdot,0), y_t^{\varepsilon}(\cdot,0)) = (y_0, y_1), \qquad \text{in } (0,1)$$

$$y^{\varepsilon}(\cdot,T) = y_t^{\varepsilon}(\cdot,T) = 0,$$
 in $(0,1)$

$$\varphi_{tt}^{\varepsilon} + \varepsilon \varphi_{xxxx}^{\varepsilon} - \varphi_{xx}^{\varepsilon} = 0, \qquad \qquad \text{in } Q_T,$$

$$\varphi^{\varepsilon}(0,\cdot) = \varphi^{\varepsilon}(1,\cdot) = \varphi^{\varepsilon}_{X}(0,\cdot) = \varphi^{\varepsilon}_{X}(1,\cdot) = 0 \qquad \text{in } (0,T),$$

$$(\varphi^{\varepsilon}(\cdot, I) = \varphi^{\varepsilon}_{0}, \varphi^{\varepsilon}_{I}(\cdot, I) = \varphi^{\varepsilon}_{1}, \qquad \text{in } (0, 1).$$
(27)

In particular,

$$\sum_{j=0}^{2} \varepsilon^{j/2} v^{j} + \mathcal{O}(\varepsilon^{3/2}) = \eta(t) \varphi_{xx}^{\varepsilon}(1,t) = -\eta(t) \sum_{j=0}^{2} \varepsilon^{j/2} \varphi_{x}^{j}(1,t) + \mathcal{O}(\varepsilon^{3/2}),$$

leads to

$$v^{j}(t) = -\eta(t)\varphi_{x}^{j}(1,t), \quad j = 0, 1, 2.$$
 (28)

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Consider now the error function w^ε = y^ε − ỹ^ε and ζ^ε = φ^ε − φ̃^ε. They satisfy the coupled system

$$\begin{cases} \zeta_{tt}^{\varepsilon} + \varepsilon \zeta_{xxxx}^{\varepsilon} - \zeta_{xx}^{\varepsilon} = 0, & \text{in } Q_{T}, \\ \zeta^{\varepsilon}(0,t) = \zeta^{\varepsilon}(1,t) = 0, & t \in (0,T), \\ \zeta_{x}^{\varepsilon}(0,t) = \zeta_{x}^{\varepsilon}(1,t) = 0, & t \in (0,T), \\ \zeta^{\varepsilon}(x,0) = \varphi_{0}^{\varepsilon} - \psi_{0}^{\varepsilon}, & \zeta_{t}^{\varepsilon}(x,0) = \varphi_{1}^{\varepsilon} - \tilde{\phi}_{1}^{\varepsilon}, & x \in (0,1), \end{cases} \end{cases}$$

$$\begin{cases} w_{tt}^{\varepsilon} + \varepsilon w_{xxxx}^{\varepsilon} - w_{xx}^{\varepsilon} = 0, & \text{in } Q_{T}, \\ w^{\varepsilon}(0,t) = w^{\varepsilon}(1,t) = 0, & t \in (0,T), \\ w_{x}^{\varepsilon}(0,t) = 0, & w_{x}^{\varepsilon}(1,t) = \eta(t)\zeta_{xx}^{\varepsilon}(1,t), & t \in (0,T), \\ w^{\varepsilon}(x,0) = 0, & w_{t}^{\varepsilon}(x,0) = 0, & x \in (0,1), \\ w^{\varepsilon}(x,T) = -g_{0}^{\varepsilon}, & w_{t}^{\varepsilon}(x,T) = -g_{1}^{\varepsilon}. \end{cases}$$
(29)

Note that this is the optimality system for the unique minimal weighted L^2 -norm that drives the initial state (0,0) to the final state $(-g_0^{\varepsilon}, -g_1^{\varepsilon})$. Therefore,

$$\begin{split} &\|\eta(t)\zeta_{xx}^{\varepsilon}(1,\cdot)\|_{L^{2}(0,T)} = \|v^{\varepsilon} - \eta(t)\tilde{\varphi}_{xx}^{\varepsilon}(1,t)\|_{L^{2}(0,T)} \leq C\|(g_{0}^{\varepsilon},g_{1}^{\varepsilon})\|_{X_{1}} \\ &= \varepsilon^{1/2}\|g_{0,xx}^{0}\|_{L^{2}} + \|(g_{0}^{\varepsilon},g_{1}^{\varepsilon})\|_{H^{1}\times L^{2}} = \mathcal{O}(\varepsilon^{n/2+1/4}) \end{split}$$

which allows to conclude.

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Construction of a convergent discrete approximation

The asymptotic expansion of v^{ε} is also relevant from an approximation viewpoint, since the expansion

$$\mathbf{v}^{\varepsilon} = \frac{1}{\sqrt{\varepsilon}} (\mathbf{v}^0 + \sqrt{\varepsilon}\mathbf{v}^1 + \varepsilon \mathbf{v}^2) + \mathcal{O}(\varepsilon^{3/4}) \quad \text{(in } L^2)$$
(31)

involves controls for wave equations which are simpler to approximate than v^{ε} .

Corollary (Convergent discrete approximation of v^{ε})

Assume that for $k = 0, 1, 2, \{v_h^k\}_{(h>0)}$, is approximation of v^k , h being a discretization parameter, satisfying $\|v^k - v_h^k\|_{L^2(0,T)} = O(h^{\alpha})$ for some $\alpha > 0$. Then the approximation

$$\mathbf{v}_h^{\varepsilon} := \varepsilon^{-1/2} (\mathbf{v}_h^0 + \sqrt{\varepsilon} \mathbf{v}_h^1 + \varepsilon \mathbf{v}_h^2)$$

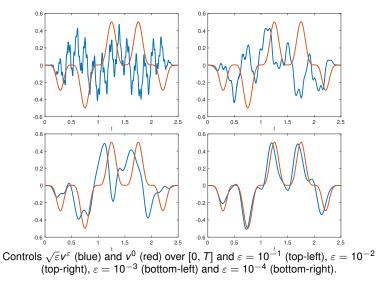
satisfies the estimate

$$\|\sqrt{\varepsilon}(v^{\varepsilon}-v_{h}^{\varepsilon})\|_{L^{2}(0,T)}=\mathcal{O}(\varepsilon^{3/4})+\mathcal{O}(h^{\alpha}),\quad\forall\varepsilon>0,h>0.$$

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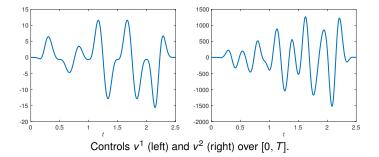
Numerical experiments

 $T = 2.5, (y_0, y_1) = (\sin(2\pi x)^4, 0) \in Z^6. \ \eta(t) = ((1 - e^{-40t})(1 - e^{-40(T-t)}))^3.$



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$$T = 2.5, (y_0, y_1) = (\sin(2\pi x)^4, 0) \in Z^6. \ \eta(t) = ((1 - e^{-40t})(1 - e^{-40(T-t)}))^3.$$



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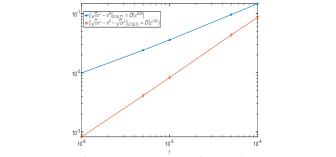
 $T = 2.5, (y_0, y_1) = (\sin(2\pi x)^4, 0) \in Z^6. \ \eta(t) = ((1 - e^{-40t})(1 - e^{-40(t-t)}))^3, t \in [0, T]. \|v^0\|_{L^2(0, T)} \approx 0.349834$

ε	# CG iterates	$\ \sqrt{\varepsilon}\mathbf{v}_{\varepsilon}\ _{L^{2}(0,T)}$	E_0^{ε}	E_1^{ε}	E_2^{ε}
10 ⁻¹	5	0.2625	4.68×10^{-1}	4.12×10^{-1}	$3.1 imes 10^{-1}$
10-2	11	0.2965	$4.28 imes 10^{-1}$	$3.32 imes 10^{-1}$	$2.1 imes 10^{-1}$
10 ⁻³	24	0.3542	3.61×10^{-1}	$2.82 imes 10^{-1}$	$1.79 imes 10^{-1}$
10-4	51	0.3510	$1.47 imes 10^{-1}$	$8.71 imes 10^{-2}$	$6.21 imes 10^{-2}$
5×10^{-5}	90	0.3508	$9.29 imes 10^{-2}$	$4.35 imes 10^{-2}$	$2.01 imes 10^{-2}$
10 ⁻⁵	101	0.3499	$3.59 imes 10^{-2}$	$8.34 imes10^{-3}$	$2.37 imes10^{-3}$
5×10^{-6}	171	0.3498	$2.40 imes 10^{-2}$	$4.30 imes10^{-3}$	$9.31 imes10^{-4}$
10 ⁻⁶	203	0.3498	$9.95 imes 10^{-3}$	$8.34 imes10^{-4}$	$1.13 imes10^{-4}$

$$\begin{split} E_0^{\varepsilon} &= \|\sqrt{\varepsilon}v^{\varepsilon} - v^0\|_{L^2(0,T)} = \mathcal{O}(\varepsilon^{0.58}), \\ E_1^{\varepsilon} &= \|\sqrt{\varepsilon}v^{\varepsilon} - v^0 - \sqrt{\varepsilon}v^1\|_{L^2(0,T)} = \mathcal{O}(\varepsilon^{1.01}), \\ E_2^{\varepsilon} &= \|\sqrt{\varepsilon}v^{\varepsilon} - v^0 - \sqrt{\varepsilon}v^1 - \varepsilon v^2\|_{L^2(0,T)} = \mathcal{O}(\varepsilon^{1.36}), \end{split}$$

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$$T = 2.5, (y_0, y_1) = (\sin(2\pi x)^4, 0) \in Z^6. \ \eta(t) = ((1 - e^{-40t})(1 - e^{-40(T-t)}))^3.$$



Evolution of $\|\sqrt{\varepsilon}v^{\varepsilon} - v^0\|_{L^2(0,T)}$ and $\|\sqrt{\varepsilon}v^{\varepsilon} - v^0 - \sqrt{\varepsilon}v^1\|_{L^2(0,T)}$ with respect to ε .

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The model of an elastic cylindric arch (considered in [AmmarKhodja-Geymonat-Munch, 2010]) of length one and constant curvature c > 0

$$(u_{tt}^{\varepsilon} - (u_x^{\varepsilon} + cv^{\varepsilon})_x = 0, \qquad \text{in } Q_T,$$

$$v_{tt}^{\varepsilon} + c(u_x^{\varepsilon} + cv^{\varepsilon}) + \varepsilon v_{xxxx}^{\varepsilon} = 0, \qquad \text{in } Q_T,$$

$$u^{\varepsilon}(0,\cdot) = v^{\varepsilon}(0,\cdot) = v_{\chi}^{\varepsilon}(0,\cdot) = v^{\varepsilon}(1,\cdot) = 0, \qquad \text{in } (0,T), \qquad (32)$$

$$u^{\varepsilon}(1,\cdot) = f^{\varepsilon}, v_{X}^{\varepsilon}(1,\cdot) = g^{\varepsilon} \qquad \qquad \text{in } (0,T),$$

$$(u^{\varepsilon}(\cdot,0),u^{\varepsilon}_{t}(\cdot,0)) = (u_{0},u_{1}), (v^{\varepsilon}(\cdot,0),v^{\varepsilon}_{t}(\cdot,0)) = (v_{0},v_{1}), \quad \text{ in } (0,1).$$

u^{ε} and v^{ε} denote the tangential and normal displacement of the arch.

For any $T > T^*(c, \varepsilon)$ and $(u_0, u_1) \in H_0^1(0, 1) \times L^2(0, 1), (v_0, v_1) \in H_0^2(0, 1) \times L^2(0, 1),$ (32) is null controllable through the controls f^{ε} and g^{ε} .

 v^{ε} exhibits a boundary layer which makes the control g^{ε} not uniformly bounded w.r.t. ε :

$$g^{\varepsilon} = \varepsilon^{-1/2}g^0 + g^1 + \varepsilon^{1/2}g^2 + \cdots, \qquad f^{\varepsilon} = f^0 + \varepsilon^{1/2}f^1 + \cdots$$
(33)

The underlying limit operator involves an essential spectrum (as $\varepsilon \to 0$) $\sigma_{ess}(A_M) = \{0\}$ so that (32) is not uniformly controllable with respect to the data, as $\varepsilon \to 0$.

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(32)

$$u^{\varepsilon}(1,\cdot) = f^{\varepsilon}, v_{x}^{\varepsilon}(1,\cdot) = g^{\varepsilon} \qquad \text{in } (0,T),$$

$$(u^{\varepsilon}(\cdot,0),u^{\varepsilon}_{t}(\cdot,0)) = (u_{0},u_{1}), (v^{\varepsilon}(\cdot,0),v^{\varepsilon}_{t}(\cdot,0)) = (v_{0},v_{1}), \quad \text{ in } (0,1).$$

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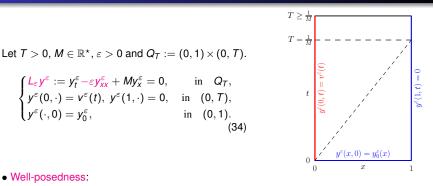
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Open Problem - The advection-diffusion equation



 $\forall y_0^{\varepsilon} \in H^{-1}(0,1), v^{\varepsilon} \in L^2(0,T), \quad \exists ! y^{\varepsilon} \in L^2(Q_T) \cap C([0,T]; H^{-1}(0,1))$

• Null controllability property: From [Fursikov'91],

 $\forall T > 0, y_0^{\varepsilon} \in H^{-1}(0, 1), \exists v^{\varepsilon} \in L^2(0, T) \quad \text{s.t.} \quad y^{\varepsilon}(\cdot, T) = 0 \quad \text{in } H^{-1}(0, 1)$

• Main concern: Behavior of the controls v^{ε} as $\varepsilon \to 0$

• Remark: y^{ε} exhibits internal and boundary layers as $\varepsilon \to 0$ and make non trivial the analysis of the direct and control problems !

Lemma (Exponential decay of $\|y^{\varepsilon}(\cdot, T)\|_{L^{2}(0,T)}$ for $T > \frac{1}{|M|}$)

Let $\alpha \in [0, 1)$. The free solution (i.e. $v^{\varepsilon} = 0$) satisfies

$$\|y^{\varepsilon}(\cdot,t)\|_{L^{2}(0,1)} \leq \|y_{0}^{\varepsilon}\|_{L^{2}(0,1)}e^{-\frac{M\alpha^{2}}{4\varepsilon(1-\alpha)}}, \quad \forall t \geq \frac{1}{|M|(1-\alpha)}.$$

Proposition (Amirat, Munch, 2019, Polynomial decay of $\|y^{\varepsilon}(\cdot, T)\|_{L^{2}(0,T)}$ for $T = \frac{1}{|M|}$)

Assume M > 0 and $v^{\varepsilon} \equiv 0$, $y_0^{\varepsilon} = y_0 \in H^3(0, 1)$. For $\varepsilon > 0$ small enough, the free solution y^{ε} satisfies

 $\left\|y^{\varepsilon}\left(\cdot,\frac{1}{|M|}\right)\right\|_{L^{2}(0,1)} \leq c\left(|y_{0}(0)|\varepsilon^{1/4}+|y_{0}^{(1)}(0)|\varepsilon^{3/4}+|y_{0}^{(2)}(0)|\varepsilon^{5/4}\right)+\mathcal{O}(\varepsilon^{3/2})$ (35)

for some constant c > 0, independent of ε .

 \implies For ε small enough, the cost of approximate controllability is zero.

Lemma (Exponential decay of $\|y^{\varepsilon}(\cdot, T)\|_{L^{2}(0,T)}$ for $T > \frac{1}{|M|}$)

Let $\alpha \in [0, 1)$. The free solution (i.e. $v^{\varepsilon} = 0$) satisfies

$$\|y^{\varepsilon}(\cdot,t)\|_{L^{2}(0,1)} \leq \|y_{0}^{\varepsilon}\|_{L^{2}(0,1)}e^{-\frac{M\alpha^{2}}{4\varepsilon(1-\alpha)}}, \quad \forall t \geq \frac{1}{|M|(1-\alpha)}.$$

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Assume M > 0 and $v^{\varepsilon} \equiv 0$, $y_0^{\varepsilon} = y_0 \in H^3(0, 1)$. For $\varepsilon > 0$ small enough, the free solution y^{ε} satisfies

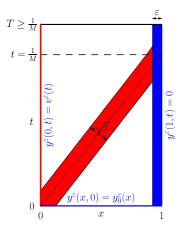
$$\left\| y^{\varepsilon} \left(\cdot, \frac{1}{|M|} \right) \right\|_{L^{2}(0,1)} \leq c \left(|y_{0}(0)|\varepsilon^{1/4} + |y_{0}^{(1)}(0)|\varepsilon^{3/4} + |y_{0}^{(2)}(0)|\varepsilon^{5/4} \right) + \mathcal{O}(\varepsilon^{3/2})$$
(35)

for some constant c > 0, independent of ε .

 \implies For ε small enough, the cost of approximate controllability is zero.

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Direct problem - Asymptotic analysis - Without compatibility conditions



Singular layers zone for y^{ε} in the case M > 0.

Occurence of two interacting singular layers of different sizes !

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Direct problem - Matched asymptotic expansion method - Case 2 - First order approximation (1)

Let

$$P^{\varepsilon} = P^{0}_{\varepsilon} + \sqrt{\varepsilon} P^{1/2}_{\varepsilon} + \varepsilon P^{1}_{\varepsilon} + \varepsilon^{3/2} P^{3/2}_{\varepsilon}$$

Theorem (Amirat, Munch, 19)

Assume $v \in H^3([0, T])$, $y_0 \in H^3([0, 1])$. Then $\exists C > 0$ independent of ε s.t.

$$\left\| y^{\varepsilon}(\cdot,t) - P^{\varepsilon}(\cdot,t) \right\|_{L^{2}(0,1))} \leq C(\varepsilon^{3/2} + \varepsilon^{1/2} e^{-\frac{M^{2}}{2\varepsilon^{1/2}}t}) \quad \forall t \in [0,T]$$

and (assuming $y_0(1) = y'_0(1) = 0$)

$$\|(y^{\varepsilon}-P^{\varepsilon})_{x}\|_{L^{2}(Q_{T})} \leq C\varepsilon$$

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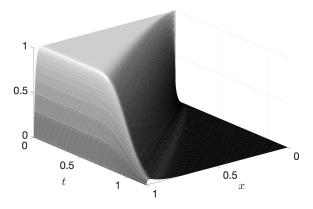
As an illustration, we consider the simple case $v \equiv 0$ and $y_0 \equiv 1$ for which

$$\begin{cases} P^{\varepsilon}(x,t) = W^{0}_{\varepsilon}(w,t) - \left(W^{0}_{\varepsilon}(M\tau,t) + \varepsilon^{1/2} z W^{0}_{\varepsilon,w}(M\tau,t) + \varepsilon^{2/2} \frac{z^{3}}{6} W^{0}_{\varepsilon,www}(M\tau,t)\right) e^{-Mz}, \\ w = \frac{x - Mt}{\sqrt{\varepsilon}}, \quad M\tau = \frac{1 - Mt}{\sqrt{\varepsilon}}, \quad z = \frac{1 - x}{\varepsilon}. \end{cases}$$

with

$$\mathcal{N}_{\varepsilon}^{0}(w,t) = \frac{y_{0}(0) - v(0)}{2} \operatorname{erf}\left(\frac{w}{2\sqrt{t}}\right) + \frac{y_{0}(0) + v(0)}{2} + \frac{v(0) - y_{0}(0)}{2} \operatorname{e}^{\frac{Mw}{\sqrt{\varepsilon}} + \frac{M^{2}t}{\varepsilon}} \operatorname{erfc}\left(\frac{w}{2\sqrt{t}} + \frac{M\sqrt{t}}{\sqrt{\varepsilon}}\right)$$
(36)

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 P^{ε} in (0, 1) × (0, 1.2/M); $M = 1, \varepsilon = 10^{-2}; v \equiv 0, y_0 \equiv 1.$

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With respect to the null controllability issue

There is a kind of competition between the transport and the diffusion terms: as ε → 0, the transport term becomes dominant, pushes the solution out of (0, 1) and makes ||y^ε(·, T)||₂ small for all T ≥ 1/|M|. However, as ε → 0, the diffusion term, which is the main tools to control to zero the solution, is small.

Intuitively, one have to wait enough time, from t = 1/|M|, to control uniformly w.r.t. ε the remainder $y^{\varepsilon}(\cdot, 1/|M|)$.

The negative case M < 0 is the "most singular" since then the transport term pushes the solution y^ε from the right to the left line x = 0 where the control acts. The control requires more "energy" to act on the whole spatial domain.

Theorem (Coron, Guerrero, Glass, Lissy, ...

Define the cost of control as follows.

$$K(\varepsilon, T, M) := \sup_{\| v_0^{\varepsilon} \|_{L^2(0,T)} = 1} \left\{ \min_{v \in C(v_0^{\varepsilon}, T, \varepsilon, M)} \| v \|_{L^2(0,T)} \right\}.$$

 $0 \cup I(T < 1/|M|, K(c, T, M)$ blows up (exponentially) as $c \rightarrow 0$.

0 if M > 0 and $T \ge C/M, K(\epsilon, T, M) \rightarrow 0$. ($C \approx 3.34$). (Darde-Ervedoza, 2017).

If M < 0, and T < 2√2/|M|, K(c, T, M) → ∞. (Lissy, 2015).</p>

With respect to the null controllability issue

There is a kind of competition between the transport and the diffusion terms: as ε → 0, the transport term becomes dominant, pushes the solution out of (0, 1) and makes ||y^ε(·, T)||₂ small for all T ≥ 1/|M|. However, as ε → 0, the diffusion term, which is the main tools to control to zero the solution, is small.

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 The negative case M < 0 is the "most singular" since then the transport term pushes the solution y^ε from the right to the left line x = 0 where the control acts. The control requires more "energy" to act on the whole spatial domain.

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Define the cost of control as follows.

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 $(0, d, T \leq 1/|M|, K(c, T, M))$ blows up (asymmetrially), as $c \rightarrow 0$. $(0, d, M \geq 0, and T \geq C/M, K(c, T, M) \rightarrow 0$. (C is 3.54). (Distribution Screederen, 2017).

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The optimality system associated to the control of minimal L²-norm is

$$\begin{cases} y_t^\varepsilon - \varepsilon y_{xx}^\varepsilon + M y_x^\varepsilon = 0, & (x,t) \in Q_T, \\ \varphi_t^\varepsilon + \varepsilon \varphi_{xx}^\varepsilon + M \varphi_x^\varepsilon = 0, & (x,t) \in Q_T, \\ y^\varepsilon (\cdot, 0) = y_0^\varepsilon, & x \in (0,1), \end{cases} \\ \frac{v^\varepsilon (t) = y^\varepsilon (0,t) = \varepsilon \varphi_x^\varepsilon (0,t), & t \in (0,T), \\ y^\varepsilon (1,t) = 0, & t \in (0,T), \\ \varphi^\varepsilon (0,t) = \varphi^\varepsilon (1,t) = 0, & t \in (0,T), \\ y^\varepsilon (\cdot, T) = 0, & x \in (0,1). \end{cases}$$

Main difficulty: whatever be the regularity of y_0^{ε} , the control of minimal $L^2(0, T)$ -norm is only $L^2(0, T)$ (with weight, it can be $L^{\infty}(0, T)$) !?!!

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There are many others partial differential equations involving a small (singular) parameter. We mention the case of the dissipative wave equation (ω denotes an open nonempty subset of (0, 1))

$$\begin{cases} \varepsilon \mathbf{y}_{tt}^{\varepsilon} + y_t^{\varepsilon} - y_{xx}^{\varepsilon} = v^{\varepsilon} \mathbf{1}_{\omega}, & \text{in } Q_T, \\ y^{\varepsilon}(0, t) = y^{\varepsilon}(1, t) = 0, & \text{in } (0, T), \\ (y^{\varepsilon}(\cdot, 0), y_t^{\varepsilon}(\cdot, 0)) = (y_0, y_1), & \text{in } (0, 1) \end{cases}$$

controllable for any $\varepsilon > 0$ and for which one can find a sequence of controls $\{v^{\varepsilon}\}_{\varepsilon>0}$ which converges to a null control for the heat equation (we refer to [Lopez-Zuazua 2006] using spectral arguments).

As $\varepsilon \to 0$, an initial singular layer at t = 0 is developed ! Rate of convergence of v^{ε} ?

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The end

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THANK YOU FOR YOUR ATTENTION

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