Maintien hors-gel des chaussées: un problème de contrôle optimal non linéaire

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avec Frédéric Bernardin (Cerema Clermont)



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Collaboration avec le CEREMA (Centre d'études et d'expertises sur les risques, l'environnement, la mobilité et l'aménagement) dans le cadre du projet européen "Routes de 5ième génération" :

- Réduction du bruit
- Récupération d'énergie / Panneau solaire
- Utilisation de matériaux recyclable
- Incrustation luminescente interactive
- etc

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Projet : Chaussées chauffantes et récupératrices d'énergie par circulation d'un fluide caloporteur au sein d'une couche poreuse de la chaussée



Figure: Schéma du démonstrateur (cas chauffant)

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BANC EXPERIMENTAL DU CEREMA EN CORRÈZE



Figure: Le demonstrateur d'Egletons

Arnaud Münch A road de-icing device by a nonlinear heating

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UNE IMPLANTATION SUR UNE VOIE DE CIRCULATION



Figure: Voie de circulation à Egletons

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MODÉLISATION - COUPE 2D TRANSVERSALE



Figure: Schéma transversal de la structure avec condition aux limites: θ_f est la température d'injection du fluide

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MODÉLISATION DU SOUS-SOL

The road is assumed to have no longitudinal slant and to be infinite in its third dimension. *h* and *L* denote the height of the road structure and its length, respectively. The hydraulic regime is assumed stationary with hydraulic parameters independent of temperature *T*. Denoting by $1 \le i \le 4$ the indices of the road layers, the thermo-hydraulic model is as follows. For $0 \le x \le L$ and $0 \le y \le h$:

$$\begin{cases} C_{i}\frac{\partial\theta}{\partial t}(x,y,t) - \lambda_{i}\Delta\theta(x,y,t) = 0, & i \in \{1,3,4\}, \\ C_{2}\frac{\partial\theta}{\partial t}(x,y,t) + C_{f}v\frac{\partial\theta}{\partial x}(x,y,t) - (\lambda_{2} + \phi_{2}\lambda_{f})\Delta\theta(x,y,t) = 0, \\ v = -K\frac{H_{2} - H_{1}}{L}, \end{cases}$$
(1)

where

| $(\rho C)_i, \lambda_i, \phi_i$ | specific heat, thermal conductivity and porosity of layer <i>i</i> |
|---------------------------------|--|
| $(\rho C)_f, \lambda_f$ | specific heat, thermal conductivity of the fluid |
| V | Darcy fluid velocity along x |
| K | hydraulic conductivity of the porous asphalt |
| H_1, H_2 | hydraulic heads imposed upstream and downstream of fluid circulating |
| | in porous draining asphalt layer |

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MODÉLISATION À LA SURFACE :

Road surface boundary condition expresses the energy balance between road and atmosphere ¹:

$$\lambda_1 \frac{\partial \theta}{\partial y}(x,0,t) = \sigma \varepsilon(t) \theta^4(x,0,t) + H_v(t)(\theta(x,0,t) - \theta_a(t)) - R_{atm}(t) - (1 - A(t))R_g(t) + L_f I(t)$$

- ε, A : emissivity and albedo of the road surface,
 - σ : Stefan-Boltzmann constant (5.67 × 10⁻⁸ W/m²K⁴),

 R_{atm}, R_g : atmospheric and global radiation (W/m²),

- θ_a : air temperature (K),
- H_v : convection heat transfer coefficient (W/m²K),
 - I: snow rate (mm.s⁻¹),
- L_f : latent heat of fusion of the ice per kg (J.kg⁻¹).

The convection coefficient is defined by $H_v = Cp_a \times \rho_a(V_{wind}C_d + C_{d_1})$ where the following notations are used :

 Cp_a : thermal capacity (J/kg.K) of the air, V_{wind} : wind velocity (m/s),

 ρ_a : density of the air (kg/m³), C_d , C_{d_1} : two convection coefficients (-).

(2)

¹ Asfour, Bernardin, 2015 : Experimental validation of 2d hydrothermal modelling of porous pavement 🕨 📃 🔗 🤉 🖓

The injection temperature of the fluid is imposed :

$$\forall e_1 \leq y \leq e_1 + e_2, \ \forall t \geq 0, \ \theta(t, 0, y) = \theta_f(y, t) = q(t).$$
(3)

The optimal control problem is the following

$$\begin{cases} \inf_{q \in H_0^1(0,T)} J(q), \text{ subject to:} \\ q \ge 0 \text{ a.e. } t \in (0,T), \\ \theta \ge \underline{\theta}, \text{ a.e.on } \Sigma_b \times (0,T), \\ \theta \text{ solves (1).} \end{cases}$$
(4)

where J is defined as follows :

$$J(q) = \frac{1}{2} v \, e_2 \, C_f \left(\int_0^T \int_{e_1}^{e_1 + e_2} \left(q(t) - \theta(L, y, t) \right)^+ dy dt \right)^2 + \frac{1}{2} \alpha \int_0^T q_t^2(t) dt.$$
(5)

The functional J represents the energy expended by the power q over the period [0, T].

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SIMPLIFICATION DU PROBLÈME - LE CAS 1D

1D - model: $\theta = \theta(y, t)$ denotes the temperature in Kelvin at the point y and at time t solves

$$\begin{cases} c(y)\frac{\partial\theta}{\partial t}(y,t) - \frac{\partial}{\partial y}\left(k(y)\frac{\partial\theta}{\partial y}(y,t)\right) = q(t)\delta(y^{0}), & (y,t) \in Q_{T} = (0,L) \times (0,T), \\ -k(0)\frac{\partial\theta}{\partial y}(0,t) = f_{1}(t) - f_{2}(t)\theta(0,t) - \sigma\varepsilon(t)\theta^{4}(0,t), & t \in (0,T), \\ \theta(y,0) = \theta_{0}(y), & y \in (0,L), \quad \frac{\partial\theta}{\partial y}(L,t) = 0, & t \in (0,T), \\ f_{1}(t) = (1 - A(t))R_{g}(t) + R_{atm}(t) + H_{v}(t)\theta_{a}(t) - \frac{L_{f}}{3600}I(t), & f_{2}(t) = H_{v}(t). \end{cases}$$

$$(6)$$

Optimal control problem:

$$\begin{cases} \inf_{q \in K} J(q) := \int_0^T q(t) dt, \\ \text{subject to } q \in K = \left\{ q \in L^1(0, T), (t) \ge 0, \ \theta(0, t) \ge \underline{\theta}, \ \forall t \in (0, T), \ \theta = \theta(q) \text{ solves } (6) \right\} \end{cases}$$

 $q (W/m^2); J (Wh \text{ ou } J)$

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CARACTÈRE BIEN POSÉ DU PROBLÈME

Let us then introduce the following regularity assumptions :

$$(\mathcal{H}) \quad \begin{cases} q_{s}, f_{1} \in H^{1}(0, T), \theta_{0} \in V, (k(\theta_{0})_{y})_{y} \in V', c, k \in L^{\infty}(0, h_{e}), \\ \varepsilon \in H^{1}(0, T), \varepsilon^{-1}\varepsilon_{t} \in L^{\infty}(0, T), \varepsilon(t) \geq 0, \forall t \in (0, T), \\ f_{2} \in H^{1}(0, T), f_{2,t} \in L^{\infty}(0, T). \end{cases}$$

Theorem

Assume (\mathcal{H}). Assume moreover that the control q satisfies q(0) = 0 and that the initial condition θ_0 satisfies the compatibility condition

$$-k(0)(\theta_0)_y(0) = f_1(0) - f_2(0)\theta_0(0) - \sigma\varepsilon(0)\theta_0^3(0)|\theta_0(0)|, \quad (\theta_0)_y(h_e) = 0, \quad (7)$$

at the point y = 0 and $y = h_e$ respectively. There exists a unique solution θ with $\theta, \theta_t \in L^2(0, T, V) \cap L^{\infty}(0, T, H)$ such that

$$\|\sqrt{c}\theta_t\|_{L^{\infty}(0,T,H)} + \|\theta_t\|_{L^2(0,T,V)} \leq C_2(\|k(\theta_0)_y\|_H + \|q\|_{H^1(0,T)} + \|f_1\|_{H^1(0,T)}).$$

for a constant $C_2 = C(C_1, \|f_2\|_{H^1(0,T)}, \|\varepsilon^{-1}\varepsilon_t\|_{L^{\infty}(0,T)}, \|f_{2,t}\|_{L^{\infty}(0,T)}) > 0$

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Moreover, the solution enjoys the following comparison principle.

Proposition

Assume the hypothesis of Theorem 1. Let θ and $\hat{\theta}$ the solutions of (6) associated with the pair (q, θ_0) and $(\hat{q}, \hat{\theta}_0)$ respectively. If $q \ge \hat{q}$ in [0, T] and $\theta_0 \ge \hat{\theta}_0$ in [0, L], then $\theta \ge \hat{\theta}$ in Q_T .

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$$\inf_{\boldsymbol{q}\in\mathcal{C}} J_{\alpha}(\boldsymbol{q}) := \frac{1}{2} \left(\|\boldsymbol{q}\|_{L^{1}(0,T)}^{2} + \alpha \|\boldsymbol{q}\|_{H^{1}(0,T)}^{2} \right)$$
(8)

where the constraint set is given by

$$\mathcal{C}:=\bigg\{q\in H^1(0,T), q(0)=0, q(t)\geq 0, \theta(0,t)\geq \underline{\theta}, \forall t\in [0,T], \theta=\theta(q) \text{ solves (6)}\bigg\}.$$

Lemma

• Let us assume that $\theta_0 \geq \underline{\theta}$ on $(0, h_e)$. If

$$f_1(t) - f_2(t)\underline{\theta} - \sigma\varepsilon(t)\underline{\theta}^4 \ge 0, \,\forall t \in (0, T),$$

then C is not empty. In particular, if $q \ge \underline{\theta}$ then $\theta \ge \underline{\theta}$.

• C is a closed convex subset of $H^1(0, T)$.

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Let $H_{0,0}^1(0,T) = \{q \in H^1(0,T), q(0) = 0\}.$

$$(\mathcal{P}_{\epsilon}): \quad \inf_{q \in \mathcal{D}} J_{\alpha,\epsilon}(q) := \frac{1}{2} \|q\|_{L^{1}(0,T)}^{2} + \frac{\alpha}{2} \|q\|_{H^{1}(0,T)}^{2} + \frac{\epsilon^{-1}}{2} \left\| (\theta(0,\cdot) - \underline{\theta})^{-} \right\|_{L^{2}(0,T)}^{2}$$

Theorem

For any $\alpha > 0, \epsilon > 0$, the functional $J_{\alpha,\epsilon}$ is Gâteaux differentiable on the set \mathcal{D} and its derivative at $q \in \mathcal{D}$ in the admissible direction \overline{q} (i.e. $\overline{q} \in H^1_{0,0}(0,T)$ such that $q + \eta \overline{q} \in \mathcal{D}$ for all $\eta \neq 0$ small) is given by

$$< J_{\alpha,\epsilon}'(q), \overline{q} >= \int_0^T \left(\|q\|_{L^1(0,T)} - p(y_0,\cdot) \right) \overline{q} \, dt + \alpha \int_0^T (q\overline{q} + q_t \overline{q}_t) dt \tag{9}$$

where p solves the adjoint problem

$$\begin{cases} -c(y)p_{t}(y,t) - (k(y)p_{y}(y,t))_{y} = 0, \quad (y,t) \in Q_{T}, \\ -k(0)p_{y}(0,t) = -f_{2}(t)p(0,t) - 4\sigma\varepsilon(t)\theta_{q}(0,t)^{3}p(0,t) - \epsilon^{-1}(\theta_{q}(0,t) - \underline{\theta})^{-}, \ t \in (0,T), \\ p_{y}(h_{\theta},t) = 0, \quad t \in (0,T), \\ p(y,T) = 0, \quad y \in (0,h_{\theta}), \end{cases}$$
(10)

and θ_q solves (6).

Euler implicite en temps + Elément finis \mathbb{P}_1 en espace Let

$$V_h = \{\theta_h \in C^1([0, h_{\theta}]), \theta_{h|_{[x_i, x_{i+1}]}} \in \mathbb{P}_1 \quad \forall i = 1, \dots, N_y - 1\}$$

 $\pi_h: V \to V_h$ is the projection operator over V_h . Let $(t_n)_{n=1,.,N_t}$ such that $[0, T] = \bigcup_{n=0}^{N_t-1} [t_n, t_{n+1}].$

We note by (θ_h^n) an approximation of $\theta_h(\cdot, t_n)$ the solution of the following implicit Euler type scheme:

$$\begin{cases} \theta_h^0 = \pi_h(\theta_0), \\ \left(c\frac{\theta_h^{n+1} - \theta_h^n}{\Delta t}, \phi_h\right)_H + a(t_{n+1}, \theta_h^{n+1}, \phi_h) + 4\sigma\varepsilon(t_{n+1})(\theta_h^n(0))^3\theta_h^{n+1}(0)\phi_h(0) \\ -3\sigma\varepsilon(t_n)(\theta_h^n(0))^4\phi_h(0) = q(t_{n+1})\phi_h(y_0) + f_1(t_{n+1})\phi_h(0), \\ \forall \phi_h \in V_h, n \ge 0. \end{cases}$$

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$$-k(0)\frac{\partial\theta}{\partial y}(0,t) = f_1(t) - f_2(t)\theta(0,t) - \sigma\varepsilon(t)\theta^4(0,t), \quad t \in (0,T),$$

$$f_1(t) = (1 - A(t))R_g(t) + R_{atm}(t) + H_v(t)\theta_a(t) - \frac{L_f}{3600}I(t),$$



Figure: The function f_1 from data of the french highway A75 in Cantal (1100 m altitude) - October 2009- March 2010

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$$J_{\alpha,\epsilon}(q) := \frac{1}{2} \|q\|_{L^1(0,T)}^2 + \frac{\alpha}{2} \left(T \|q\|_{L^2(0,T)}^2 + \frac{T^3}{4\pi^2} \|q_t\|_{L^2(0,T)}^2 \right) + \frac{\epsilon^{-1}}{2} \left\| (\theta_q(0,\cdot) - \underline{\theta})^- \right\|_{L^2(0,T)}^2$$



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$$J_{\alpha,\epsilon}(q) := \frac{1}{2} \|q\|_{L^1(0,T)}^2 + \frac{\alpha}{2} \left(T \|q\|_{L^2(0,T)}^2 + \frac{T^3}{4\pi^2} \|q_l\|_{L^2(0,T)}^2 \right) + \frac{\epsilon^{-1}}{2} \left\| (\theta_q(0,\cdot) - \underline{\theta})^- \right\|_{L^2(0,T)}^2$$
(11)



under the additional constraint $||q||_{\infty} \leq \lambda$ for $\lambda = 200$ and 285.

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$$J_{\alpha,\epsilon}(q) := \frac{1}{2} \|q\|_{L^1(0,T)}^2 + \frac{\alpha}{2} \left(T \|q\|_{L^2(0,T)}^2 + \frac{T^3}{4\pi^2} \|q_l\|_{L^2(0,T)}^2 \right) + \frac{\epsilon^{-1}}{2} \left\| (\theta_q(0,\cdot) - \underline{\theta})^- \right\|_{L^2(0,T)}^2$$



 $J_{\alpha,\epsilon}$ for different bounds of $||q||_{\infty}$.

$$J_{\alpha,\epsilon}(q) := \frac{1}{2} \|q\|_{L^1(0,T)}^2 + \frac{\alpha}{2} \left(T \|q\|_{L^2(0,T)}^2 + \frac{T^3}{4\pi^2} \|q_l\|_{L^2(0,T)}^2 \right) + \frac{\epsilon^{-1}}{2} \left\| (\theta_q(0,\cdot) - \underline{\theta})^- \right\|_{L^2(0,T)}^2$$



Figure: Surface temperature $\theta_{q=0}(0, \cdot)$, and sources q represented on [0, 500] corresponding to the minimization of $J_{\alpha,\epsilon}$ with $\alpha = 10^{-3}$ and $\epsilon = 2.10^{-13}$, $\alpha = 10^{-7}$ and $\epsilon = 10^{-12}$ and \tilde{J}_{ϵ} with $\epsilon = 4.10^{-6}$.

Le contrôle optimal en norme L^{∞} - Contrôle Bang-Bang



Figure: Optimal bang-bang control *q* on [0, *T*] corresponding to L = 1/4. $q(t) = \lambda s(t)$ with $\lambda \approx 2.34 \times 10^2$.



Figure: Temperature $\theta(0, \cdot)$ at the road surface on [0, T] in the controlled (red full line) $\Im \land \Diamond$

Conclusion de l'étude

• The total energy needed to keep the road surface temperature over 2°C during a winter with snow is about $5.10^8 \text{ J} \simeq 139 \text{ kWh}$ per m² of road, with minimal and maximal values per m² respectively equal to 124 kWh and 213 kWh.

• The L^{∞} -norm of the optimal power *q* ranges in 240-500 W/m².

• Some experiments for de-icing obtained by the circulation of a coolant in pipes inserted in the road. equals $100 - 170 \text{ kWh/m}^2$.



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LOI DE COMMANDE EXPLICITE (1)

According to the mathematical analysis, if the source q acts on the top of the road $(y_0 = 0)$ satisfies the condition $q + f_1(t) - f_2(t)\underline{\theta} - \sigma\varepsilon(t)\underline{\theta}^4 \ge 0$, then the corresponding variable θ_q satisfies $\theta_q(0, t) - \underline{\theta} \ge 0$ for all $t \in (0, T)$. This suggests to consider, the following explicit source

$$q(t) = \max\left(0, -(f_1(t) - f_2(t)\underline{\theta} - \sigma\varepsilon(t)\underline{\theta}^4) + \delta\right)$$

for some real $\delta \geq 0$ large enough, dependent of y_0 . Table 1 gives the L^1 -norm of q and the corresponding value of min $((\theta(0, \cdot) - \underline{\theta})^-)$ for some values of δ . The value $\delta = 55$ is large enough to satisfy the condition $\theta(0, \cdot) \geq 2^o C$ at the road surface. The corresponding L^1 -norm $||q||_{L^1(0,T)} \approx 7.52 \times 10^8$ is of the same order as in the previous section.

| δ | 0 | 50 | 54 | 55 |
|--|----------------------|--------------------|---------------------|---------------------|
| $ q _{L^{1}(0,T)}$ | 4.01×10^{8} | $7.2 	imes 10^{8}$ | $7.52 	imes 10^{8}$ | $7.60 	imes 10^{8}$ |
| $\ q\ _{L^{\infty}(0,T)}$ | 2.72×10^{2} | $3.22 	imes 10^2$ | $3.26	imes10^2$ | $3.27	imes10^2$ |
| $\ (\theta(0,\cdot)-\underline{\theta})^{-}\ _{L^{2}(0,T)}$ | $5.49	imes10^2$ | $1.29	imes10^1$ | 1.71 | 0. |
| $\ (\theta(0,\cdot)-\underline{\theta})^-\ _{L^{\infty}(0,T)}$ | 1.91 | $1.74	imes10^{-1}$ | $2.92	imes10^{-2}$ | 0. |

Table: Characteristics of the temperature θ .

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LOI DE COMMANDE EXPLICITE (2)

The source from the previous law is active on some period where the value of $\theta(0, \cdot)$ is (significantly) above $\underline{\theta}$. This is due to the large variations of the functions f_1 and f_2 . A third way is therefore to consider source term q which depends explicitly on the variable θ_q , for instance as follows:

$$q(t) = \begin{cases} 0 & \text{if} & \theta(0, t - \delta) \ge \theta_m, \\ 0 & \text{if} & \underline{\theta} \le \theta(0, t - \delta) \le \theta_m & \text{and} & \theta'(0, t - \delta) > 0, \\ f(t, \theta) \Big(\theta(0, t - \delta) - \theta_m \Big)^- & \text{else} \end{cases}$$

for some reals $\theta_m > \underline{\theta}, \delta \in (0, T)$ and a negative function *f* which depends only at time *t* on the temperature $\theta(s), s \in (0, t)$. Figure below depicts the source associated with $\theta_m = 273.15 + 3, \delta = 1$ hour and to the corresponding temperature $\theta(0, \cdot)$.



Figure: Source q for $t \in [0, 1000]$ and corresponding temperature $\theta(0, \cdot)$.

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• Analyse mathématique du cas 1d

F. Bernardin, AM: Modeling and optimizing a road de-icing device by a nonlinear heating ESAIM Math. Model. Numer. Anal, 2019.

• Lien sur arte.tv (émission du 2 avril 2016):

https://sites.arte.tv/futuremag/fr/les-routes-de-demain-futuremag

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