

# Lipschitz stability in an inverse problem for non autonomous magnetic Schrödinger equations

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## The settings

$\Omega =$  bounded open subset of  $\mathbb{R}^n$ ,  $n \in \mathbb{N}^*$ , with  $C^2$  boundary  $\Gamma$ .

**Time dependent Hamiltonian:**

$$H_{\mathbf{a}}(t) = (i\nabla + \chi(t)\mathbf{a})^2,$$

associated to the magnetic vector potential  $\chi(t)\mathbf{a}(\mathbf{x})$ , where:

- (a)  $\chi =$  smooth real valued function on  $[0, T]$  ;
- (b)  $\mathbf{a} \in H^1(\Omega)^n$  is bounded, **nondivergent** and real valued.

# Non autonomous magnetic Schrödinger equations

$$\begin{cases} -iu'(t, x) + H_{\mathbf{a}}(t)u(t, x) = 0, & (t, x) \in Q_T^+ = (0, T) \times \Omega \\ u(t, x) = 0, & (t, x) \in \Sigma_T^+ = (0, T) \times \Gamma \\ u(0, x) = u_0(x), & x \in \Omega, \end{cases} \quad (1.1)$$

for some suitable data  $u_0$ .

$\Gamma^+ =$  open subset of  $\Gamma$  (+ appropriate geometric condition).

**Inverse problem:** retrieve  $\mathbf{a} = (\mathbf{a}_j(x))_{1 \leq j \leq n}$ ,  $x \in \Omega$ , in (1.1), from the extra data  $\partial_\nu \partial_t^k u|_{(0, T) \times \Gamma^+}$ ,  $k = 1, 2$ , by  $n$  times changing the initial value  $u_0$  suitably.

## Magnetic field

- ▶  $\Lambda_{\mathbf{a}}(f) = (\partial_\nu + i\mathbf{a} \cdot \nu)u$ ,  $f \in L^2(\Sigma_T^+)$ , where  $u$  is solution to

$$\begin{cases} -iu'(t, x) - (i\nabla + \mathbf{a})^2 u(t, x) = 0, & (t, x) \in Q_T^+ \\ u(t, x) = f(t, x), & (t, x) \in \Sigma_T^+ \\ u(0, x) = 0, & x \in \Omega, \end{cases}$$

is invariant under the **gauge transformation of  $\mathbf{a}$** :

$$\Lambda_{\mathbf{a} + \nabla\psi} = \Lambda_{\mathbf{a}}, \quad \forall \psi \in C^1(\overline{\Omega}) \text{ s.t. } \psi|_\Gamma = 0.$$

$\Rightarrow$  at best **uniqueness modulo a gauge transform** of  $\mathbf{a}$  from  $\Lambda_{\mathbf{a}}$ .

- ▶ The **magnetic field**  $\text{rot } \mathbf{a}$  is preserved.

# The Coulomb gauge class

$$H_{\text{div}0}(\Omega; \mathbb{R}) := \{\mathbf{a} \in L^\infty(\Omega; \mathbb{R}^n), \nabla \cdot \mathbf{a} = 0\}.$$

## Admissible potential vectors $\chi(t)\mathbf{a}$ :

- ▶  $\mathbf{a}_0 \in H^1(\Omega)^n$  s.t.  $\nabla \cdot \mathbf{a}_0 = 0$  and  $M > 0$  are fixed:

$$\mathbf{A}(\mathbf{a}_0, M) = \{\mathbf{a} \in H^1(\Omega)^n, \nabla \cdot \mathbf{a} = 0, \|\mathbf{a}\|_\infty \leq M, \mathbf{a}|_\Gamma = (\mathbf{a}_0)|_\Gamma\}.$$

- ▶  $\chi$  is given and verifies

$$\chi \in C^3([0, T]; \mathbb{R}), \chi(0) = 0, \chi'(0) \neq 0.$$

## Related papers

- ▶ Determination of the magnetic field from
  - (a) **DN-map (full data)**: Z. Sun '92, G. Nakamura, Z. Sun, G. Uhlmann '95, C. F. Tolmasky '98, M. Salo '04, A. Panchenko '02, G. Eskin '03, M. Bellassoued, M. Choulli '10, G. Eskin '11.
  - (b) **Local DN map**: D. Dos Santos, C. E. Keinig, J. Sjöstrand, G. Uhlmann '07, L. Tzou '08, H. Ben Joud '09.
- ▶ Determination of the external electric potential from a **finite number of boundary measurements**: L. Baudouin, J.-P. Puel '02, A. Mercado, A. Osses, L. Rosier '08, M. Bellassoued, M. Choulli '09.

# DtN versus Carleman

- ▶ Initial data / No initial data
- ▶ geometrical constraints / geometrical constraints
- ▶ Finite number of measurements / Infinite number
- ▶ Numerical applications / ???

## Lipschitz stability inequality

Fix  $\varepsilon > 0$  and pick  $u_{0,j} \in H_0^{\max(6, n/2+1+\varepsilon)}(\Omega; \mathbb{R})$ ,  $j = 1, \dots, n$ , s.t.

$$\det DU_0(x) \neq 0, \quad x \in \Omega, \quad \text{where } DU_0(x) := (\partial_{x_j} u_{0,i}(x))_{1 \leq i, j \leq n}.$$

Let  $\mathbf{a}$  (resp.  $\tilde{\mathbf{a}}$ ) be in  $\mathbf{A}(\mathbf{a}_0, M)$ , and let  $u_j$  (resp.  $\tilde{u}_j$ ) denote the  $C^0([0, T]; H_0^1(\Omega) \cap H^2(\Omega))$ -solution to (1.1) (resp. (1.1) with  $\tilde{\mathbf{a}}$ ) with initial condition  $u_{0,j}$ ,  $j = 1, \dots, n$ .

Then there exists  $C = C(T, \Omega, \Gamma^+, M, \chi, \{u_{0,j}\}_{j=1}^n) > 0$  satisfying:

$$\begin{aligned} & \|\mathbf{a} - \tilde{\mathbf{a}}\|_{L^2(\Omega)^n}^2 \\ & \leq C \sum_{j=1}^n \left( \|\partial_\nu \partial_t (u_j - \tilde{u}_j)\|_{L^2(0, T; \Gamma^+)}^2 + \|\partial_\nu \partial_t^2 (u_j - \tilde{u}_j)\|_{L^2(0, T; \Gamma^+)}^2 \right). \end{aligned}$$



## Magnetic Schrödinger operators

For every  $\mathbf{a} \in C^0([0, T]; H_{\text{div}0}(\Omega; \mathbb{R}))$  we have:

$$H(t) = (i\nabla + \mathbf{a}(t))^2 = -\Delta + 2i\text{Re}(\mathbf{a}(t)) \cdot \nabla + |\mathbf{a}(t)|^2, \quad t \in [0, T].$$

- ▶  $H(t) = \text{s.a. operator in } \mathcal{H}_0 = L^2(\Omega)$ , associated with the closed, densely defined  $\geq 0$  sesquilinear form

$$h(t; u, v) = \langle (i\nabla + \mathbf{a}(t, x))u, (i\nabla + \mathbf{a}(t, x))v \rangle_0,$$

with domain  $\text{dom } h(t) = \text{dom } h(0) = H_0^1(\Omega) = \mathcal{H}_1$ .

- ▶  $\text{dom } H(t) = H_0^1(\Omega) \cap H^2(\Omega) = \mathcal{H}_2$ .

# The main problems

- ▶ Time dependent coefficients (involving the magnetic potential to retrieve) in first and zero orders terms
- ▶ The vectorial form of  $\mathbf{a}$

## Solution to non-autonomous Schrödinger equations

Let  $f \in L^2(0, T; \mathcal{H}_{-j})$ ,  $j = 0, 1, 2$ .

- ▶ A solution to the Schrödinger equation

$$-i\psi' + H(t)\psi = f \text{ in } L^2(0, T; \mathcal{H}_{-j}),$$

is a function  $\psi \in L^2(0, T; \mathcal{H}_{2-j})$  satisfying for every  $v \in \mathcal{H}_j$ :

$$-i \frac{d}{dt} \langle \psi(t), v \rangle_{0+} + \langle H(t)\psi(t), v \rangle_{-j,j} = \langle f(t), v \rangle_{-j,j} \text{ in } C_0^\infty(0, T)'$$

- ▶ In this case  $\psi \in W(0, T; \mathcal{H}_{2-j}, \mathcal{H}_{-j})$  satisfies

$$-i \langle \psi'(t), v \rangle_{-j,j} + \langle H(t)\psi(t), v \rangle_{-j,j} = \langle f(t), v \rangle_{-j,j} \text{ in } C_0^\infty(0, T)'$$

for every  $v \in \mathcal{H}_j$ .

## Existence + uniqueness results

Let  $\mathbf{a} \in C^1([0, T]; H_{\text{div}0}(\Omega; \mathbb{R}))$ .

For  $j = 0, 1, 2$ , we consider the Cauchy problem

$$\begin{cases} -i\psi' + H(t)\psi = f \text{ in } L^2(0, T; \mathcal{H}_{-j}) \\ \psi(0) = \psi_0, \end{cases} \quad (2.2)$$

where  $\psi_0 \in \mathcal{H}_{2-j}$  and  $f \in L^2(0, T; \mathcal{H}_{-j})$ .

- ▶ For  $j = 0, 1$ ,  $\exists! \psi \in C^0([0, T]; \mathcal{H}_{2-j}) \cap C^1([0, T]; \mathcal{H}_{-j})$ , solution to (2.2), provided  $f \in W(0, T; \mathcal{H}_0, \mathcal{H}_{-j})$ .
- ▶ For  $j = 2$  there exists at most one solution to (2.2).

## Sketch of the proof (case $j = 2$ )

- ▶ For all  $\tau \in (0, T)$ , the first line of (2.2) with  $f = 0$  states

$$\langle \psi'(\tau), v \rangle_{-2,2} = -i \langle \psi(\tau), H(\tau)v \rangle_0, \quad v \in \mathcal{H}_2.$$

- ▶ Choose  $v = R(\tau)\psi(\tau)$  with  $R(\tau) := (1 + H(\tau))^{-1}$ :

$$\langle \psi'(\tau), R(\tau)\psi(\tau) \rangle_{-2,2} = i(\|R(\tau)^{1/2}\psi(\tau)\|_0^2 - \|\psi(\tau)\|_0^2). \quad (2.3)$$

- ▶ As  $\frac{d}{d\tau} R(\tau)\psi(\tau) = R(\tau)\psi'(\tau) + R(\tau)H'(\tau)R(\tau)\psi(\tau)$ , we have

$$\begin{aligned} \frac{d}{d\tau} \|R(\tau)^{1/2}\psi(\tau)\|_0^2 &= \langle \psi(\tau), R(\tau)H'(\tau)R(\tau)\psi(\tau) \rangle_0 \quad (2.4) \\ &\quad + 2 \underbrace{\operatorname{Re} \left( \langle \psi'(\tau), R(\tau)\psi(\tau) \rangle_{-2,2} \right)}_{=0 \text{ from (2.3)}}. \end{aligned}$$

$$\begin{aligned} \Rightarrow \frac{d}{d\tau} \left\| \overbrace{\tilde{\psi}(\tau)}^{R(\tau)^{1/2}\psi(\tau)} \right\|_0^2 &= \langle \tilde{\psi}(\tau), R(\tau)^{1/2} H'(\tau) R(\tau)^{1/2} \tilde{\psi}(\tau) \rangle_0 \\ &\leq \|H'(\tau) R(\tau)^{1/2}\| \|\tilde{\psi}(\tau)\|_0^2. \end{aligned}$$

Since

- ▶  $\|H'(\tau) R(\tau)^{1/2}\| = 2\|\mathbf{a}'(\tau) \cdot (i\nabla + \mathbf{a}(\tau)) R(\tau)^{1/2}\| \leq C,$
- ▶  $\psi(0) = 0,$

we obtain

$$\|\tilde{\psi}(t)\|_0^2 \leq C \int_0^t \|\tilde{\psi}(\tau)\|_0^2 d\tau, \quad t \in [0, T],$$

$$\xrightarrow{\text{Gronwall}} \tilde{\psi}(t) = 0 \Rightarrow \psi(t) = 0.$$

## Time differentiation of (2.2)

Let  $f \in W(0, T; \mathcal{H}_0)$  and  $\psi_0 \in \mathcal{H}_2$ .

The  $C^0([0, T]; \mathcal{H}_2) \cap C^1([0, T]; \mathcal{H}_0)$ -solution  $\psi$  to (2.2) satisfies:

- ▶  $\psi'' \in L^2(0, T; \mathcal{H}_{-2})$ .
- ▶  $\psi'$  is solution to the system:

$$\begin{cases} -i\psi'' + H(t)\psi' = f' - H'(t)\psi \text{ in } L^2(0, T; \mathcal{H}_{-2}) \\ \psi'(0) = -i(H(0)\psi_0 - f(0)), \end{cases}$$

where

$$H'(t) = 2\mathbf{a}'(t) \cdot (i\nabla + \mathbf{a}(t)).$$

## Differentiating (1.1) (case where $\mathbf{a}(t) = \chi(t)\mathbf{a}$ is $C^3$ )

Let  $u_0$  be such that  $\Delta^k u_0 \in \mathcal{H}_2$ ,  $k = 0, 1, 2$ .

Then  $\exists! u \in C^2([0, T]; \mathcal{H}_2) \cap C^3([0, T]; \mathcal{H}_0)$  solution to

$$\begin{cases} -i u^{(k+1)}(t, x) + H_{\mathbf{a}}(t) u^{(k)}(t, x) = f_k(t, x), & (t, x) \in Q_T^+ \\ u^{(k)}(t, x) = 0, & (t, x) \in \Sigma_T^+ \\ u^{(k)}(0, x) = i^k \Delta^k u_0(x), & x \in \Omega, \end{cases}$$

for  $k = 0, 1, 2$ , where

$$f_k = \begin{cases} 0 & \text{if } k = 0 \\ -H'_{\mathbf{a}}(t)u & \text{if } k = 1 \\ -H''_{\mathbf{a}}(t)u - 2H'_{\mathbf{a}}(t)u' & \text{if } k = 2, \end{cases}$$



## Linearized system

- ▶ Let  $u$  (resp.  $\tilde{u}$ ) denote the  $C^2([0, T]; \mathcal{H}_2) \cap C^3([0, T]; \mathcal{H}_0)$  solution to (1.1) with  $H(t) = H_{\mathbf{a}}(t)$  (resp. (1.1) with  $H(t) = H_{\tilde{\mathbf{a}}}(t)$ ).
- ▶  $v = u - \tilde{u}$  is solution in  $L^2(0, T; \mathcal{H}_0)$  to

$$\begin{cases} -iv'(t, x) + H_{\mathbf{a}}(t)v(t, x) = f(t, x), & (t, x) \in Q_T^+ \\ v(t, x) = 0, & (t, x) \in \Sigma_T^+ \\ v(0, x) = 0, & x \in \Omega, \end{cases}$$

where  $f = \chi(\tilde{\mathbf{a}} - \mathbf{a}) \cdot (2i\nabla + \chi(\mathbf{a} + \tilde{\mathbf{a}})) \tilde{u}$ .

- $w = v'$  is solution in  $L^2(0, T; \mathcal{H}_0)$  to

$$\begin{cases} -iw'(t, x) + H_a(t)w(t, x) = g(t, x) & (t, x) \in Q_T^+ \\ w(t, x) = 0, & (t, x) \in \Sigma_T^+ \\ w(0, x) = 0, & x \in \Omega, \end{cases}$$

where  $g = f' - H'_a(t)v$ .

- $y = w'$  is solution in  $L^2(0, T; \mathcal{H}_0)$  to

$$\begin{cases} -iy'(t, x) + H_a(t)y(t, x) = q(t, x), & (t, x) \in Q_T^+ \\ y(t, x) = 0, & (t, x) \in \Sigma_T^+ \\ y(0, x) = -2\chi'(0)(\tilde{\mathbf{a}}(x) - \mathbf{a}(x)) \cdot \nabla u_0(x), & x \in \Omega, \end{cases}$$

where  $q := g' - H'_a(t)w$ .

- $w, y \in C^0([0, T]; \mathcal{H}_2) \cap C^1([0, T]; \mathcal{H}_0)$ .

## Time symmetrization

- ▶ The method we use requires that a Carleman estimate for  $y$  be established in  $Q_T = (-T, T) \times \Omega$  (in order to center the problem around the initial condition  $u_0$ ).
- ▶ Extend  $u$  on  $Q_T^- = (-T, 0) \times \Omega$  by setting
  - $\chi(t) = -\chi(-t)$ ,  $t \in (-T, 0)$ ,
  - $u(t, x) = \overline{u(-t, x)}$ ,  $(t, x) \in Q_T^-$ .
- ▶ Condition:  $u_0$  is real valued.

## Geometric condition on $\Gamma^+$

We introduce an open set  $\Gamma^+ \subset \Gamma$  and  $\tilde{\beta} \in C^4(\bar{\Omega}; \mathbb{R}_+)$ , satisfying:

- (a)  $|\nabla \tilde{\beta}(x)| \geq C_0 > 0$  for all  $x \in \Omega$ ;
- (b)  $\partial_\nu \tilde{\beta}(\sigma) = \nabla \tilde{\beta}(\sigma) \cdot \nu(\sigma) \leq 0$  for all  $\sigma \in \Gamma^- = \Gamma \setminus \Gamma^+$ ;
- (c)  $\exists \Lambda_1 > 0, \exists \epsilon > 0$  such that

$$\lambda |\nabla \tilde{\beta}(x) \cdot \zeta|^2 + \langle D^2 \tilde{\beta} \zeta, \zeta \rangle_{\mathbb{C}^n} \geq \epsilon |\zeta|^2, \quad \forall \zeta \in \mathbb{C}^n, \quad \forall \lambda > \Lambda_1,$$

where  $D^2 \tilde{\beta} = \left( \frac{\partial^2 \tilde{\beta}}{\partial x_i \partial x_j} \right)_{1 \leq i, j \leq n}$ .

- (d) Classical example :  $x_0 \in \mathbb{R}^n \setminus \bar{\Omega}$ ,  $\tilde{\beta}(x) = |x - x_0|^2$  and

$$\{x \in \Gamma, (x - x_0) \cdot \nu(x) \geq 0\} \subset \Gamma^+.$$

## Definitions

► **Weight functions:**

$$\varphi(t, x) = \frac{e^{\lambda\beta(x)}}{(T+t)(T-t)}, \quad \eta(t, x) = \frac{e^{2\lambda K} - e^{\lambda\beta(x)}}{(T+t)(T-t)},$$

for  $(t, x) \in Q_T$  and  $\lambda > 0$ , where:

$$\beta := \tilde{\beta} + K \text{ and } K := m\|\tilde{\beta}\|_{\infty} \text{ for some } m > 1.$$

► **Differential operators:**

$$M_1 := i\partial_t + \Delta + s^2|\nabla\eta|^2, \quad M_2 := s(i\eta' + 2\nabla\eta \cdot \nabla + (\Delta\eta)),$$

in such a way that

$$M_1 + M_2 = e^{-s\eta} L e^{s\eta}, \quad L := i\partial_t + \Delta.$$

## Global Carleman estimate

There exists  $\lambda_0 > 0$ ,  $s_0 > 0$  and  $C_0 = C_0(T, \Omega, \Gamma^+, \lambda_0, s_0) > 0$  s.t.

$$\begin{aligned} I(z) &= s^3 \lambda^4 \|e^{-s\eta} \varphi^{3/2} z\|_{L^2(Q_T)}^2 + s\lambda \|e^{-s\eta} \varphi^{1/2} |\nabla z|\|_{L^2(Q_T)}^2 \\ &\quad + \sum_{j=1,2} \|e^{-s\eta} M_j z\|_{L^2(Q_T)}^2 \\ &\leq C_0 \left( s\lambda \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta(t,\sigma)} \varphi(t,\sigma) \partial_\nu \beta(\sigma) |\partial_\nu z(t,\sigma)|^2 d\sigma dt \right. \\ &\quad \left. + \|e^{-s\eta} Lz\|_{L^2(Q_T)}^2 \right), \end{aligned}$$

for all  $\lambda \geq \lambda_0$ , all  $s \geq s_0$ , and all  $z \in L^2(-T, T; \mathcal{H}_1)$  satisfying

$$Lz \in L^2(Q_T) \text{ and } \partial_\nu z \in L^2(-T, T; L^2(\Gamma)).$$

## Carleman inequality for $w$

Recall that  $-iw' + H_a(t)w = g = f' - H'_a(t)v$  in  $L^2(-T, T; \mathcal{H}_0)$ .

Global Carleman estimate applied to  $Lw = 2\chi\mathbf{a} \cdot (i\nabla + \chi\mathbf{a})w - g$ :

$$I(w) \leq C_0 \left( s\lambda \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta(t,\sigma)} \varphi(t,\sigma) \partial_\nu \beta(t,\sigma) |\partial_\nu w(t,\sigma)|^2 d\sigma dt \right. \\ \left. + \|e^{-s\eta} f'\|_{L^2(Q_T)}^2 + \sum_{\rho=v,w} \|e^{-s\eta} (|\rho|^2 + |\nabla \rho|^2)^{1/2}\|_{L^2(Q_T)}^2 \right).$$

▶  $\nabla v(x, t) = \int_0^t \nabla w(\xi, x) d\xi$  so  $\exists \kappa = \kappa(T, \lambda_0) > 0$  s.t.

$$\|e^{-s\eta} (|v|^2 + |\nabla v|^2)^{1/2}\|_{L^2(Q_T)}^2 \leq \frac{\kappa}{s} \|e^{-s\eta} (|w|^2 + |\nabla w|^2)^{1/2}\|_{L^2(Q_T)}^2.$$

▶  $\|e^{-s\eta} (|w|^2 + |\nabla w|^2)^{1/2}\|_{L^2(Q_T)}^2 \ll I(w)$ , for  $s \gg 1$ ,

Hence  $w$  may remove  $\sum_{\rho=v,w} \dots$  from the r.h.s. provided  $s \gg 1$ .

## Carleman estimate for $y$

Similarly  $Ly = 2\chi\mathbf{a}\cdot(i\nabla + \chi\mathbf{a})y - q$  with  $q = g' - H'_a(t)w$  entails:

$$I(y) \leq C_0 \left( s\lambda \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta(t,\sigma)} \varphi(t,\sigma) \partial_\nu \beta(t,\sigma) |\partial_\nu y(t,\sigma)|^2 d\sigma dt \right. \\ \left. + \|e^{-s\eta} f''\|_{L^2(Q_T)}^2 + \sum_{\rho=v,w,y} \|e^{-s\eta} (|\rho|^2 + |\nabla\rho|^2)^{1/2}\|_{L^2(Q_T)}^2 \right).$$

Since

$$\|e^{-s\eta} (|v|^2 + |\nabla v|^2)^{1/2}\|_{L^2(Q_T)}^2 \leq \frac{\kappa}{s} \|e^{-s\eta} (|w|^2 + |\nabla w|^2)^{1/2}\|_{L^2(Q_T)}^2,$$

and

$$\|e^{-s\eta} (|\rho|^2 + |\nabla\rho|^2)^{1/2}\|_{L^2(Q_T)}^2 \ll I(\rho), \text{ for } s \gg 1, \rho = w, y,$$



We find (upon taking  $s \gg 1$ ) that :

$$I(y) \leq C_0 \left( s\lambda \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta(t,\sigma)} \varphi(t,\sigma) \partial_\nu \beta(t,\sigma) |\partial_\nu y(t,\sigma)|^2 d\sigma dt + \|e^{-s\eta} f''\|_{L^2(Q_T)}^2 + I(w) \right).$$

Recall that

$$I(w) \leq C_0 \left( s\lambda \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta(t,\sigma)} \varphi(t,\sigma) \partial_\nu \beta(t,\sigma) |\partial_\nu w(t,\sigma)|^2 d\sigma dt + \|e^{-s\eta} f'\|_{L^2(Q_T)}^2 \right),$$

$$f' = (\tilde{\mathbf{a}} - \mathbf{a}) \cdot [\chi(\tilde{\mathbf{a}} + \mathbf{a})(2\chi' \tilde{u} + \chi \tilde{u}') + 2i(\chi' \nabla \tilde{u} + \chi \nabla \tilde{u}')],$$

$$f'' = (\tilde{\mathbf{a}} - \mathbf{a}) \cdot [2i(\chi'' \nabla \tilde{u} + 2\chi' \nabla \tilde{u}' + \chi \nabla \tilde{u}'') + (\tilde{\mathbf{a}} + \mathbf{a})(2\chi'^2 + \chi \chi'') \tilde{u} + 4\chi \chi' \tilde{u}' + \chi^2 \tilde{u}'''],$$

+ the “conservation” of charge + energy...

## Uniform time boundedness of charge and energy

- ▶ There exists  $c_0 = c_0(T, \|\mathbf{a}\|_{C^1([0, T])}, \Omega) > 0$  s.t. the  $C^0([0, T]; \mathcal{H}_1) \cap C^1([0, T]; \mathcal{H}_{-1})$ -solution  $\psi$  to (2.2), satisfies:

$$\|\psi(t)\|_1 \leq c_0(\|\psi_0\|_1 + \|f\|_{W(0, T; \mathcal{H}_0, \mathcal{H}_{-1})}), \quad t \in [0, T],$$

for every  $\psi_0 \in \mathcal{H}_1$  and  $f \in W(0, T; \mathcal{H}_0, \mathcal{H}_{-1})$ .

- ▶ **Consequence:**  $\exists c = c(T, \|\chi\|_{C^3([0, T])}, \Omega, M) > 0$  such that  $\tilde{u}$  satisfies:

$$\|(\partial^j \tilde{u} / \partial t^j)(t)\|_1 \leq c \sum_{k=0}^j \|\Delta^k u_0\|_1, \quad t \in [0, T], \quad j = 0, 1, 2.$$

...thus we end up getting:

## Global Carleman estimate for $y$

$\exists \lambda_0 > 0$ ,  $s_0 > 0$  and  $C_1 = C_1(T, \Omega, \Gamma^+, \lambda_0, s_0, u_0) > 0$  s.t.

$$I(y) \leq C_1 \left( s\lambda \sum_{\rho=y,w} \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta} \varphi \partial_\nu \beta |\partial_\nu \rho|^2 d\sigma dt \right. \\ \left. + \|e^{-s\eta}(\mathbf{a} - \tilde{\mathbf{a}})\|_{L^2(Q_T)^n}^2 \right), \quad \lambda \geq \lambda_0, \quad s \geq s_0,$$

where

$$I(y) = s^3 \lambda^4 \|e^{-s\eta} \varphi^{3/2} y\|_{L^2(Q_T)}^2 + s\lambda \|e^{-s\eta} \varphi^{1/2} |\nabla y|\|_{L^2(Q_T)}^2 \\ + \sum_{j=1,2} \|M_j e^{-s\eta} y\|_{L^2(Q_T)}^2,$$

$$M_1 = i\partial_t + \Delta + s^2 |\nabla \eta|^2.$$

## Stability inequality

Set  $\psi = e^{-s\eta}y$  so that  $\psi(-T, \cdot) = 0$ , and

$$\begin{aligned} \mathcal{I} &= \|e^{-s\eta(0, \cdot)}y(0, \cdot)\|_{L^2(\Omega)}^2 = \int_{-T}^0 \int_{\Omega} \partial_t |\psi(t, x)|^2 dx dt \\ &= 2\operatorname{Re} \left( \int_{-T}^0 \int_{\Omega} \psi'(t, x) \overline{\psi(t, x)} dx dt \right) \\ &= 2\operatorname{Im} \left( \int_{-T}^0 \int_{\Omega} M_1 \psi(t, x) \overline{\psi(t, x)} dt dx \right). \end{aligned}$$

Hence for all  $s \geq s_0$ ,  $\lambda \geq \lambda_0$ :

$$|\mathcal{I}| \leq Cs^{-3/2}\lambda^{-2} \left( s^3 \lambda^4 \|e^{-s\eta} \varphi^{3/2} y\|_{L^2(Q_T)}^2 + \|M_1(e^{-s\eta}y)\|_{L^2(Q_T)}^2 \right).$$

Use **Global Carleman estimate** for  $y$ :

$$\mathcal{I} \leq C s^{-1/2} \lambda^{-1} \left( \sum_{\rho=w,y} \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta} \varphi \partial_\nu \beta |\partial_\nu \rho|^2 d\sigma dt \right. \\ \left. + s^{-1} \lambda^{-1} \|e^{-s\eta}(\tilde{\mathbf{a}} - \mathbf{a})\|_{L^2(Q_T)^n}^2 \right), \quad \lambda \geq \lambda_0, s \geq s_0.$$

Add the two following ingredients:

- ▶  $\mathcal{I} = 4\chi'(0)^2 \|e^{-s\eta(0,\cdot)}(\tilde{\mathbf{a}} - \mathbf{a}) \cdot \nabla u_0\|_0^2$
- ▶  $\eta(t, x) \geq \eta(0, x), (t, x) \in Q_T$

+ recall that  $w = (u - \tilde{u})'$ ,  $y = (u - \tilde{u})'' \dots$

... and find out for all  $j = 1, \dots, n$ , that:

$$\begin{aligned}
 & C \left( \|e^{-s\eta(0,\cdot)}(\tilde{\mathbf{a}} - \mathbf{a}) \cdot \nabla u_{0,j}\|_{L^2(\Omega)}^2 - s^{-3/2}\lambda^{-2} \|e^{-s\eta(0,\cdot)}(\tilde{\mathbf{a}} - \mathbf{a})\|_{L^2(\Omega)^n}^2 \right) \\
 & \leq s^{-1/2}\lambda^{-1} \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta} \varphi \partial_\nu \beta \times \left( \sum_{k=1,2} |\partial_\nu \partial_t^k (\tilde{u}_j - u_j)|^2 \right) d\sigma dt.
 \end{aligned}$$

Summing up over  $j = 1, \dots, n$ , we end up getting:

$$\begin{aligned}
 & C \left( \|e^{-s\eta(0,\cdot)} DU_0(\tilde{\mathbf{a}} - \mathbf{a})\|_{L^2(\Omega)^n}^2 - ns^{-3/2}\lambda^{-2} \|e^{-s\eta(0,\cdot)}(\tilde{\mathbf{a}} - \mathbf{a})\|_{L^2(\Omega)^n}^2 \right) \\
 & \leq s^{-1/2}\lambda^{-1} \int_{-T}^T \int_{\Gamma^+} e^{-2s\eta} \varphi \partial_\nu \beta \left( \sum_{\substack{j=1, \dots, n \\ k=1, 2}} |\partial_\nu \partial_t^k (\tilde{u}_j - u_j)|^2 \right) d\sigma dt.
 \end{aligned}$$

$$\{\mu_j(x)\}_{j=1}^n \subset \mathbb{R}_+^n$$

= non decreasing sequence of the **singular values of  $DU_0(x)$**

$$\|DU_0(x)v\|_{\mathbb{C}^n} \geq \mu_1(x)\|v\|_{\mathbb{C}^n}, \quad x \in \Omega, \quad v \in \mathbb{C}^n.$$

- ▶  $u_{0,j} \in H^p(\Omega)$ ,  $p > n/2 + 1 \Rightarrow u_{0,j} \in C^1(\bar{\Omega})$ ,  $j = 1, \dots, n$ ,  
hence:

$$\mu_1 \in C^0(\bar{\Omega}; \mathbb{R}_+).$$

- ▶  $\det DU_0(x) \neq 0$ ,  $x \in \Omega \Rightarrow \mu_1(x) > 0$ ,  $x \in \Omega$ .

$$\implies \mu = \mu(\Omega, \{u_{0,j}\}_{j=1}^n) := \inf_{x \in \Omega} \mu_1(x) > 0.$$

As a consequence:

$$\|e^{-s\eta(0, \cdot)} DU_0(\tilde{\mathbf{a}} - \mathbf{a})\|_{L^2(\Omega)^n} \geq \mu \|e^{-s\eta(0, \cdot)}(\tilde{\mathbf{a}} - \mathbf{a})\|_{L^2(\Omega)^n}.$$

# Perspectives

- (a) Avoid the geometric hypothesis (FBI method)
- (b) Improve the logarithmic inequality obtained in this case
- (c) Treat the case of Yang-Mills potentials